

An Avoidance Lemma and a Moving Lemma for families.

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An Avoidance Lemma and a Moving Lemma for families.

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Plan of the talk

- An Avoidance Lemma for families.
- Application: Existence of finite quasi-sections
- A Moving Lemma for 1-cycles.
- Application: The index of an algebraic variety
- Some ideas of the method used.

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- Application: Existence of finite quasi-sections
- A Moving Lemma for 1-cycles.
- Application: The index of an algebraic variety
- Some ideas of the method used.

The results are joint work with O. Gabber and Q. Liu:

The index of an algebraic variety,
Invent. Math., Aug. 2012, 59 pages.

Hypersurfaces of projective schemes and a Moving Lemma,
preprint, 60 pages.

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Avoidance Lemma. Let k be a field. Let X/k be an irreducible projective scheme. Let $C \subsetneq X$ be a proper closed subset, and let ξ_1, \dots, ξ_r be points of X not contained in C .

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It is natural to wonder whether there is a similar statement for a projective morphism $X \rightarrow S$ over a general scheme S .

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It is natural to wonder whether there is a similar statement for a projective morphism $X \rightarrow S$ over a general scheme S .

Remark. Let X/k be an irreducible **proper** scheme. Let $C \subsetneq X$ be a proper closed subset, and let ξ_1, \dots, ξ_r be points of X not contained in C . It is always possible to find a **closed subset H of X of codimension 1** which contains C and does not contain ξ_1, \dots, ξ_r ?

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A global section f of an invertible sheaf \mathcal{L} on any scheme X defines a **closed subset** H_f of X , consisting of all points $x \in X$ where the stalk f_x does not generate \mathcal{L}_x . The ideal sheaf $\mathcal{I} := f\mathcal{O}_X \otimes \mathcal{L}^{-1}$ endows H_f with the structure of closed subscheme of X .

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Let S be any scheme and $X \rightarrow S$ any morphism. The subscheme H_f of X is called a **hypersurface** (relative to $X \rightarrow S$) when no irreducible component of positive dimension of X_s is contained in H_f , for all $s \in S$.

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When \mathcal{I} is invertible, H_f is called **locally principal**, and it is the support of an effective Cartier divisor on X .

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Lemma. Let $X \rightarrow S$ be a projective morphism with S affine noetherian. Let \mathcal{L} be ample on X . Let $f \in \mathcal{L}(X)$ be such that $H := H_f$ is a non-empty hypersurface on X .

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Lemma. Let $X \rightarrow S$ be a projective morphism with S affine noetherian. Let \mathcal{L} be ample on X . Let $f \in \mathcal{L}(X)$ be such that $H := H_f$ is a non-empty hypersurface on X . Then H_s meets every irreducible component of positive dimension of X_s , and in particular $\dim H_s = \dim X_s - 1$ when $\dim X_s \geq 1$.

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Theorem (Gabber-Liu-L.). Let S be affine. Let $X \rightarrow S$ be quasi-projective and finitely presented. Let C be a closed subscheme of X , proper and finitely presented over S . Let A be a finite subset of X such that $A \cap C = \emptyset$. Let F be a closed subscheme of X of finite presentation. Fix an ample invertible sheaf $\mathcal{O}_X(1)$ on X .

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Then there exist $n > 0$ and $f \in H^0(X, \mathcal{O}_X(n))$ such that

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- (2) $A \cap H_f = \emptyset$, and
- (3) For all $s \in S$, H_f does not contain any irreducible component of positive dimension of F_s .

If S is noetherian and $C \cap \text{Ass}(X) = \emptyset$, then there exists such a hypersurface H_f which is locally principal.

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A limitation in any Avoidance Lemma.

Suppose that S is affine, $X \rightarrow S$ is projective. Fix an ample line bundle $\mathcal{O}_X(1)$. Assume C is empty.

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Suppose that S is affine, $X \rightarrow S$ is projective. Fix an ample line bundle $\mathcal{O}_X(1)$. Assume C is empty.

Let F be a closed subscheme, finite over S . Is it possible in general to find $n > 0$ and $f \in H^0(X, \mathcal{O}_X(n))$ such that

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Proposition. Let S be a noetherian irreducible scheme. Let \mathcal{L} be invertible, and consider $X := \mathbb{P}(\mathcal{O}_S \oplus \mathcal{L})$, with associated projective morphism $\pi : X \rightarrow S$. Let C_0 and C_∞ be the images of the two natural sections of π obtained from the projections $\mathcal{O}_S \oplus \mathcal{L} \rightarrow \mathcal{O}_S$ and $\mathcal{O}_S \oplus \mathcal{L} \rightarrow \mathcal{L}$.

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Proposition. Let S be a noetherian irreducible scheme. Let \mathcal{L} be invertible, and consider $X := \mathbb{P}(\mathcal{O}_S \oplus \mathcal{L})$, with associated projective morphism $\pi : X \rightarrow S$. Let C_0 and C_∞ be the images of the two natural sections of π obtained from the projections $\mathcal{O}_S \oplus \mathcal{L} \rightarrow \mathcal{O}_S$ and $\mathcal{O}_S \oplus \mathcal{L} \rightarrow \mathcal{L}$. Suppose that there exists an irreducible closed subset $Y \subset X$, with $Y \rightarrow S$ finite and flat of degree d , which does not meet $F := C_0 \cup C_\infty$. Then \mathcal{L}^d is trivial in $\text{Pic}(S)$.

Answer to the above question: NO.

Let $S = \text{Spec } R$ with R a Dedekind domain with $\text{Pic}(S)$ containing an element \mathcal{L} of infinite order. If H_f exists with $H_f \cap F = \emptyset$, then an irreducible component of H_f is finite and flat over S and does not meet F . This is not possible

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Existence of finite quasi-sections

Let $X \rightarrow S$ be a surjective morphism. A closed subscheme C of X is a **finite quasi-section** when $C \rightarrow S$ is finite and surjective (also called multi-section, or integral point).

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When S is integral noetherian of dimension 1 and $X \rightarrow S$ is proper and surjective, the existence of a finite quasi-section C is well-known and easy to establish. It suffices to take C to be the Zariski closure of a closed point of the generic fiber of $X \rightarrow S$.

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When $\dim S > 1$, the process of taking the closure of any closed point of the generic fiber does not always produce a closed subset *finite* over S .

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When $\dim S > 1$, the process of taking the closure of any closed point of the generic fiber does not always produce a closed subset *finite* over S .

Example. Let S be an irreducible scheme. Let $P \in S$ be a closed point, and consider the blowing up X of P in S , with associated projective morphism $X \rightarrow S$. When $\dim S > 1$, $X \rightarrow S$ is not finite, and $X \rightarrow S$ does not admit any finite quasi-section.

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Proposition (GLL). Assume that S is integral. Let K be the function field of S . Let $X \rightarrow S$ be a projective morphism. Assume that **no fiber X_s , $s \in S$, contains a rational curve.**

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Proposition (GLL). Assume that S is integral. Let K be the function field of S . Let $X \rightarrow S$ be a projective morphism. Assume that **no fiber X_s , $s \in S$, contains a rational curve.**

Then the closure T of any K -rational point of the generic fiber of $X \rightarrow S$ is finite over S .

One example where we can guarantee that the closure of a closed point of the generic fiber is finite over S :

Proposition (GLL). Assume that S is integral. Let K be the function field of S . Let $X \rightarrow S$ be a projective morphism. Assume that **no fiber X_s , $s \in S$, contains a rational curve.** Assume also that S is a noetherian excellent regular scheme.

Then the closure T of any K -rational point of the generic fiber of $X \rightarrow S$ is finite over S .

Theorem (GLL). Let S be an affine scheme and let $X \rightarrow S$ be a projective, finitely presented morphism.

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Theorem (GLL). Let S be an affine scheme and let $X \rightarrow S$ be a projective, finitely presented morphism. Suppose that all fibers of $X \rightarrow S$ are of the same dimension $d \geq 0$.

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Let C be a finitely presented closed subscheme of X , with $C \rightarrow S$ finite but not necessarily surjective. Then:

(1) Assume that S is noetherian. If C and X are irreducible, then there exists an irreducible finite quasi-section T which contains C .

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Proof of the existence of a finite quasi-section: Simply apply the Avoidance Lemma: $C \subset H_f \subset X$, with every fiber of H_f of dimension $d - 1$. Repeat the process. \square

It is natural to wonder whether the existence theorem for finite quasi-sections holds for bases S which are not affine, or for proper morphisms that are not projective.

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Let $S = \text{Spec } \mathbb{Z}$, and let $X \rightarrow S$ be separated and **surjective**.

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Example. S satisfies Condition (T) if S is an affine integral curve over a finite field, or if S is the spectrum of the ring of integers in a number field K .

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Recall that a Moving Lemma is usually a statement of the following form:

A scheme X is given, with an irreducible closed subscheme C on it. Suppose that C intersects a given closed subset F .

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Recall that a Moving Lemma is usually a statement of the following form:

A scheme X is given, with an irreducible closed subscheme C on it. Suppose that C intersects a given closed subset F . Then there exists a second cycle C' , rationally equivalent to C , which intersects F in such a way that the components of $\text{Supp}(C') \cap F$ have the smallest possible dimension.

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For instance, if C is a closed point, and F is a subset of codimension 1 in an irreducible scheme X , then 'two such subsets would not intersect in general'. Thus the moving lemma would state that if C is contained in F , then there exists a different 0-cycle C' , rationally equivalent to C , such that $\text{Supp}(C') \cap F = \emptyset$.

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Here, rationally equivalent means that there exists a curve V on X containing C and C' , and a function f on V , such that the cycle $C - C'$ is equal to the divisor of f on V .

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The minimal such m which works for all F is an interesting invariant attached to the local ring \mathcal{O}_{X,x_0} .

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Example. Let $f(x, y) = x^2 + y^2$, defining the projective curve X/\mathbb{R} . Then $X(\mathbb{R}) = \{(0, 0)\}$. All points in $X \setminus \{(0, 0)\}$ have degree 2. So the point $(0, 0)$ is not equivalent to a 0-cycle in $X \setminus \{(0, 0)\}$.

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Question. Is it possible to obtain the same moving lemma in a family $X \rightarrow S$, moving a finite quasi-section $C \rightarrow S$ away from a closed subset $F \rightarrow S$ such that for each $s \in S$, the codimension of F_s in the fiber X_s is at least 1?

Theorem (GLL). Let R be a semi-local Dedekind domain. Let $S = \text{Spec}(R)$. Let $f : X \rightarrow S$ be a separated morphism of finite type, with X **regular and FA**.

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Let $S = \text{Spec}(R)$. Let $f : X \rightarrow S$ be a separated morphism
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Let C be a horizontal 1-cycle on X with $\text{Supp}(C)$ finite over
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Then there exists a **horizontal 1-cycle C'** on X with $f|_{C'} : \text{Supp}(C') \rightarrow S$ finite, **rationally equivalent to C** , and such that $\text{Supp}(C') \cap F = \emptyset$.

Generalization to global bases

Recall the proposition:

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Proposition. Let S be a noetherian irreducible scheme. Let \mathcal{L} be invertible, and consider $X := \mathbb{P}(\mathcal{O}_S \oplus \mathcal{L})$, with associated projective morphism $\pi : X \rightarrow S$. Let C_0 and C_∞ be the images of the two natural sections of π obtained from the projections $\mathcal{O}_S \oplus \mathcal{L} \rightarrow \mathcal{O}_S$ and $\mathcal{O}_S \oplus \mathcal{L} \rightarrow \mathcal{L}$. Suppose that there exists an irreducible closed subset $Y \subset X$, with $Y \rightarrow S$ finite and flat of degree d , which does not meet $F := C_0 \cup C_\infty$. Then \mathcal{L}^d is trivial in $\text{Pic}(S)$.

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If the moving lemma holds for the morphism $X \rightarrow S$ with the 1-cycle C_0 and the closed set F , then there would exist a finite quasi-section $Y \rightarrow S$ that is disjoint from F . Hence, this can always occur only if $\text{Pic}(S)$ is a torsion group. This motivates the definition:

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Definition. Let R be any ring and let $S = \text{Spec}(R)$. We say that R or S is **pictorsion** if $\text{Pic}(Z)$ is a torsion group for any finite morphism $Z \rightarrow S$.

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Any semi-local ring R is pictorsion.

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Recall **Condition (T)** for a Dedekind domain:

- (i) For any finite extension L of the field of fractions K of R , the normalization R' of R in L has **torsion Picard group $\text{Pic}(R')$** .
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Moret-Bailly: If an excellent Dedekind domain satisfies Condition (T), then it is pictorsion. (When R is excellent, R' is finite over R .)

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Find interesting multiplicative subsets $T \subset \overline{\mathbb{Q}}[x]$ such that $T^{-1}\overline{\mathbb{Q}}[x]$ is pictorsion (and not semi-local).

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Theorem (GLL). Let R be a Dedekind domain, and let $S := \operatorname{Spec} R$. Let $X \rightarrow S$ be a flat and quasi-projective morphism, with X integral.

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Theorem (GLL). Let R be a Dedekind domain, and let $S := \text{Spec } R$. Let $X \rightarrow S$ be a flat and quasi-projective morphism, with X integral.

Let C be a horizontal 1-cycle on X . Let F be a closed subset of X such that for all $s \in S$, $F \cap X_s$ has codimension at least 1 in X_s . Then some positive multiple mC of C is rationally equivalent to a horizontal 1-cycle C' on X whose support does not meet F .

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(a) R is **pictorsion**, and $X \rightarrow S$ is **projective** with X **regular**,
or

Let C be a horizontal 1-cycle on X . Let F be a closed subset of X such that for all $s \in S$, $F \cap X_s$ has codimension at least 1 in X_s . Then some positive multiple mC of C is rationally equivalent to a horizontal 1-cycle C' on X whose support does not meet F .

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Remark. Consider the case where S is a smooth curve over a finite field k , and assume that X is regular. Even under these hypotheses, the above moving lemma is not a consequence of the classical Chow's Moving Lemma.

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Remark. Consider the case where S is a smooth curve over a finite field k , and assume that X is regular. Even under these hypotheses, the above moving lemma is not a consequence of the classical Chow's Moving Lemma.

Indeed, the classical Chow's Moving Lemma immediately imply the following statement:

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Chow. Let Z be a 1-cycle on X . Let F be a closed subset of X of codimension at least 2 in X . Then there exists a 1-cycle Z' on X , rationally equivalent to Z , and such that $\text{Supp}(Z') \cap F = \emptyset$.

Remark. Consider the case where S is a smooth curve over a finite field k , and assume that X is regular. Even under these hypotheses, the above moving lemma is not a consequence of the classical Chow's Moving Lemma.

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The moving lemma for $X \rightarrow S$ allows for F to be of codimension 1, as long as F_s has codimension 1 in X_s for all $s \in S$.

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In both cases (a) and (b), we reduce the proof to the case where $X \rightarrow S$ has relative dimension 1, and where C is the support of a **Cartier** divisor.

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In both cases (a) and (b), we reduce the proof to the case where $X \rightarrow S$ has relative dimension 1, and where C is the support of a **Cartier** divisor.

In case (b), we use the Avoidance Lemma to get to the case $X \rightarrow S$ of relative dimension 1 with X integral. Then we use the fact that under (b), every effective Weil divisor on X is such that mC is a Cartier divisor for some $m > 0$.

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In case (a), we first reduce to the case where C is regularly immersed on X , using the regularity of X . Then we use a stronger form of the Avoidance Lemma, where we find a hypersurface H that contains C , and such that C is again regularly immersed in H .

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In case (a), we first reduce to the case where C is regularly immersed on X , using the regularity of X . Then we use a stronger form of the Avoidance Lemma, where we find a hypersurface H that contains C , and such that C is again regularly immersed in H .

When $X \rightarrow S$ has relative dimension 1 and $X \rightarrow S$ is projective, the closed set F to be avoided is finite over S . We exploit then the hypothesis that $\text{Pic}(F)$ is torsion.

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Let K be any field.

Let V/K be any non-empty scheme of finite type over K .

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Let K be any field.

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Let $\mathcal{D}(V/K) := \{\deg(P), P \text{ closed point of } V\} \subseteq \mathbb{N}$.

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Let K be any field.

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Can one describe the set $\mathcal{D}(V/K)$ explicitly?

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$\mu(V/K) :=$ smallest element in the set $\mathcal{D}(V/K)$.

$\delta(V/K) :=$ gcd of the elements of $\mathcal{D}(V/K)$.

The integer $\delta(V/K)$ is called the **index of V/K** .

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Proposition (GLL). Let K be a Hilbertian field. Let V/K be an irreducible regular generically smooth algebraic variety of positive dimension. Then there exists $n_0 > 0$ such that

$$\{n\delta(V/K), n \geq n_0\} \subseteq \mathcal{D}(V/K).$$

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Let now K be the field of fractions of a discrete valuation ring \mathcal{O}_K with residue field k . Let $S := \text{Spec } \mathcal{O}_K$.

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Let now K be the field of fractions of a discrete valuation ring \mathcal{O}_K with residue field k . Let $S := \text{Spec } \mathcal{O}_K$.

Let $X \rightarrow S$ be a proper flat morphism, with X regular and connected.

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Pete Clark asked the following question, and gave a conjectural answer for it:

Question. Is it possible to describe the index of the generic fiber X_K/K **only using data pertaining to the special fiber X_k** ?

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In many different geometric contexts, quantities are sometimes easier to compute on a degeneration of the object than on the initial object itself.

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To answer this question positively, let us introduce the following notation.

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To answer this question positively, let us introduce the following notation.

If Γ/k is any algebraic variety, then its **regular locus** Γ^{reg}/k is an open subset.

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If U is any open subset of Γ , then **$\delta(\Gamma/k)$ divides $\delta(U/k)$.**

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If Γ is smooth, then $\delta(\Gamma/k) = \delta(U/k)$. In general, $\delta(\Gamma/k)$ can strictly divide $\delta(\Gamma^{reg}/k)$.

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If Γ is smooth, then $\delta(\Gamma/k) = \delta(U/k)$. In general, $\delta(\Gamma/k)$ can strictly divide $\delta(\Gamma^{reg}/k)$.

Example Consider the curve Γ/\mathbb{R} given by $f(x, y) = x^2 + y^2$. It has a unique singular point $(0, 0)$, which is also the unique \mathbb{R} -rational point on Γ . Thus, $\delta(\Gamma/\mathbb{R}) = 1$, but $\delta(\Gamma^{reg}/\mathbb{R}) = 2$.

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Back to the regular model $X \rightarrow S$.

Write the special fiber $X_k = \sum_{i=1}^n r_i \Gamma_i$, where for each $i = 1, \dots, n$, Γ_i is irreducible, of multiplicity r_i in X_k .

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Theorem (GLL). Keep the above assumptions on X/S . In particular, X is regular and $X \rightarrow S$ is proper. Assume also that \mathcal{O}_K is Henselian.

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Then

$$\delta(X_K/K) = \gcd\{r_i \delta(\Gamma_i^{reg}/k), i = 1, \dots, n\}.$$

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$$\delta(X_K/K) = \gcd\{r_i \delta(\Gamma_i^{reg}/k), i = 1, \dots, n\}.$$

In general, $\gcd(r_i \delta(\Gamma_i^{reg}/k), i = 1, \dots, n)$ divides $\delta(X_K/K)$.

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In general, $\gcd(r_i \delta(\Gamma_i^{reg}/k), i = 1, \dots, n)$ divides $\delta(X_K/K)$.

Example. Consider the projective curve X_K over $K = \mathbb{R}((t))$ given by the equation $x^2 + y^2 + tz^2 = 0$. This equation defines a regular model over $\mathbb{R}[[t]]$, with integral special fiber Γ/\mathbb{R} given by $x^2 + y^2 = 0$. So here $\delta(X_K/K) = 2 = r(\Gamma)\delta(\Gamma^{reg}/\mathbb{R})$, while $r(\Gamma)\delta(\Gamma/\mathbb{R}) = 1$.

We have two different proofs for this theorem, and both proofs use a Moving Lemma. Let K be Henselian.

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We have two different proofs for this theorem, and both proofs use a Moving Lemma. Let K be Henselian.

Let $P \in X_K$. We need to relate $\deg_K(P)$ to data on the special fiber. Consider the **closure** $\overline{\{P\}}$ of P in X .

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Favorable case: $\overline{\{P\}}$ intersects the reduced special fiber only in a regular point x_0 . Then $r \deg_K(x_0)$ divides $\deg_K(P)$, with r the multiplicity of the component which contains x_0 .

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Difficult case: $\overline{\{P\}}$ intersects the reduced special fiber in a singular point.

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(1) Use the Moving Lemma on the 1-cycle $\overline{\{P\}}$, and F the singular locus of the reduced special fiber. There exists then a horizontal 1-cycle C' which reduces in the smooth locus of the reduced special fiber. One shows that one can choose such C' with $\deg(C') = \deg_K(P)$.

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(2) Keep P ; change the model X by blow-ups centered at points in the special fiber, so that in the new model Y , the closure of P in Y meets the new special fiber only in regular points. Show that $\gcd_i \{r_i \delta(\Gamma_i^{reg}/k)\}$ is invariant under blow-ups using the moving lemma for closed points on Γ_i .

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Method of proof for the Avoidance Lemma

Let $X \rightarrow S$ be a projective morphism with $S = \text{Spec } R$ affine and noetherian. Let $\mathcal{O}(1)$ be a very ample sheaf on X relative to S .

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Method of proof for the Avoidance Lemma

Let $X \rightarrow S$ be a projective morphism with $S = \text{Spec } R$ affine and noetherian. Let $\mathcal{O}(1)$ be a very ample sheaf on X relative to S .

Let $C \subset X$ be a closed subscheme defined by an ideal \mathcal{I} , and set $\mathcal{I}(n) := \mathcal{I} \otimes \mathcal{O}(n)$.

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Goal. For some n large enough, find of a global section f of $\mathcal{I}(n)$ such that the associated subscheme H_f has the desired properties.

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Goal. For some n large enough, find of a global section f of $\mathcal{I}(n)$ such that the associated subscheme H_f has the desired properties.

Fix a system of generators f_1, \dots, f_N of $H^0(X, \mathcal{I}(n))$. For $s \in S$, let $\Sigma(s) \subset \mathbb{A}^N(k(s))$ consists of **all the vectors** $(\alpha_1, \dots, \alpha_N)$ such that $\sum_i \alpha_i f_i|_{X_s}$ does NOT have the desired properties.

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We show then that all these subsets $\Sigma(s)$ are contained in a single **constructible** subset T of \mathbb{A}^N/S (which depends on n).

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We now have all these subsets $\Sigma(s)$ contained in a single **constructible** subset T of \mathbb{A}^N/S .

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We now have all these subsets $\Sigma(s)$ contained in a single **constructible** subset T of \mathbb{A}^N/S .

To find a desired global section $f := \sum_i a_i f_i$ with $a_i \in R$ which avoids the subset T of 'bad' sections, we show that for some n large enough, T satisfies the hypotheses of the following proposition.

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We now have all these subsets $\Sigma(s)$ contained in a single **constructible** subset T of \mathbb{A}^N/S .

To find a desired global section $f := \sum_i a_i f_i$ with $a_i \in R$ which avoids the subset T of 'bad' sections, we show that for some n large enough, T satisfies the hypotheses of the following proposition.

Theorem (GLL) *Let $S = \text{Spec } R$ be a noetherian affine scheme. Let T be a constructible subset of \mathbb{A}_S^N . Suppose that:*

- (1) $\dim(T) < N$.
- (2) *For all $s \in S$, there exists a $k(s)$ -rational point in $\mathbb{A}_{k(s)}^N$ which does not belong to T_s .*

Then there exists a section σ of $\pi : \mathbb{A}_S^N \rightarrow S$ such that $\sigma(S) \cap T = \emptyset$.

We now have all these subsets $\Sigma(s)$ contained in a single **constructible** subset T of \mathbb{A}^N/S .

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Then there exists a section σ of $\pi : \mathbb{A}_S^N \rightarrow S$ such that $\sigma(S) \cap T = \emptyset$.

The section σ whose existence follows from the proposition below provides the vector $(a_1, \dots, a_N) \in R^N$ such that the section $f := \sum a_i f_i$ in $\mathcal{I} \otimes \mathcal{O}(n)$ has the desired properties.

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Avoidance Lemma For Families. Let $X \rightarrow S$ be a projective morphism with S affine. Given two disjoint closed sets C and F , one can consider the existence of hypersurfaces which contain C and avoid F .

Moving Lemma for Families. Let $X \rightarrow S$ be a projective morphism with S a semi-local affine scheme. Given a horizontal cycle C finite over S , and a closed subset F , one can consider finding a rationally equivalent horizontal cycle C' which avoids F .

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Thank you very much!

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