

The Index of an Algebraic Variety

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Hawaii Conference

Honolulu

March 6-8, 2012

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index

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Two Holy Grails

Summary

Plan of the talk

- Definition of the index and examples.
- A completely different perspective on the index.
- Index in a local family.
- Index in a global family.
- Some moving lemmas.

The results in the talk are mostly joint work:
found in papers of Gabber-Liu-L.,
and papers of Liu-L.-Raynaud.

Definition of the index

Let K be any field.

Let X/K be any algebraic variety
(or any scheme of finite type over K).

Let

$$\mathcal{D}(X/K) := \{\deg(P), P \text{ closed point of } X\} \subseteq \mathbb{N}.$$

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Two interesting invariants:

$\mu(X/K) :=$ smallest element in the set $\mathcal{D}(X/K)$.

$\delta(X/K) :=$ gcd of the elements of $\mathcal{D}(X/K)$.

The integer $\delta(X/K)$ is called the **index of X/K** .

Definition without schemes

\overline{K} : a fixed algebraic closure of K ,
 $K \subseteq L \subseteq \overline{K}$: a finite field extension,
 $[L : K]$: the *degree* of L/K .

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The *index* $\delta(X/K)$ of X/K is the gcd of the set $\mathcal{D}(X/K)$.

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When $K = \mathbb{C}$, then $\delta(X/K) = 1$.

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When $K = \mathbb{R}$, then $\delta(X/K) = 1$ or 2 .

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When $K = \mathbb{R}$, then $\delta(X/K) = 1$ or 2 .

Example. Let $f(x, y) = x^2 + y^2 + 1$. Then $X(\mathbb{R}) = \emptyset$, and $X(\mathbb{C}) \neq \emptyset$, so $\mathcal{D}(X/\mathbb{R}) = \{2\}$, and $\delta(X/\mathbb{R}) = 2$.

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When $K = \mathbb{F}_p$, then $\delta(X/K) = 1$ if X/K is geometrically irreducible.

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When $K = \mathbb{F}_p$, then $\delta(X/K) = 1$ if X/K is geometrically irreducible.

This follows from the Weil bounds, which imply the existence of an integer $n_0 > 0$ such that X has a \mathbb{F}_{p^n} -point for all $n \geq n_0$, i.e.,

$$\mathcal{D}(X/K) \supset \{n, n \geq n_0\}.$$

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Obvious remark. If X/K has a K -rational point (i.e., $1 \in \mathcal{D}(X/K)$), then $\delta(X/K) = 1$.

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Example. Let $p > 3$ be a prime number. Let

$$f(x, y) = x^{p-1} + y^{p-1} + 1.$$

We have $X(\mathbb{F}_p) = \emptyset$, since $a^{p-1} = 0$ or 1 for any $a \in \mathbb{F}_p$.

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Let K be any field. Let $F(x_1, \dots, x_n) \in K[x_1, \dots, x_n]$ be a homogeneous polynomial of degree d in $n \geq 3$ variables. Let X_F/K denote the hypersurface of \mathbb{P}^{n-1}/K defined by F .

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Theorem (Springer, 1952). Assume $d = 2$. Then $\delta(X_F/K) = 1$ implies $1 \in \mathcal{D}(X_F/K)$.

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Conjecture (Cassels and Swinnerton-Dyer for $n = 4$, Coray (1975)). Assume that $d = 3$. Then $\delta(X_F/K) = 1$ implies $1 \in \mathcal{D}(X_F/K)$.

Fermat Curves

Let $p \geq 5$ be prime.

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Intersect F_p with the line $x + y + 1 = 0$:

$$(x+1)^p - x^p - 1 = x(x+1)(x^2+x+1)^b \cdot E_p(x),$$

with $b = 1$ or 2 , and $E_p(x) \in \mathbb{Z}[x]$.

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Putting these two conjectures together, we would find that

$$\mathcal{D}(F_p/\mathbb{Q}) = \{1, 2, \deg(E_p(x)), p-1, p, ?, \dots\}.$$

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Theorem (Gabber-Liu-L.) Let K be a number field. Let X/K be an irreducible smooth projective variety of positive dimension, with index $\delta := \delta(X/K)$. Then there exists $n_0 > 0$ such that

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Can the smallest such n_0 be bounded in terms of some geometrical invariants of X/K ?

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Theorem (Parent). If $p \geq 17$, then $3 \notin \mathcal{D}(X_1(p)/\mathbb{Q})$.

Theorem (Merel). Let $d \neq 1, (p-1)/2$, be any integer. Then there exists $p_0 = p_0(d)$ such that for all primes $p \geq p_0(d)$, then $d \notin \mathcal{D}(X_1(p)/\mathbb{Q})$.

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A : a noetherian local ring of dimension d ,

\mathcal{M} : maximal ideal of A ,

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I : an \mathcal{M} -primary ideal (i.e., $\sqrt{I} = \mathcal{M}$),

$\ell_A(A/I^n)$: **length** of the A -module A/I^n ,

$f_I(x)$: Hilbert-Samuel polynomial of I , with

$$f_I(n) = \ell_A(A/I^n)$$

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$$f_I(x) = \frac{e(I, A)}{d!} x^d + \text{lower degree terms} \in \mathbb{Q}[x].$$

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$e(I, A)$ is an **integer**, the *Hilbert-Samuel multiplicity* of I .

The set $\mathcal{E}(A)$

Let A be a noetherian local ring of dimension $d \geq 1$.

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New invariant:

$\gamma(A) := \gcd$ of the elements of the set $\mathcal{E}(A)$.

The invariant $\gamma(A)$ is also related to the singularity of A .

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Some properties of $\mathcal{E}(A)$

It is easy to see that

$$e(I^n, A) = n^d e(I, A),$$

so the set $\mathcal{E}(A)$ is always infinite.

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Is there an algorithm to compute $\gamma(A)$?

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Since F is homogeneous of degree $d > 1$, the point $P := (0, 0, 0)$ is always a singular point on Z/K .

There is a canonical map

$$Z \setminus \{P\} \longrightarrow X,$$

sending $(a, b, c) \mapsto (a : b : c)$.

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Let $A := \mathcal{O}_{Z,P}$ denote the local ring of the cone Z at the vertex P . In other words, A is the localization of $K[x, y, z]/(F)$ at the maximal ideal $\mathcal{M} := (x, y, z)$.

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In particular, the sets $\mathcal{D}(X/K)$ and $\mathcal{E}(A)$ are distinct in general.

Theorem (GLL). Let X/K be a smooth projective curve of degree d . Let A be the local ring at the vertex of the cone Z/K . Then

$$\delta(X/K) = \gamma(A).$$

In other words, $\gcd(\mathcal{D}) = \gcd(\mathcal{E})$.

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Let now K be the field of fractions of a discrete valuation ring \mathcal{O}_K with residue field k . Let $S := \text{Spec } \mathcal{O}_K$.

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The base S has dimension 1, and $\mathcal{X} \rightarrow S$ is a **one-dimensional family** of varieties, consisting in two fibers, the **generic fiber** X/K and the **special fiber** \mathcal{X}_k/k .

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Pete Clark asked the following question, and gave a conjectural answer for it:

Question. Is it possible to describe the index of the generic fiber X/K **only using data pertaining to the special fiber \mathcal{X}_k** ?

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In many different geometric contexts, quantities are sometimes easier to compute on a degeneration of the object than on the initial object itself.

To answer this question positively, let us introduce the following notation.

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Example Consider the curve Γ/\mathbb{R} given by $f(x, y) = x^2 + y^2$. It has a unique singular point $(0, 0)$, which is also the unique \mathbb{R} -rational point on Γ . Thus, $\delta(\Gamma/\mathbb{R}) = 1$, but $\delta(\Gamma^{reg}/\mathbb{R}) = 2$.

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Write the special fiber $\mathcal{X}_k = \sum_{i=1}^n r_i \Gamma_i$, where for each $i = 1, \dots, n$, Γ_i is **irreducible**, of multiplicity r_i in \mathcal{X}_k .

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Using the intersection of Cartier divisors with 1-cycles on the regular scheme \mathcal{X} , we easily find that $\gcd_i \{r_i \delta(\Gamma_i/k)\}$ divides $\delta(\mathcal{X}/K)$. Our next theorem strengthens this divisibility.

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Theorem (GLL). *Keep the above assumptions on \mathcal{X}/S .*

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(a) Then $\gcd(r_i \delta(\Gamma_i^{\text{reg}}/k), i = 1, \dots, n)$ divides $\delta(X/K)$.

(b) When \mathcal{O}_K is Henselian, then

$$\delta(X/K) = \gcd\{r_i \delta(\Gamma_i^{\text{reg}}/k), i = 1, \dots, n\}.$$

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The index in global families

$k := \mathbb{F}_q$, with $q = p^a$, p prime.

V/k : smooth proper geometrically connected curve.

$K := k(V)$: the function field of V .

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$K := k(V)$: the function field of V .

X/K : smooth proper geometrically connected curve of genus $g \geq 1$.

$f: \mathcal{X} \rightarrow V$: regular model of X/K .

$\mathcal{X}_v/k(v)$: special fiber of f over $v \in V$, with residue field $k(v)$.

$$\begin{array}{ccccc} \mathcal{X}_v & \hookrightarrow & \mathcal{X} & \longleftarrow & X \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } k(v) & \hookrightarrow & V & \longleftarrow & \text{Spec } K \end{array}$$

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So $f : \mathcal{X} \rightarrow V$ can be thought of as a **1-parameter family of curves**, parameterized by $v \in V$.

K_v : completion of K at the place $v \in V$.

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K_v : completion of K at the place $v \in V$.

Example. If $K = k(t)$ with $V = \mathbb{P}^1/k$, and v is the point 0, then $K_v = k((t))$.

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Note that $\delta_v \mid \delta(X/K)$.

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Note that $\delta_v \mid \delta(X/K)$.

Question. How do the integers $\delta(X/K)$ and $\delta(X_{K_v}/K_v)$, $v \in V$, fit together?

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Let k be a finite field. The scheme \mathcal{X}/k as above is a smooth proper surface over k .

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Let k be a finite field. The scheme \mathcal{X}/k as above is a smooth proper surface over k .

Conjecture of Artin: Its Brauer group $\text{Br}(\mathcal{X})$ is finite.

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Let k be a finite field. The scheme \mathcal{X}/k as above is a smooth proper surface over k .

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Recall that X/K is the generic fiber of $\mathcal{X} \rightarrow V$.

A/K : the Jacobian of X/K .

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Conjecture of Birch-Swinnerton-Dyer (as in Tate's 1965 Bourbaki Seminar). The Shafarevich-Tate group $\text{III}(A)$ of A/K is finite.

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Conjecture of Birch-Swinnerton-Dyer (as in Tate's 1965 Bourbaki Seminar). The Shafarevich-Tate group $\text{III}(A)$ of A/K is finite.

Our next theorem generalizes the following:

Theorem (Milne, 1982). If $|\text{III}(A)|$ is finite and $\delta_v = 1$ for all v , then $|\text{III}(A)| = \delta(X/K)^2 |\text{Br}(\mathcal{X})|$.

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Theorem (Liu-L.-Raynaud).

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Theorem (Liu-L.-Raynaud). Assume that $|\text{III}(A)|$ is finite. Then $|\text{III}(A)| \prod_v \delta_v \delta'_v = \delta(X/K)^2 |\text{Br}(\mathcal{X})|$.

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Here δ'_v is the **period** of X_{K_v}/K_v .

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Application: We provide the last ε towards:

Theorem (LLR). Let \mathcal{X}/k be a smooth geometrically connected surface. Assume that for some prime ℓ , the ℓ -part of $\text{Br}(\mathcal{X})$ is finite. Then $|\text{Br}(\mathcal{X})|$ is a square.

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Remark. For about 30 years (1966-1996), this theorem was thought to be false (error in an example of Manin, corrected by Urabe).

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Theorem (LLR). Let \mathcal{X}/k be a smooth geometrically connected surface. Assume that for some prime ℓ , the ℓ -part of $\text{Br}(\mathcal{X})$ is finite. Then $|\text{Br}(\mathcal{X})|$ is a square.

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During the same period, it was believed that the order of the group $\text{III}(A)$ was always a square (corrected by Poonen and Stoll). In fact, the work of Poonen and Stoll shows that

$|\text{III}(A)| \prod_v \delta_v \delta'_v$ is always a square!

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Summary

- The index of a variety X/K can be computed solely in terms of commutative algebra data in the local ring of the singular point of the vertex of a cone over X .
- The index of the generic fiber in a local family can be computed with data pertaining only to the special fiber.
- The indices of the fibers in a global family are expected to satisfy $|\mathbb{I}(A)| \prod_v \delta_v \delta'_v = \delta(X/K)^2 |\text{Br}(\mathcal{X})|$.

THANKS!

Mahalo!

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