

## Grothendieck's pairing on component groups of Jacobians

Siegfried Bosch<sup>1</sup>, Dino Lorenzini<sup>2</sup>

<sup>1</sup> Mathematisches Institut, Einsteinstraße 62, D-48149 Münster, Germany

(e-mail: bosch@math.uni-muenster.de)

<sup>2</sup> University of Georgia, Athens, GA 30602, USA

(e-mail: lorenzini@math.uga.edu)

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Let  $\mathfrak{R}$  be a discrete valuation ring with field of fractions  $K$ . Let  $A_K$  be an abelian variety over  $K$  with dual  $A'_K$ . Denote by  $A$  and  $A'$  the corresponding Néron models and by  $\Phi_A$  and  $\Phi_{A'}$  their component groups. In [Gr], Exp. VII–IX, Grothendieck used the notion of biextension invented by Mumford to investigate how the duality between  $A_K$  and  $A'_K$  is reflected on the level of Néron models. In fact, the essence of the relationship between  $A$  and  $A'$  is captured by a bilinear pairing

$$\langle , \rangle : \Phi_A \times \Phi_{A'} \longrightarrow \mathbb{Q}/\mathbb{Z},$$

introduced in [Gr], Exp. IX, 1.2, and which represents the obstruction to extending the Poincaré bundle  $\mathcal{P}_K$  on  $A_K \times A'_K$  to a biextension of  $A \times A'$  by  $\mathbb{G}_{m, \mathfrak{R}}$ .

Grothendieck conjectured in [Gr], Exp. IX, 1.3, that the pairing  $\langle , \rangle$  is perfect and gave some indications on how to prove this in certain cases, namely on  $\ell$ -parts with  $\ell$  prime to the residue characteristic of  $\mathfrak{R}$ , as well as in the semi-stable reduction case; see [Gr], Exp. IX, 11.3 and 11.4, see also [Ber], [We] for full proofs. The conjecture has been established in various other cases, notably by Bégueri [Beg] for valuation rings  $\mathfrak{R}$  of mixed characteristic with perfect residue fields, by McCallum [McC] for finite residue fields and by Bosch [B] for abelian varieties with potentially multiplicative reduction, again for perfect residue fields. Grothendieck also mentions in [Gr], Exp. IX, 1.3.1, that for Jacobians, the conjecture follows from unpublished work of Artin and Mazur on the autoduality of relative Jacobians (for algebraically closed residue fields). On the other hand, using

previous work of Edixhoven [E] on the behavior of component groups under the process of Weil restriction, Bertapelle and Bosch [B-B] have recently given a series of counter-examples to Grothendieck’s conjecture when the residue field  $k$  of  $\mathfrak{X}$  is not perfect.

Our main result in this paper is an explicit formula for the pairing  $\langle \cdot, \cdot \rangle$  in the case of the Jacobian  $J_K$  of a smooth proper curve  $X_K$  having a  $K$ -rational point. More precisely, fixing a flat proper  $\mathfrak{X}$ -model  $X$  of  $X_K$ , which is regular, we show that the pairing  $\langle \cdot, \cdot \rangle$  is completely determined by the intersection matrix  $M$  of the special fiber  $X_k$  of  $X$  and by the geometric multiplicities of the irreducible components of  $X_k$ . This explicit formula allows us, on one hand, to prove Grothendieck’s conjecture for Jacobians as above in the case where all the geometric multiplicities are equal to 1 and, in particular, for perfect residue fields; see Corollary 4.7. On the other hand, working over an imperfect residue field, we use the formula to provide examples of Jacobians where Grothendieck’s conjecture fails to hold; see 6.2.

Our method of proof is purely geometric. In Sect. 1, we attach to any symmetric matrix  $M$  a “component group”  $\Phi_M$  and a symmetric pairing

$$\langle \cdot, \cdot \rangle_M : \Phi_M \times \Phi_M \longrightarrow \mathbb{Q}/\mathbb{Z}$$

which we show to be always perfect. In Sect. 2, we recall Raynaud’s description of the component group  $\Phi_J$  of a Jacobian  $J_K$  in terms of the intersection matrix  $M$  associated to a regular model of  $X_K$ . This description allows us to interpret  $\Phi_J$  as a subgroup of  $\Phi_M$ . The group  $\Phi_J$  coincides with  $\Phi_M$  when the residue field  $k$  is perfect or, more generally, when all the geometric multiplicities of the irreducible components of  $X_k$  are equal to 1. We thus obtain a canonical pairing on  $\Phi_J \times \Phi_J$  by restricting  $\langle \cdot, \cdot \rangle_M$  to  $\Phi_J \times \Phi_J$ . Note that even though the pairing on  $\Phi_M \times \Phi_M$  is always perfect, the restricted pairing on  $\Phi_J \times \Phi_J$  may not be perfect. Our main result, Theorem 4.6, states that Grothendieck’s pairing  $\langle \cdot, \cdot \rangle$  coincides with this restricted pairing once we identify  $J_K$  with its dual  $J'_K$  in a canonical way.

To prove Theorem 4.6, we first give in Sect. 3 a more practical description of Grothendieck’s pairing  $\langle \cdot, \cdot \rangle$ . We view it as a homomorphism

$$\Phi_{A'} \longrightarrow \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z}) \simeq \text{Ext}^1(\Phi_A, \mathbb{Z})$$

and, starting with an element  $x \in \Phi_{A'}$ , we describe its image in  $\text{Ext}^1(\Phi_A, \mathbb{Z})$  as a cocycle in  $H^2(\Phi_A, \mathbb{Z})_s$ ; see 3.3. This description is the key ingredient for the proof of 4.6 and involves the vanishing orders on certain divisors of suitable functions in  $K(A_K)$ . We then express in 3.7 the value  $\langle a, x \rangle$  for  $a \in \Phi_A$  and  $x \in \Phi_{A'}$  in terms of such vanishing orders. Finally, we express in 4.4 the value  $\langle a, x \rangle$  in terms of Néron’s local symbol  $j$ , introduced in [Nér] and recalled in Sect. 4. In the case of a Jacobian  $J_K$ , it is then the functoriality property of Néron’s local height pairing in conjunction with its characterization in terms of intersection theory on regular models of

curves that allows us to show that  $\langle a, x \rangle$  can be computed in terms of data pertaining only to the underlying curve  $X_K$  and to identify  $\langle \cdot, \cdot \rangle$  with the pairing  $\langle \cdot, \cdot \rangle_M$  given by the intersection matrix of the special fiber  $X_k$  of  $X$ .

In Sects. 5 and 6, we provide explicit computations of the pairing  $\langle \cdot, \cdot \rangle$ , including the case of elliptic curves and the case where the Jacobian  $J_K$  has potentially good reduction.

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### 1. A pairing attached to a symmetric matrix

We attach in this section a pairing to any symmetric matrix. The content of this section is of a purely linear algebraic nature.

Let  $Z$  be any domain with field of fractions  $Q$ . Let  $M \in M_v(Z)$  be any matrix, considered as a linear map  $M : Z^v \rightarrow Z^v$ . The group  $Z^v/\text{Im}(M)$  is a finitely generated  $Z$ -module. We denote by  $\Phi_M$ , or simply by  $\Phi$ , its torsion submodule. If  $Y \subset Z^v$  is any submodule, let  $Y^\perp$  denote the orthogonal of  $Y$  with respect to the standard scalar product on  $Z^v$ . Assume now that  $M$  is symmetric, so that  $\text{Im}(M) \subseteq \text{Ker}(M)^\perp$ . Then

$$\Phi = \text{Ker}(M)^\perp/\text{Im}(M).$$

Indeed  $\text{rk}(\text{Im}(M)) = \text{rk}(\text{Ker}(M)^\perp)$ , and  $\text{Ker}(M)^\perp$  is a saturated submodule of  $Z^v$  (i.e., if  $zu \in \text{Ker}(M)^\perp$  for some  $z \in Z, u \in Z^v$ , then  $u \in \text{Ker}(M)^\perp$ ).

Let  $\tau, \tau' \in \Phi$ , and let  $T, T' \in \text{Ker}(M)^\perp$  be vectors whose image in  $\Phi$  are  $\tau$  and  $\tau'$ , respectively. Let  $S, S' \in Z^v$  be such that  $MS = nT$  and  $MS' = n'T'$  for some non-zero  $n, n' \in Z$ . Define

$$\begin{aligned} \langle \cdot, \cdot \rangle_M : \Phi \times \Phi &\longrightarrow Q/Z \\ (\tau, \tau') &\longmapsto ({}^tS/n)M(S'/n') \pmod Z. \end{aligned}$$

When  $M$  is invertible over  $Q$ , this construction is classical (see, e.g., [Dur], Sect. 2). The pairing  $\langle \cdot, \cdot \rangle_M$  can then be written as

$$\langle \tau, \tau' \rangle_M = {}^tTM^{-1}T' \pmod Z.$$

**Lemma 1.1.** *The pairing  $\langle \cdot, \cdot \rangle_M$  is well-defined, bilinear, and symmetric.*

*Proof.* Assume that  $MS_1 = n_1T$  and  $MS_2 = n_2T$ . Then  $M(n_2S_1 - n_1S_2) = 0$ , so  $S_1/n_1 - S_2/n_2 \in \text{Ker}(M) \otimes_Z Q$ . Since  $T' \in \text{Ker}(M)^\perp$ , we find that

$$0 = ({}^tS_1/n_1 - {}^tS_2/n_2)T' = ({}^tS_1/n_1)M(S'/n') - ({}^tS_2/n_2)M(S'/n').$$

Thus, the value  $\langle \tau, \tau' \rangle$  does not depend on the choices of  $S$  and  $n$  in the relation  $MS = nT$ . Assume now that  $T_1$  and  $T_2$  both have image  $\tau$  in  $\Phi$ .

Then  $T_1 - T_2 = MV$  for some vector  $V \in Z^v$ . We may always find  $n \in Z$  and  $S_1, S_2 \in Z^v$  such that  $MS_1 = nT_1$  and  $MS_2 = nT_2$ . Then

$$\begin{aligned} ({}^tS_1/n)M(S'/n') &= {}^tT_1(S'/n') = ({}^tT_2 + {}^t(MV))(S'/n') \\ &= {}^tT_2(S'/n') + {}^tVM(S'/n') \\ &= {}^tT_2(S'/n') + {}^tVT' \\ &\equiv ({}^tS_2/n)M(S'/n') \pmod{Z}. \end{aligned}$$

Hence, the value  $\langle \tau, \tau' \rangle$  does not depend on the choice of a representative  $T \in \text{Ker}(M)^\perp$ . It is clear that the pairing is bilinear and symmetric.  $\square$

*Remark 1.2.* For  $A \in \text{GL}_v(Z)$  consider the symmetric matrix  $M' = {}^t(A^{-1})M(A^{-1})$ . Then the natural map  $Z^v \rightarrow Z^v$ , which sends  $V$  to  $AV$ , induces an isomorphism  $\alpha : \Phi_M \rightarrow \Phi_{M'}$  such that we have  $\langle x, y \rangle_M = \langle \alpha(x), \alpha(y) \rangle_{M'}$  for all  $x, y \in \Phi_M$ .

Recall that a bilinear pairing  $\langle \cdot, \cdot \rangle : \Phi \times \Phi' \rightarrow Q/Z$  is called *perfect*, if the associated  $Z$ -morphisms

$$\Phi \longrightarrow \text{Hom}_Z(\Phi', Q/Z), \quad \Phi' \longrightarrow \text{Hom}_Z(\Phi, Q/Z),$$

are isomorphisms. Of course, if  $\Phi = \Phi'$  and the pairing is symmetric (as in our case), the two maps coincide.

**Theorem 1.3.** *Let  $M \in M_v(Z)$  be any symmetric matrix. The pairing  $\langle \cdot, \cdot \rangle_M$  is perfect if either*

- a)  $\det(M) \neq 0$ , or
- b)  $\text{Ker}(M)$  is a free  $Z$ -module and  $Z^v$  is the direct sum of  $\text{Ker}(M)$  and a free complement, or
- c)  $Z$  is a principal ideal domain or, more generally,
- g)  $Z$  is a Dedekind domain.

*Proof.* Assume that  $\det(M) \neq 0$ . To show that the map  $\Phi_M \rightarrow \text{Hom}_Z(\Phi_M, Q/Z)$  is injective, choose  $x$  in its kernel and let  $T \in Z^v$  be a representative of  $x$ . Then  ${}^tTM^{-1}T' \in Z$  for all  $T' \in Z^v$  and, hence,  ${}^tTM^{-1} = {}^tS$  for some  $S \in Z^v$ . Thus,  $T = MS$  and, as  $x$  is the image of  $T$  in  $\Phi_M = Z^v/\text{Im}(M)$ , it is trivial.

To verify that  $\Phi_M \rightarrow \text{Hom}_Z(\Phi_M, Q/Z)$  is surjective, start out from an element  $\bar{\varphi} \in \text{Hom}_Z(\Phi_M, Q/Z)$ , i. e., from a  $Z$ -linear map  $\bar{\varphi} : \Phi_M \rightarrow Q/Z$ , and lift it to a  $Z$ -linear map  $\varphi : Z^v \rightarrow Q$ . Then  $\varphi$  is of type  $T' \mapsto {}^tST'$  for some  $S \in Q^v$  and satisfies  $\varphi(\text{Im}(M)) \subset Z$ . The latter means  ${}^tSMS' \in Z$  for all  $S' \in Z^v$ . But then  $T := MS \in Z^v$  and the residue class  $x \in \Phi_M$  of  $T$  satisfies  $\langle x, y \rangle_M = \bar{\varphi}(y)$  for all  $y \in \Phi_M$ . Thus,  $x$  is an inverse image of  $\bar{\varphi}$ .

Assume now that  $\text{Ker}(M)$  is a free  $Z$ -module, say of rank  $r$ . Let  $\{V_1, \dots, V_r\}$  be a basis for  $\text{Ker}(M)$ . By hypothesis, there exists a set  $\{V_{r+1}, \dots, V_v\}$  of vectors in  $Z^v$  such that  $Z^v = (\oplus_{i=1}^r ZV_i) \oplus (\oplus_{j=r+1}^v ZV_j)$ .

Let  $B \in GL_v(Z)$  be the matrix whose  $j$ -th column is  $V_j$ . Then the matrix  ${}^tBMB$  is symmetric, and is the null matrix except for a matrix  $A \in GL_{v-r}(Z)$  in the bottom right corner. Since  $B$  is invertible, we find using 1.2 that  $(Z^v/\text{Im}(M))_{\text{tors}}$  endowed with the pairing defined by  $M$  is isomorphic to  $(Z^v/(\text{Im}({}^tBMB)))_{\text{tors}}$  endowed with the pairing defined by  ${}^tBMB$ . Since  $(Z^v/\text{Im}({}^tBMB))_{\text{tors}} \cong Z^{v-r}/\text{Im}(A)$  and  $A$  is invertible, Part b) follows from Part a).

To prove Part c) suppose that  $Z$  is a principal ideal domain. Then  $\text{Ker}(M) \subset Z^v$  is certainly free. Since  $\text{Ker}(M)$  is saturated,  $Z^v/\text{Ker}(M)$  is also free and, thus,  $Z^v = \text{Ker}(M) \oplus Z^v/\text{Ker}(M)$ . Hence, we may apply Part b) in this case. Finally, Part d) is reduced to Part c) via localization.  $\square$

We study below the case of  $2 \times 2$ -matrices and produce examples over  $Z = k[x, y]$  and  $Z = k[x, y]/(y^2 - x^3)$  where the pairing on  $\Phi_M \times \Phi_M$  is not perfect. But for now, let  $Z$  be arbitrary, and let  $x, y, z \in Z$  with  $x \neq 0$ .

Let  $M = \begin{pmatrix} x & z \\ z & y \end{pmatrix}$  with  $\det(M) = 0 = xy - z^2$ . Given  $c \in Q$ , let

$$I_c := \{a \in Z \mid ac \in Z\}.$$

The set  $I_c$  is an ideal of  $Z$ . Let  $e := {}^t(1, z/x)$ . It is clear that  $\text{Im}(M)$  is generated by  $xe$  and  $ze$ .

**Lemma 1.4.** *The map  $I_{z/x}/(x, z) \rightarrow \Phi_M$ , with  $a \mapsto ae$ , is an isomorphism, and  $\Phi_M$  is killed by the ideal  $(x, y, z)$ .*

*Proof.* Assume that  ${}^t(n, m) \in Z^2$  is such that  $a{}^t(n, m) \in \text{Im}(M)$  for some  $a \in Z, a \neq 0$ . Then  $a{}^t(n, m) = (bx + cz)e$  for some  $b, c \in Z$ . It follows that  $m = nz/x$  and  ${}^t(n, m) = ne$ . Since  ${}^t(n, m) \in Z^2$ , we find that  $n \in I_{z/x}$ . To prove the last statement, let  $a \in I_{z/x}$ . Then  $yae = \frac{az}{x} \cdot {}^t(yx/z, y) = \frac{az}{x} \cdot {}^t(z, y)$  and  $az/x \in Z$  with  ${}^t(z, y) \in \text{Im}(M)$ .  $\square$

Thus, recalling that  $(a, 0)M = ax{}^te$ , the pairing  $\langle \cdot, \cdot \rangle : \Phi_M \times \Phi_M \rightarrow Q/Z$  can be described as follows:

**Lemma 1.5.** *Identifying  $\Phi_M$  with  $I_{z/x}/(x, z)$  via the isomorphism of 1.4, we have*

$$\langle a, b \rangle = ab/x \pmod Z.$$

**Lemma 1.6.** *The pairing is perfect if  $z/x$  or  $x/z \in Z$ .*

*Proof.* If  $z/x \in Z$ , then  $I_{z/x} = Z$ , and  $\langle a, b \rangle = 0$  for all  $b \in Z$  implies that  $\langle a, 1 \rangle = a/x \equiv 0 \pmod Z$ . Thus  $a/x \in Z$ , so  $a$  belongs to the ideal  $(x, z)$  and  $a = 0$  in  $\Phi_M$ . Furthermore, any  $Z$ -linear map  $\varphi \in \text{Hom}(\Phi_M, Q/Z)$  is induced from a  $Z$ -linear map  $Z \rightarrow Q$ . The latter is of type  $b \mapsto cb$  for some  $c \in Q$  satisfying  $c \cdot (x, z) \subset Z$ , and it follows  $c = a/x$  for some  $a \in Z$ . Thus,  $\varphi(b) = ab/x \pmod Z$  and the pairing is perfect.

If  $x/z \in Z$ , then  $I_{z/x} = (x/z)$ , and  $\langle a, (x/z) \rangle = ax/zx \equiv 0 \pmod Z$  implies that  $a/z \in Z$ , so  $a$  belongs to the ideal  $(x, z)$  and  $a = 0$  in  $\Phi_M$ . Similarly as before, any  $Z$ -linear map  $\varphi: \Phi_M \rightarrow Q/Z$  lifts to a  $Z$ -linear map  $(x/z) \rightarrow Q$ . The latter is of type  $b \mapsto cb$  for some  $c \in Q$ , where  $c \cdot (x, z) \subset Z$  and we see again that the pairing is perfect.  $\square$

Consider now  $M = \begin{pmatrix} x^2 & xy \\ xy & y^2 \end{pmatrix}$ , with  $\Phi_M \cong I_{xy/x^2}/(x^2, xy)$ . When  $k$  is any field and  $Z := k[x, y]$  is the free polynomial ring in two variables  $x$  and  $y$ , we find that  $I_{xy/x^2} = (x)$ . It follows that  $\Phi_M \neq 0$ , but the pairing on  $\Phi_M \times \Phi_M$  is trivial; in fact,  $\text{Hom}_Z(\Phi_M, Q/Z)$  is trivial. To obtain a similar example with  $\dim(Z) = 1$ , consider  $Z := k[x, y]/(y^2 - x^3)$ . Then, writing  $\bar{x}$  and  $\bar{y}$  for the residue classes of  $x$  and  $y$  in  $Z$ , we have  $I_{\bar{y}/\bar{x}^2} = (\bar{x}, \bar{y})$  since  $(\bar{x}, \bar{y})$  is maximal and  $\bar{y}/\bar{x} \notin Z$ . It follows that  $\Phi_M \neq 0$ , but the pairing on  $\Phi_M \times \Phi_M$  is trivial.

## 2. A canonical pairing on component groups of Jacobians

Let us now apply the purely linear algebraic results of the previous section to the case of Jacobians. To do this, fix a strictly henselian discrete valuation ring  $\mathfrak{R}$  with field of fractions  $K$  and residue field  $k$ , of characteristic  $p \geq 0$ ; so  $k$  is separably closed. Let  $X_K$  be a smooth proper geometrically connected curve over  $K$ . Let  $X$  be a proper flat  $\mathfrak{R}$ -model of  $X_K$ , which is regular. Such a model always exists and is, in fact, projective (see, for instance, [Art] or [D-M], page 87). Let  $J_K$  denote the Jacobian of  $X_K$ , let  $J$  denote its Néron model over  $\mathfrak{R}$ , and let  $\Phi_J$  be the associated component group. The latter is a finite étale  $k$ -group scheme and, thus, is constant, as  $k$  is separably closed. In order to be able to use Raynaud’s results on component groups of Jacobians recalled below, we assume in this article that, in addition,  $k$  is perfect or that  $X$  admits a section (which amounts to the fact that  $X_K(K)$  is not empty). In this situation,  $\Phi_J$  is described in terms of combinatorial data associated with the special fiber  $X_k$  of  $X$  (see [Ray], Sect. 8 or [BLR], 9.6/1; see also [B-L], 1.1, when  $\mathfrak{R}$  is not necessarily strictly henselian).

We will write the special fiber  $X_k/k$  as a Weil divisor  $X_k = \sum_C r(C)C$ , where  $C$  runs through the irreducible components of  $X_k$ , and where  $r(C)$  is the multiplicity of  $C$  in  $X_k$ . Furthermore, let  $e(C)$  denote the geometric multiplicity of  $C$  (see [BLR], 9.1/3). For divisors  $D, D'$  intersecting properly on  $X$ , one can define the intersection multiplicity  $(D \cdot D')$ . To recall the definition, consider first two prime divisors  $D, D'$  on  $X$ . Then  $(D \cdot D)$  is the sum of all local intersection numbers

$$(D \cdot D')_{x_k} := [k(x_k) : k] \cdot \text{len}(\mathcal{O}_{X, x_k}/(h_D, h_{D'}))$$

at closed points  $x_k \in X$ , where  $h_D, h_{D'}$  are functions representing  $D, D'$  at  $x_k$ . Using the terminology of [BLR], 9.1, we can consider the line bundles  $\mathcal{L}, \mathcal{L}'$  associated to  $D, D'$  and observe that  $(D \cdot D')$  is the degree  $\text{deg}_{D'}(\mathcal{L})$

of the restriction of  $\mathcal{L}$  to  $D'$ , provided the support of  $D'$  is contained in  $X_k$ . Of course, for more general divisors intersecting properly on  $X$ , the intersection multiplicity  $(D \cdot D')$  is defined via linear expansion. In addition, self-intersection is defined for divisors with support on the special fiber  $X_k$ , via the equations  $(X_k \cdot C) = 0$ , for any irreducible component  $C$  of  $X_k$ .

Writing  $\mathbb{Z}^I$  for the free  $\mathbb{Z}$ -module generated by the irreducible components  $C$  of  $X_k$ , we consider the complex of  $\mathbb{Z}$ -modules

$$\mathbb{Z}^I \xrightarrow{\alpha} \mathbb{Z}^I \xrightarrow{\beta} \mathbb{Z},$$

where the  $\mathbb{Z}$ -linear maps  $\alpha, \beta$  are given by

$$\alpha(D) := \sum_C (D \cdot C)C, \quad \beta(C) := r(C).$$

Furthermore, we consider the  $\mathbb{Z}$ -linear map

$$\lambda: \mathbb{Z}^I \longrightarrow \mathbb{Z}^I, \quad C \longmapsto e(C)C.$$

Then  $\lambda$  admits a  $\mathbb{Q}$ -inverse  $\lambda^{-1}$  where, by [BLR], 9.1/8, we may view  $\lambda^{-1} \circ \alpha$  as a map from  $\mathbb{Z}^I$  to  $\mathbb{Z}^I$ . So we can just as well look at the complex

$$\mathbb{Z}^I \xrightarrow{\lambda^{-1} \circ \alpha} \mathbb{Z}^I \xrightarrow{\beta \circ \lambda} \mathbb{Z}.$$

By [BLR], 9.6/1, the quotient  $\text{Ker}(\beta\lambda) / \text{Im}(\lambda^{-1}\alpha)$  is canonically identified with the component group  $\Phi_J$ . To be more precise, let us introduce the degree map

$$\rho: \text{Pic}(X) \longrightarrow \mathbb{Z}^I, \quad \mathcal{L} \longmapsto \sum_C \text{deg}_C(\mathcal{L})C,$$

where  $\text{deg}_C(\mathcal{L})$  denotes the degree of a line bundle  $\mathcal{L}$  on the component  $C$ . Let  $P(X)$  be the subgroup in  $\text{Pic}(X)$  consisting of all line bundles of total degree 0 on  $X$ . We obtain from [BLR], 9.6/1, and the proof of 9.5/9:

**Proposition 2.1.** *In the above situation, the following diagram is commutative:*

$$\begin{array}{ccccc} P(X) & \xrightarrow{\text{res}} & \text{Pic}^0(X_K) & \xlongequal{\quad} & J_K(K) = J(\mathfrak{A}) \\ \lambda^{-1} \circ \rho \downarrow & & & & \downarrow \\ \text{Ker}(\beta\lambda) & \longrightarrow & \text{Ker}(\beta\lambda) / \text{Im}(\lambda^{-1}\alpha) & \xlongequal{\quad} & \Phi_J, \end{array}$$

where the vertical map on the right is the natural composition  $J(\mathfrak{A}) \rightarrow J_k(k) \rightarrow \Phi_J$ .

Thus, given any point  $a_K \in J_K(K)$ , its image in  $\text{Ker}(\beta\lambda)/\text{Im}(\lambda^{-1}\alpha)$  is constructed as follows. Choose a divisor  $D_K$  of degree 0 on  $X_K$  representing  $a_K$ . Consider the schematic closure  $D$  of  $D_K$  in  $X$ , and let  $[D]$  be the line bundle on  $X$  associated to the Weil divisor  $D$ . Then the image of  $a_K$  in  $\Phi_J$  is given by the class of  $\lambda^{-1}\rho([D])$  in  $\text{Ker}(\beta\lambda)/\text{Im}(\lambda^{-1}\alpha)$ .

In order to describe the above maps in terms of matrices, choose a numbering  $C_1, \dots, C_v$  of the irreducible components of the special fiber  $X_k$ , and consider the intersection matrix  $M := (C_i \cdot C_j)_{1 \leq i, j \leq v}$ , the vector of multiplicities  $R = {}^t(r_1, \dots, r_v)$  with  $r_i := r(C_i)$ , as well as the diagonal matrix  $\Lambda = \text{diag}(e_1, \dots, e_v) \in M_v(\mathbb{Z})$  with diagonal entries the geometric multiplicities  $e_i := e(C_i)$ . Then  $\alpha: \mathbb{Z}^v \rightarrow \mathbb{Z}^v$  and  $\beta: \mathbb{Z}^v \rightarrow \mathbb{Z}$  are given by the matrices  $M$  and  ${}^tR$ , whereas  $\lambda^{-1} \circ \alpha$  and  $\beta \circ \lambda$  correspond to  $\Lambda^{-1}M$  and  ${}^t(\Lambda R)$ . We thus obtain

$$\Phi_{\Lambda, M} := \text{Ker}({}^t(\Lambda R))/\text{Im}(\Lambda^{-1}M) = \text{Ker}(\lambda^{-1}\alpha)/\text{Im}(\beta\lambda)$$

as the group of components of the Jacobian of  $X_K$ .

In the same way we can consider the quotient

$$\Phi_M := \text{Ker}({}^tR)/\text{Im}(M) = (\mathbb{Z}^v/\text{Im}(M))_{\text{tors}} = \text{Ker}\beta/\text{Im}\alpha.$$

We call the latter finite group the *component group* of  $M$ ; note that  $\text{Im}(M)$  has rank  $v - 1$  (see, e.g., [BLR], 9.5/10), and that  $\Phi_M$  is completely determined by  $M$ . Viewing the canonical diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{Z}^v & \xlongequal{\quad} & \mathbb{Z}^v & \longrightarrow & 0 & \longrightarrow & 0 \\ & & \lambda^{-1}\alpha \downarrow & & \alpha \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \mathbb{Z}^v & \xrightarrow{\quad \lambda \quad} & \mathbb{Z}^v & \longrightarrow & \text{coker } \lambda & \longrightarrow & 0 \\ & & \beta\lambda \downarrow & & \beta \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \mathbb{Z} & \xlongequal{\quad} & \mathbb{Z} & \longrightarrow & 0 & \longrightarrow & 0 \end{array}$$

as a short exact sequence of (vertical) complexes, the relation between  $\Phi_M$  and  $\Phi_{\Lambda, M}$  becomes obvious:

**Lemma 2.2.** *The middle row of the above diagram gives rise to an exact sequence*

$$0 \longrightarrow \Phi_{\Lambda, M} \longrightarrow \Phi_M \longrightarrow \text{coker } \lambda,$$

and the diagram of 2.1 extends to a commutative diagram

$$\begin{array}{ccccc} P(X) & \xrightarrow{\text{res}} & \text{Pic}^0(X_K) & \xlongequal{\quad} & J_K(K) = J(\mathfrak{A}) \\ \lambda^{-1}\circ\rho \downarrow & & & & \downarrow \\ \text{Ker}(\beta\lambda) & \longrightarrow & \text{Ker}(\beta\lambda)/\text{Im}(\lambda^{-1}\alpha) & \xlongequal{\quad} & \Phi_{\Lambda, M} = \Phi_J \\ \lambda \downarrow & & \downarrow & & \downarrow \\ \text{Ker}(\beta) & \longrightarrow & \text{Ker}(\beta)/\text{Im}(\alpha) & \xlongequal{\quad} & \Phi_M \end{array}$$

where the three bottom vertical maps are injective, and where  $\rho$  is the degree map  $\mathcal{L} \mapsto (\deg_{C_i}(\mathcal{L}))_i$ .

We may now restrict to  $\Phi_{\Lambda, M} \times \Phi_{\Lambda, M}$  the pairing  $\langle , \rangle_M: \Phi_M \times \Phi_M \rightarrow \mathbb{Q}/\mathbb{Z}$  attached to  $M$  in Sect. 1 and get a symmetric pairing

$$\langle , \rangle_{\Lambda, M}: \Phi_{\Lambda, M} \times \Phi_{\Lambda, M} \longrightarrow \mathbb{Q}/\mathbb{Z}.$$

**Theorem 2.3.** *As before, consider a smooth proper geometrically connected curve  $X_K$  and a flat proper  $\mathfrak{R}$ -model  $X$ , which is regular. Choose a numbering  $C_1, \dots, C_v$  of the irreducible components of  $X_K$  and let  $M$  be the associated intersection matrix. Let  $\Lambda = \text{diag}(e_1, \dots, e_v)$ , with  $e_i = e(C_i)$ . Assume that either  $k$  is perfect, or that  $X_K$  admits a rational point. Then:*

- (i) *The component group  $\Phi_J$  of the Jacobian  $J_K$  of  $X_K$  is canonically identified with  $\Phi_{\Lambda, M}$ , and there is a canonical injection  $\Phi_J = \Phi_{\Lambda, M} \hookrightarrow \Phi_M$ , which is induced by  $\lambda$ , respectively by multiplication with  $\Lambda$ ; see 2.2.*
- (ii) *The pairing  $\langle , \rangle_{\Lambda, M}$  on  $\Phi_{\Lambda, M}$ , restriction of the pairing  $\langle , \rangle_M$  on  $\Phi_M$ , gives rise to a well-defined symmetric pairing*

$$\langle , \rangle_J = \langle , \rangle_{\Lambda, M}: \Phi_J \times \Phi_J \longrightarrow \mathbb{Q}/\mathbb{Z},$$

which is independent of the chosen numbering of the components of  $X_k$ .

- (iii) *The pairing  $\langle , \rangle_M$  is perfect. Hence, the pairings  $\langle , \rangle_{\Lambda, M}$  and  $\langle , \rangle_J$  are perfect if  $\Lambda$  is the unit matrix; for example, the latter is the case if  $k$  is algebraically closed.*
- (iv) *The restriction of the pairing  $\langle , \rangle_J$  to the prime-to- $p$  part of  $\Phi_J \times \Phi_J$  is always perfect.*

*Proof.* Assertion (i) is clear. To verify (ii), let  $A \in M_n(\mathbb{Z})$  be a permutation matrix, so that  ${}^tA = A^{-1}$ . Set  $M' := AM({}^tA)$  and  $R' = AR$ . Furthermore, let  $\Lambda' := A\Lambda({}^tA)$ . Then  $\Lambda'$  is again a diagonal matrix since  $A$  is a permutation matrix. The isomorphism  $\Phi_M \xrightarrow{\sim} \Phi_{M'}$  described in 1.2, induced by  $V \mapsto AV$ , yields by restriction an isomorphism  $\Phi_{\Lambda, M} \xrightarrow{\sim} \Phi_{\Lambda', M'}$  which is compatible with the pairings  $\langle , \rangle_M$  and  $\langle , \rangle_{M'}$ .

The assertion on the perfectness of  $\langle , \rangle_M$  follows from 1.3. Since  $\langle , \rangle_M$  is perfect on  $\Phi_M \times \Phi_M$ , it is also perfect when restricted to the  $\ell$ -part of  $\Phi_M \times \Phi_M$  for any prime  $\ell$ . Thus, to prove assertion (iv), it is sufficient to show that the canonical injection  $\Phi_{\Lambda, M} \hookrightarrow \Phi_M$  is an isomorphism on prime-to- $p$  parts. That the latter is true follows from the exact sequence  $0 \rightarrow \Phi_{\Lambda, M} \rightarrow \Phi_M \rightarrow \text{coker } \lambda$  of 2.2, since  $\text{coker } \lambda = \bigoplus_i \mathbb{Z}/(e_i)$  is a  $p$ -group by [BLR], 9.1/4 (c). □

### 3. Grothendieck’s pairing

As before, let  $\mathfrak{R}$  be a strictly henselian discrete valuation ring with field of fractions  $K$ , uniformizing element  $\pi$ , and residue field  $k$ . Write  $i: \text{Spec } k \rightarrow \text{Spec } \mathfrak{R}$  for the canonical morphism. Let  $A_K$  be an abelian variety over  $K$  with dual  $A'_K$ . Denote by  $A$  and  $A'$  the corresponding Néron models, and by  $\Phi_A$  and  $\Phi_{A'}$  their component groups. Grothendieck introduced in [Gr], IX, 1.2, a canonical pairing

$$\langle \cdot, \cdot \rangle: \Phi_A \times \Phi_{A'} \longrightarrow \mathbb{Q}/\mathbb{Z},$$

which represents the obstruction of extending the Poincaré bundle  $\mathcal{P}_K$  on  $A_K \times A'_K$  to a biextension of  $A \times A'$  by  $\mathbb{G}_{m,\mathfrak{R}}$ . Our aim in this section is to produce in 3.7 an explicit formula for  $\langle a, x \rangle$  in terms of the orders of vanishing of a certain rational function associated with  $a \in \Phi_A$  and  $x \in \Phi_{A'}$ .

Let  $\mathcal{G}$  denote the Néron model of the multiplicative group  $\mathbb{G}_{m,K}$ ; see [BLR], 10.1/5 for its construction<sup>1</sup>. Consider the exact sequence

$$0 \longrightarrow \mathbb{G}_{m,\mathfrak{R}} \longrightarrow \mathcal{G} \longrightarrow i_*\mathbb{Z} \longrightarrow 0,$$

as well as the associated Biext sequence

$$\begin{aligned} 0 \longrightarrow \text{Biext}^1(A, A'; \mathbb{G}_{m,\mathfrak{R}}) &\longrightarrow \text{Biext}^1(A, A'; \mathcal{G}) \\ &\longrightarrow \text{Biext}^1(A, A'; i_*\mathbb{Z}) \longrightarrow 0, \end{aligned}$$

which is obtained by interpreting Biext as Ext groups; cf. [Gr], VII, 3.6.5. Due to [Gr], VIII, 6.7, restriction to generic fibers yields an isomorphism

$$\text{Biext}^1(A, A'; \mathcal{G}) \xrightarrow{\sim} \text{Biext}^1(A_K, A'_K; \mathbb{G}_{m,K}),$$

and by [Gr], VIII, 5.6 and 5.10, there is a canonical isomorphism

$$\text{Biext}^1(A, A'; i_*\mathbb{Z}) \xrightarrow{\sim} \text{Biext}^1(\Phi_A, \Phi_{A'}; \mathbb{Z}).$$

Furthermore, using the exact sequence  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Q} \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0$ , we get an isomorphism

$$\begin{aligned} \text{Biext}^1(\Phi_A, \Phi_{A'}; \mathbb{Z}) &\xleftarrow{\sim} \text{Biext}^0(\Phi_A, \Phi_{A'}; \mathbb{Q}/\mathbb{Z}) \\ &= \text{Hom}(\Phi_A \otimes_{\mathbb{Z}} \Phi_{A'}, \mathbb{Q}/\mathbb{Z}). \end{aligned}$$

Thus, viewing the Poincaré bundle  $\mathcal{P}_K$  as an element in  $\text{Biext}^1(A_K, A'_K; \mathbb{G}_{m,K})$  or  $\text{Biext}^1(A, A'; \mathcal{G})$ , we can look at its image in  $\text{Biext}^1(A, A'; i_*\mathbb{Z})$  and interpret it as a morphism  $\Phi_A \otimes_{\mathbb{Z}} \Phi_{A'} \rightarrow \mathbb{Q}/\mathbb{Z}$ . The latter is Grothendieck’s pairing of component groups; it represents the obstruction of extending  $\mathcal{P}_K$  to an element of  $\text{Biext}^1(A, A'; \mathbb{G}_{m,\mathfrak{R}})$ .

---

<sup>1</sup> As we do not require a Néron model to be of finite type, our notion of Néron model corresponds to the notion of Néron lft-model in [BLR].

In the following we want to write the pairing in the form of a homomorphism  $\Phi_{A'} \longrightarrow \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z})$ . We claim that there is a commutative diagram

$$\begin{array}{ccc}
 A'(\mathfrak{R}) & \longrightarrow & \text{Ext}^1(A, \mathfrak{G}) \\
 \downarrow & & \downarrow \\
 \Phi_{A'} & \longrightarrow & \text{Ext}^1(\Phi_A, \mathbb{Z}) \xleftarrow{\sim} \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z})
 \end{array}$$

with the pairing homomorphism occurring in the lower row. Here Ext groups are meant with respect to the fppf-topology; they may also be interpreted in the sense of group extensions. To define the map in the first row, start out from the isomorphism  $A'_K(K) \xrightarrow{\sim} \text{Ext}^1(A_K, \mathbb{G}_{m,K})$  given by the duality between  $A_K$  and  $A'_K$ . Using [Gr], VIII, 6.6, this isomorphism induces an isomorphism  $A'(\mathfrak{R}) \xrightarrow{\sim} \text{Ext}^1(A, \mathfrak{G})$ . The first vertical map is the projection of  $A'(\mathfrak{R})$  onto its component group, whereas the second is induced from the projection  $\mathfrak{G} \longrightarrow i_*\mathbb{Z}$ , using the fact that  $\text{Ext}^1(A, i_*\mathbb{Z})$  coincides with  $\text{Ext}^1(\Phi_A, \mathbb{Z})$  by [Gr], 5.5 and 5.9. That the diagram is commutative, follows from [Gr], VIII, 7.3.4.

We will identify  $\text{Ext}^1(\Phi_A, \mathbb{Z})$  with the cohomology group  $H^2(\Phi_A, \mathbb{Z})_s$  in the sense of [Ser], VII, §1.4. In order to specify the cohomology class associated to an element  $\Phi \in \text{Ext}^1(\Phi_A, \mathbb{Z})$  represented by an extension

$$0 \longrightarrow \mathbb{Z} \longrightarrow \Phi \xrightarrow{q} \Phi_A \longrightarrow 0,$$

we can choose a section  $s: \Phi_A \longrightarrow \Phi$  of  $q$  (not additive, in general) and consider the class associated to the cocycle  $\gamma$  given by

$$\gamma(a, b) = s(a + b) - s(a) - s(b), \quad a, b \in \Phi_A.$$

We are especially interested in the case where, as in 3.2 below,  $\Phi$  is induced by some element  $\mathcal{L}_K \in \text{Ext}^1(A_K, \mathbb{G}_{m,K})$ . In this situation, we want to show that the choice of a  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor  $\overline{\mathcal{L}}$  extending  $\mathcal{L}_K$  on  $A$  determines a section  $s: \Phi_A \longrightarrow \Phi$  of  $q$ .

Due to [Gr], VIII, 6.5, there is an equivalence between the category of  $\mathbb{G}_{m,K}$ -torsors on  $A_K$  and the category of  $\mathfrak{G}$ -torsors on  $A$ , whose inverse is given by restriction to the generic fiber. Given a  $\mathbb{G}_{m,K}$ -torsor  $\mathcal{L}_K$  on  $A_K$ , we obtain an associated  $\mathfrak{G}$ -torsor  $\mathcal{L}$  on  $A$  by choosing any  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor  $\overline{\mathcal{L}}$  on  $A$  extending  $\mathcal{L}_K$  and considering its push-out via  $\mathbb{G}_{m,\mathfrak{R}} \longrightarrow \mathfrak{G}$ . As  $A$  is regular, such a torsor  $\overline{\mathcal{L}}$  can always be found; for instance, when  $\mathcal{L}_K$  is associated to a prime divisor  $D_K$  on  $A_K$ , define  $\overline{\mathcal{L}}$  as the torsor associated to the schematic closure of  $D_K$  in  $A$ .

**Lemma 3.1.** *Let  $\overline{\mathcal{L}}$  be a  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor on  $A$ . Write  $\mathcal{L}_K$  for its generic fiber and  $\mathcal{L}$  for its push-out via  $\mathbb{G}_{m,\mathfrak{R}} \longrightarrow \mathfrak{G}$ , so that  $\mathcal{L}$  is the  $\mathfrak{G}$ -torsor on  $A$  associated to  $\mathcal{L}_K$  under the equivalence described above. Then:*

- (i) *In terms of total spaces,  $\mathcal{L}$  is obtained by glueing copies of  $\overline{\mathcal{L}}$ , parametrized by  $n \in \mathbb{Z}$ , along multiplication by  $\pi^n \in \mathbb{G}_{m,K}(K)$  on the generic fiber. In particular, there is a canonical open immersion  $\overline{\mathcal{L}} \hookrightarrow \mathcal{L}$ .*
- (ii) *On sets of components of special fibers,  $\overline{\mathcal{L}} \hookrightarrow \mathcal{L}$  induces an injection  $\Phi_{\overline{\mathcal{L}}} \hookrightarrow \Phi_{\mathcal{L}}$  over the group of components  $\Phi_A$ .*
- (iii) *The projection  $\Phi_{\overline{\mathcal{L}}} \rightarrow \Phi_A$  is bijective; hence, composing its inverse with the map of (ii), we get a section  $s: \Phi_A \rightarrow \Phi_{\mathcal{L}}$  of the projection  $q: \Phi_{\mathcal{L}} \rightarrow \Phi_A$ .*

*Proof.* Note that any  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor on  $A$  is locally trivial with respect to the Zariski topology. Thus, there is a Zariski-open covering  $\mathcal{U}$  of  $A$  on which  $\overline{\mathcal{L}}$  is given by a cocycle  $\eta$  with values in  $\mathbb{G}_{m,\mathfrak{R}}$ . The push-out  $\mathcal{L}$  of  $\overline{\mathcal{L}}$  is given by the same cocycle  $\eta$ , however, viewed now as a cocycle with values in  $\mathfrak{G}$ . Using the fact that  $\mathfrak{G}$  is obtained by glueing copies of  $\mathbb{G}_{m,\mathfrak{R}}$ , parametrized by  $n \in \mathbb{Z}$ , along multiplication by  $\pi^n \in \mathbb{G}_{m,K}(K)$  on the generic fiber, the assertion of (i) follows. The same argumentation shows (ii) and (iii) where, in the latter case, we have to use that, for any irreducible  $k$ -scheme  $X_k$ , also  $\mathbb{G}_{m,k} \times_k X_k$  is irreducible. □

Of course, we want to apply the assertions of 3.1 to the setting of extensions.

**Lemma 3.2.** *Consider an extension*

$$(*) \quad 0 \longrightarrow \mathbb{G}_{m,K} \longrightarrow \mathcal{L}_K \longrightarrow A_K \longrightarrow 0$$

*of (commutative)  $K$ -group schemes and let*

$$(**) \quad 0 \longrightarrow \mathfrak{G} \longrightarrow \mathcal{L} \longrightarrow A \longrightarrow 0$$

*be the extension of  $\mathfrak{R}$ -group schemes associated to  $(*)$ , using the equivalence of categories described in [Gr], VIII, 6.6. Furthermore, consider the image*

$$(***) \quad 0 \longrightarrow \mathbb{Z} \longrightarrow \Phi_{\mathcal{L}} \xrightarrow{q} \Phi_A \longrightarrow 0$$

*of  $(**)$  under the canonical map*

$$\text{Ext}^1(A, \mathfrak{G}) \longrightarrow \text{Ext}^1(A, i_*\mathbb{Z}) \simeq \text{Ext}^1(\Phi_A, \mathbb{Z}),$$

*which is induced from push-out with respect to  $\mathfrak{G} \rightarrow i_*\mathbb{Z}$ ; cf. [Gr], VIII, 5.5 and 5.9. Then:*

- (i) *The sequence  $(**)$  is the sequence of Néron models associated to  $(*)$ .*
- (ii) *The sequence  $(***)$  is the sequence of component groups associated to  $(**)$ .*
- (iii) *Using 3.1, the choice of a  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor  $\overline{\mathcal{L}}$  extending  $\mathcal{L}_K$  on  $A$  determines a section  $s: \Phi_A \rightarrow \Phi_{\mathcal{L}}$  of  $q$ .*

*Proof.* For assertion (i) we have only to show that  $\mathcal{L}$  is the Néron model of  $\mathcal{L}_K$ . In terms of torsors,  $\mathcal{L}$  is the  $\mathcal{G}$ -torsor associated to  $\mathcal{L}_K$ , as described in 3.1 (i) and its proof. From this we read that the construction of  $\mathcal{L}$  is compatible with extensions  $\mathfrak{R}'/\mathfrak{R}$  of ramification index 1 in the sense of [BLR], 3.6/1, and that, furthermore, the canonical map  $\mathcal{L}(\mathfrak{R}) \rightarrow \mathcal{L}_K(K)$  is surjective. But then, as  $\mathcal{L}$  is a smooth and separated  $\mathfrak{R}$ -group scheme, the assertion follows from [BLR], 10.1/2.

In order to verify (ii), let us start with the sequence (\*\*\*) and investigate how the associated sequence of component groups changes when we pass to (\*\*\*\*), always keeping in mind that, as a  $\mathcal{G}$ -torsor,  $\mathcal{L}$  is locally trivial with respect to the Zariski topology on  $A$ . First we take the push-out of (\*\*) via  $\mathcal{G} \rightarrow i_*\mathbb{Z}$ , a process which leaves component groups untouched, as  $p: \mathcal{G} \rightarrow i_*\mathbb{Z}$  is an isomorphism on component groups. Then we restrict to special fibers, which certainly does not affect component groups, and, finally, we use the fact that the resulting extension

$$0 \rightarrow \mathbb{Z} \rightarrow (p_*\mathcal{L})_k \rightarrow A_k \rightarrow 0$$

is the pull-back of (\*\*\*\*) with respect to the projection  $A_k \rightarrow \Phi_A$ . Trivially, this map is an isomorphism on component groups and, thus, this process preserves component groups. As (\*\*\*\*) is already a sequence of constant  $k$ -groups, we are done.  $\square$

In the situation of 3.2 and, in particular, of 3.2 (iii), we will consider the map

$$\text{ord}_{\overline{\mathcal{L}}}: \Phi_{\mathcal{L}} \rightarrow \mathbb{Z}, \quad c \mapsto c - s \circ q(c),$$

and we will call  $\text{ord}_{\overline{\mathcal{L}}}c = c - s \circ q(c)$  the order of  $c$  (relative to the section  $s$ , or relative to the  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor  $\overline{\mathcal{L}}$  extending  $\mathcal{L}_K$ ). By composition we then get an order function

$$\mathcal{L}_K(K) = \mathcal{L}(\mathfrak{R}) \rightarrow \Phi_{\mathcal{L}} \xrightarrow{\text{ord}_{\overline{\mathcal{L}}}} \mathbb{Z},$$

on  $K$ -valued points of  $\mathcal{L}_K$ , which we will also denote by  $\text{ord}_{\overline{\mathcal{L}}}$ .

If  $f$  is a rational function on  $A_K$ , its order  $\text{ord}_c f$  on a component  $c \in \Phi_A$  is defined as usual. Namely, let  $\zeta$  be the generic point of  $c$  viewed as an irreducible component of the special fiber  $A_k$ . Then the local ring  $\mathcal{O}_{A,\zeta}$  is a discrete valuation ring with uniformizing element  $\pi$ , the same we have in  $\mathfrak{R}$ , and with field of fractions  $K(A_K)$ , thus giving rise to a valuation  $\text{ord}_c$  on  $K(A_K)$ , which extends the one we have on  $K$ .

Now let us fix an element  $x \in \Phi_{A'}$  and show how to describe its image under Grothendieck’s pairing map

$$\Phi_{A'} \rightarrow \text{Ext}^1(\Phi_A, \mathbb{Z}) \simeq H^2(\Phi_A, \mathbb{Z})_s$$

in terms of a cocycle  $\gamma = \gamma_x \in Z^2(\Phi_A, \mathbb{Z})_s$ . First, choose a point  $\mathcal{L}_K \in A'_K(K)$  representing  $x$ . So  $\mathcal{L}_K$  is a primitive  $\mathbb{G}_{m,K}$ -torsor on  $A_K$ , and we

can select a divisor  $D_K$  on  $A_K$  inducing  $\mathcal{L}_K$ . Then the closure  $D$  of  $D_K$  in  $A$  defines a  $\mathbb{G}_{m,\mathfrak{A}}$ -torsor  $\overline{\mathcal{L}}$  extending  $\mathcal{L}_K$  and, thus, by 3.2 (iii), defines a section  $s: \Phi_A \rightarrow \Phi_{\mathcal{L}}$  of the associated map of component groups  $q: \Phi_{\mathcal{L}} \rightarrow \Phi_A$ . The image of  $x$  in  $H^2(\Phi_A, \mathbb{Z})_s$  is then given by the cocycle

$$\gamma(a, b) = s(a + b) - s(a) - s(b), \quad a, b \in \Phi_A,$$

which we want to compute in more detail.

**Theorem 3.3.** *Let  $D_K$  be a divisor on  $A_K$  giving rise to a primitive  $\mathbb{G}_{m,K}$ -torsor  $\mathcal{L}_K$  on  $A_K$  and, thus, to an extension in  $\text{Ext}^1(A_K, \mathbb{G}_{m,K})$ . Let  $\overline{\mathcal{L}}$  be the  $\mathbb{G}_{m,\mathfrak{A}}$ -torsor associated to the schematic closure  $D$  of  $D_K$  in  $A$  and  $s: \Phi_A \rightarrow \Phi_{\mathcal{L}}$  the section which is induced from  $\overline{\mathcal{L}}$  in the sense of 3.2 (iii). For any element  $a \in \Phi_A$ , fix a representative  $a_K \in A_K(K)$  of  $a$  and a rational function  $f_a \in K(A_K)$  with divisor  $\text{div}(f_a) = T_{a_K}^{-1}(D_K) - D_K$ , where  $T_{a_K}$  is the translation by  $a_K$  on  $A_K$ . Then, for  $a, b \in \Phi_A$ ,*

$$-\gamma(a, b) = s(a) + s(b) - s(a + b) = \text{ord}_b f_a - \text{ord}_0 f_a + s(0),$$

where  $0$  indicates the identity in  $\Phi_A$ .

In particular, if  $x \in \Phi_{A'}$  is the image of  $\mathcal{L}_K$  under the projection  $A'_K(K) \rightarrow \Phi_{A'}$ , then, replacing the section  $s$  by  $s' = s - s(0)$ , the image of  $x$  with respect to the pairing map  $\Phi_{A'} \rightarrow \text{Ext}^1(\Phi_A, \mathbb{Z})$  consists of the extension given by the cocycle  $\gamma'$ , where

$$-\gamma'(a, b) = s'(a) + s'(b) - s'(a + b) = \text{ord}_b f_a - \text{ord}_0 f_a.$$

*Proof.* As a primitive  $\mathbb{G}_{m,K}$ -torsor,  $\mathcal{L}_K$  is equipped with the structure of an extension of  $A_K$  by  $\mathbb{G}_{m,K}$ . The multiplication on  $\mathcal{L}_K$  is a composition of maps

$$\mathcal{L}_K \times \mathcal{L}_K \rightarrow p_1^* \mathcal{L}_K \otimes p_2^* \mathcal{L}_K \xrightarrow{\sim} \mu^* \mathcal{L}_K \rightarrow \mathcal{L}_K,$$

where  $p_1, p_2: A_K \times A_K \rightarrow A_K$  are the two projections and  $\mu: A_K \times A_K \rightarrow A_K$  is the multiplication map. The first map in the composition is the canonical map to the tensor product, the last one the canonical projection, and the middle one is the actual “multiplication map”, derived from the condition of  $\mathcal{L}_K$  being primitive. This isomorphism involves a certain choice and is determined up to a global section in  $\mathcal{O}_{A_K}^*$ , i. e., up to a constant in  $K^*$ .

Now choose a point  $a_K \in A_K(K)$  representing  $a \in \Phi_A$ . Writing  $\mathcal{L}_K(a_K)$  for the fiber of  $\mathcal{L}_K$  over  $a_K$  and restricting first factors to  $\mathcal{L}_K(a_K)$ , we see that multiplication on  $\mathcal{L}_K$  by points in  $\mathcal{L}_K(a_K)$  is given by the first row of the following commutative diagram

$$\begin{array}{ccccccc} \mathcal{L}_K(a_K) \times \mathcal{L}_K & \longrightarrow & p_1^* \mathcal{L}_K \otimes p_2^* \mathcal{L}_K|_{\{a_K\} \times A_K} & \longrightarrow & T_{a_K}^* \mathcal{L}_K & \longrightarrow & \mathcal{L}_K \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \{a_K\} \times A_K & \xlongequal{\quad} & \{a_K\} \times A_K & \xrightarrow{p_2} & A_K & \xrightarrow{T_{a_K}} & A_K \end{array}$$

whose right square is cartesian. In order to prove the assertion of the theorem, we need to know how this composition behaves with respect to order functions. The right isomorphism is obtained from pull-back with respect to translation by  $a_K$ . So, in terms of  $\mathbb{G}_{m,\mathfrak{R}}$ -torsors, it extends to an isomorphism<sup>2</sup>

$$[T_{a_{\mathfrak{R}}}^{-1} D] \xrightarrow{\sim} [D]$$

over the translation  $T_{a_{\mathfrak{R}}}$  on the Néron model  $A$ , where  $a_{\mathfrak{R}} \in A(\mathfrak{R})$  is the point induced from  $a_K$ . In particular, this map maintains orders if, on  $T_{a_K}^* \mathcal{L}_K$ , we base these on the  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor  $T_{a_{\mathfrak{R}}}^* \overline{\mathcal{L}}$ .

On the left-hand side of the above diagram, we identify  $\{a_K\} \times A_K$  with  $A_K$  and  $p_1^* \mathcal{L}_K \otimes p_2^* \mathcal{L}_K|_{\{a_K\} \times A_K}$  with  $\mathcal{L}_K$ , which is possible since  $p_1^* \mathcal{L}_K|_{\{a_K\} \times A_K}$  is trivial. Then the resulting map  $\mathcal{L}_K(a_K) \times \mathcal{L}_K \rightarrow \mathcal{L}_K$  over  $\{a_K\} \times A_K = A_K$  is bi-additive on orders, basing the definition of these on the  $\mathbb{G}_{m,\mathfrak{R}}$ -torsor  $\overline{\mathcal{L}}$ . Thus, it remains to discuss the middle map of the above diagram, which we can now view as an isomorphism

$$\varphi: \mathcal{L}_K = [D_K] \xrightarrow{\sim} [T_{a_K}^{-1} D_K] = T_{a_K}^* \mathcal{L}_K$$

of  $\mathbb{G}_{m,K}$ -torsors on  $A_K$ ; note that  $\mathcal{L}_K$  still carries orders induced from  $\overline{\mathcal{L}} = [D]$ , whereas on  $T_{a_K}^* \mathcal{L}_K$  we consider orders derived from  $T_{a_{\mathfrak{R}}}^* \overline{\mathcal{L}} = [T_{a_{\mathfrak{R}}}^{-1} D]$ . To abbreviate, let us write  $\mathcal{L}' = T_{a_{\mathfrak{R}}}^* \mathcal{L}$  and  $\overline{\mathcal{L}}' = T_{a_{\mathfrak{R}}}^* \overline{\mathcal{L}}$ . Then  $\varphi$  induces an isomorphism of  $\mathbb{Z}$ -torsors  $\tilde{\varphi}: \Phi_{\mathcal{L}} \xrightarrow{\sim} \Phi_{\mathcal{L}'}$ , and we claim that the formula

$$\text{ord}_{\overline{\mathcal{L}}}(s(a) + s(b)) = \text{ord}_{\overline{\mathcal{L}}'} \tilde{\varphi}(s(b)) = \text{ord}_b f_a$$

holds for a particular rational function  $f_a \in K(A_K)$  having divisor  $T_{a_K}^{-1} D_K - D_K$ .

To be more precise, switch to invertible sheaves and recall the fact we have used already, that, on schemes  $X$  we are considering, there is a bijective correspondence between invertible sheaves, line bundles, and  $\mathbb{G}_m$ -torsors. Namely, to an invertible sheaf  $\mathcal{I}$  associate the line bundle  $\text{Spec } S(\mathcal{I})$  corresponding to the symmetric  $\mathcal{O}_X$ -algebra  $S(\mathcal{I})$  of  $\mathcal{I}$ , and to pass from line bundles to  $\mathbb{G}_m$ -torsors, just remove the zero section. In particular, the functor from invertible sheaves to line bundles or  $\mathbb{G}_m$ -torsors is contravariant.

Now let  $[D_K]^{\text{in}}$  and  $[T_{a_K}^{-1} D_K]^{\text{in}}$  be the invertible sheaves of rational functions in  $K(A_K)$  which are canonically attached to  $D_K$  and  $T_{a_K}^{-1} D_K$ .

---

<sup>2</sup> A word on notation: Given a Weil or Cartier divisor  $D$  on a regular noetherian scheme  $X$ , the corresponding divisor class modulo linear equivalence is denoted by  $[D]$ , as usual. However, as done below, when it is convenient and poses no problems, we will make no difference between  $[D]$  and other constructs associated to  $[D]$ , like the associated line bundle,  $\mathbb{G}_m$ -torsor, or invertible sheaf.

Then  $\varphi$  corresponds to an isomorphism

$$\varphi^{\text{in}} : [T_{a_K}^{-1} D_K]^{\text{in}} \xrightarrow{\sim} [D_K]^{\text{in}},$$

and the latter consists of multiplication by a certain rational function  $f_a \in K(A_K)$  having divisor  $T_{a_K}^{-1} D_K - D_K$ . To justify the above claimed formula, just observe that a local generator  $g$  of  $[D_K]^{\text{in}}$  in a neighborhood of some point  $c_K \in A_K(K)$ , whose closure in  $A$  is disjoint from  $D$ , will extend to a local generator of  $[D]^{\text{in}}$  in a neighborhood of the corresponding point of  $A(\mathfrak{X})$  if and only if we have  $\text{ord}_c g = 0$ , where  $c \in \Phi_A$  is induced from  $c_K$ . The corresponding fact is true for  $[T_{a_K}^{-1} D_K]^{\text{in}}$  and  $[T_{a_{\mathfrak{X}}}^{-1} D]^{\text{in}}$ , and we thereby see that changes of orders under the map  $\varphi$  are realized by addition of the orders which  $f_a$  assumes on the components of  $\Phi_A$ . This is precisely the assertion of the formula, due to the fact that  $\text{ord}_{\overline{\mathcal{L}}} s(b) = 0$ . Note also that  $\text{ord}_0 f_a = s(0)$  for  $b = 0$ , as multiplication with  $s(0) \in \mathbb{Z}$  has the effect of adding  $s(0)$  to orders on  $\Phi_{\mathcal{L}}$ .

Recalling again the fact that the map  $\varphi$  records changes in  $\overline{\mathcal{L}}$ -order on  $\mathcal{L}_K$  under multiplication by points in  $\mathcal{L}_K(a_K)$  having trivial  $\overline{\mathcal{L}}$ -order, we get

$$\begin{aligned} -\gamma(a, b) &= s(a) + s(b) - s(a + b) = \text{ord}_{\overline{\mathcal{L}}}(s(a) + s(b)) \\ &= \text{ord}_b f_a = \text{ord}_b f_a - \text{ord}_0 f_a + s(0). \end{aligned}$$

Certainly, the value of  $\text{ord}_b f_a - \text{ord}_0 f_a$  remains unchanged if  $f_a$  is replaced by any multiple  $tf_a$  with  $t \in K^*$ . Therefore it follows that  $\gamma$  is as stated in the assertion of the theorem. By its definition, the cocycle  $\gamma'$  differs from  $\gamma$  by a coboundary and, thus, both give rise to the same cohomology class in  $H^2(\Phi_A, \mathbb{Z})_s$ . □

*Remark 3.4.* If, in the situation of the above proof, we are given a primitive  $\mathbb{G}_{m,K}$ -torsor  $\mathcal{L}_K$  on  $A_K$  and a  $\mathbb{G}_{m,\mathfrak{X}}$ -torsor  $\overline{\mathcal{L}}$  extending it, there is some freedom in choosing the isomorphism  $p_1^* \mathcal{L}_K \otimes p_2^* \mathcal{L}_K \xrightarrow{\sim} \mu^* \mathcal{L}_K$  giving rise to the structure of  $\mathcal{L}_K$  as an extension of  $A_K$  by  $\mathbb{G}_{m,K}$ . In fact, this isomorphism can be scaled in such a way that the unit section of  $\mathcal{L}_K$  is positioned at a place where it extends to an  $\mathfrak{X}$ -valued point of  $\overline{\mathcal{L}}$ . This implies  $s(0) = 0$  and has the effect that then  $\gamma'$ , as occurring in 3.3, coincides with  $\gamma$ . Thus,  $\gamma'$  may be viewed as a cocycle, which is canonically attached to  $\overline{\mathcal{L}}$  or to the section  $s$ .

For any element  $x \in \Phi_{A'}$ , we have described in 3.3 its image in  $\text{Ext}^1(\Phi_A, \mathbb{Z})$  under Grothendieck's pairing map. We now want to consider the full pairing morphism

$$\Phi_{A'} \longrightarrow \text{Ext}^1(\Phi_A, \mathbb{Z}) \xleftarrow{\sim} \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z})$$

and specify the image of  $x$  as an element  $\varphi_x \in \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z})$ . To do this, recall that the isomorphism on the right is obtained from the long Ext

sequence associated to the short exact sequence

$$0 \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{Q}/\mathbb{Z} \longrightarrow 0,$$

using the fact that  $\text{Hom}(\Phi_A, \mathbb{Q})$  and  $\text{Ext}^1(\Phi_A, \mathbb{Q})$  are trivial.<sup>3</sup>

**Lemma 3.5.** *For  $x \in \Phi_{A'}$  consider the commutative diagram*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \Phi_x & \xrightarrow{q} & \Phi_A & \longrightarrow & 0 \\ & & \downarrow & & \downarrow \iota & & \parallel & & \\ 0 & \longrightarrow & \mathbb{Q} & \longrightarrow & \tilde{\Phi}_x & \xrightarrow{\tilde{q}} & \Phi_A & \longrightarrow & 0, \end{array}$$

where the upper row is the extension associated to  $x$  in the sense of 3.3 and the lower one is its push-out via  $\mathbb{Z} \longrightarrow \mathbb{Q}$ . Then:

- (i) *The lower row of the diagram splits via a unique additive section  $\tilde{s}: \Phi_A \longrightarrow \tilde{\Phi}_x$ ; let  $\tilde{p} = \text{id} - \tilde{s} \circ \tilde{q} : \tilde{\Phi}_x \longrightarrow \mathbb{Q}$  be the associated projection.*
- (ii) *Choosing a (set-theoretic) section  $s: \Phi_A \longrightarrow \Phi_x$  of  $q: \Phi_x \longrightarrow \Phi_A$  and an integer  $n > 0$  satisfying  $n \cdot \Phi_A = 0$ , the splitting is given by*

$$\tilde{s}(a) = (\iota \circ s)(a) - \frac{1}{n}(n \cdot (\iota \circ s)(a)), \quad a \in \Phi_A,$$

where  $n \cdot s(a)$  is an element in  $\mathbb{Z}$  and as such is uniquely divisible by  $n$  in the image of  $\mathbb{Q}$  in  $\tilde{\Phi}_x$ .

- (iii) *The upper row of the above diagram is the pull-back of the short exact sequence*

$$0 \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{Q}/\mathbb{Z} \longrightarrow 0$$

via the homomorphism

$$\varphi_x: \Phi_A \xrightarrow{s} \Phi_x \xrightarrow{\iota} \tilde{\Phi}_x \xrightarrow{\tilde{p}} \mathbb{Q} \longrightarrow \mathbb{Q}/\mathbb{Z},$$

where, for  $a \in \Phi_A$ ,

$$\varphi_x(a) = \frac{1}{n}(n \cdot (\iota \circ s)(a)) = \frac{1}{n}(n \cdot s(a)) \pmod{\mathbb{Z}}.$$

In particular, the pairing morphism  $\Phi_{A'} \longrightarrow \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z})$  maps  $x$  to  $\varphi_x$ .

<sup>3</sup> Note that the isomorphism  $\text{Ext}^1(\Phi_A, \mathbb{Z}) \xleftarrow{\sim} \text{Hom}(\Phi_A, \mathbb{Q}/\mathbb{Z})$  and, likewise, the definition of Grothendieck’s pairing, involves a certain choice of sign. As we are viewing  $\text{Ext}^1(\Phi_A, \mathbb{Z})$  as the group of extensions of  $\Phi_A$  by  $\mathbb{Z}$ , the isomorphism is supposed to attach to a homomorphism  $\varphi: \Phi_A \longrightarrow \mathbb{Q}/\mathbb{Z}$  the pull-back of  $0 \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{Q}/\mathbb{Z} \longrightarrow 0$  with respect to  $\varphi$ .

*Proof.* Assertion (i) follows from the fact that  $\text{Ext}^1(\Phi_A, \mathbb{Q})$  and  $\text{Hom}(\Phi_A, \mathbb{Q})$  are trivial. Furthermore,  $\iota \circ s$  is a set-theoretic section of  $\tilde{q}$ . To any set-theoretic section  $t$  of  $\tilde{q}$  is associated the additive section  $t - \frac{1}{n}(n \cdot t)$ , where  $n \cdot t$  takes values in the image of  $\mathbb{Q}$  only. As  $q(n \cdot s(a)) = n \cdot q(s(a)) = 0$ , we have  $n \cdot s(a) \in \mathbb{Z}$ , and (ii) follows.

Thus, it remains to justify assertion (iii). First observe that  $\varphi_x$  is a homomorphism as, indeed,  $s(a + b) - s(a) - s(b)$  belongs to  $\mathbb{Z}$  for all  $a, b \in \Phi_A$ . Then consider the diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \Phi_x & \longrightarrow & \Phi_A & \longrightarrow & 0 \\ & & \parallel & & \downarrow \tilde{p} \circ \iota & & \downarrow \varphi_x & & \\ 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathbb{Q} & \longrightarrow & \mathbb{Q}/\mathbb{Z} & \longrightarrow & 0 \end{array}$$

which is commutative. It is easy to check that  $\Phi_x$  satisfies the properties of a fibered product of  $\mathbb{Q}$  and  $\Phi_A$  over  $\mathbb{Q}/\mathbb{Z}$ , say in the category of sets and, thus, also in the category of groups. But then the upper row of the preceding diagram is the pull-back with respect to  $\varphi_x$  of the lower one, as claimed in (iii). Finally, the formula for  $\varphi_x$  follows from (ii) and the equation  $\tilde{p} = \text{id} - \tilde{s} \circ \tilde{q}$ . □

Instead of describing  $\varphi_x$  in 3.5 (iii) via a section  $s: \Phi_A \rightarrow \Phi_x$ , we can just as well use cocycles of the type derived in 3.3.

**Lemma 3.6.** *As in 3.5, consider an extension*

$$0 \longrightarrow \mathbb{Z} \longrightarrow \Phi \xrightarrow{q} \Phi_A \longrightarrow 0$$

*of a finite abelian group  $\Phi_A$  and a section  $s: \Phi_A \rightarrow \Phi$  of  $q: \Phi \rightarrow \Phi_A$ . Set*

$$\gamma(a, b) = s(a + b) - s(a) - s(b), \quad a, b \in \Phi_A,$$

*and let  $n > 1$  be an integer such that  $n \cdot \Phi_A = 0$ . Then*

$$n \cdot s(a) = - \sum_{i=0}^{n-1} \gamma(a, i \cdot a), \quad a \in \Phi_A.$$

*Proof.* For integers  $i > 0$  we have

$$s(i \cdot a) = s(a) + s((i - 1) \cdot a) + \gamma(a, (i - 1) \cdot a)$$

and, hence,

$$s(0) = s(n \cdot a) = n \cdot s(a) + \sum_{i=1}^{n-1} \gamma(a, i \cdot a).$$

As  $s(0) = -\gamma(a, 0)$ , the assertion follows. □

Now we can combine 3.3, 3.5, and 3.6, in order to obtain an explicit description of Grothendieck’s pairing.

**Theorem 3.7.** *As before, consider Grothendieck’s pairing*

$$\langle \cdot, \cdot \rangle: \Phi_A \times \Phi_{A'} \longrightarrow \mathbb{Q}/\mathbb{Z}$$

*associated to an abelian variety  $A_K$  and its dual  $A'_K$ . Let  $n > 0$  be an integer satisfying  $n \cdot \Phi_A = 0$ .*

*For  $a \in \Phi_A$  and  $x \in \Phi_{A'}$ , fix representatives  $a_K \in A_K(K)$  and  $[D_K] \in A'_K(K)$ , where  $D_K$  is a divisor on  $A_K$ . Let  $f_a \in K(A_K)$  be a rational function with divisor  $\text{div}(f_a) = T_{a_K}^{-1}(D_K) - D_K$ , where  $T_{a_K}$  is the translation by  $a_K$  on  $A_K$ . Then*

$$\langle a, x \rangle = \frac{1}{n} \sum_{i=1}^{n-1} (\text{ord}_{i \cdot a} f_a - \text{ord}_0 f_a) \pmod{\mathbb{Z}}.$$

*Proof.* We use the section  $s': \Phi_A \rightarrow \Phi_x$  of 3.3, as well as the associated cocycle  $\gamma'$  given by  $\gamma'(a, b) = s'(a + b) - s'(a) - s'(b)$  for  $a, b \in \Phi_A$ . Then, due to 3.5 and 3.6, we get

$$\begin{aligned} \langle a, x \rangle &= \varphi_x(a) = \frac{1}{n} (n \cdot s'(a)) \pmod{\mathbb{Z}} \\ &= -\frac{1}{n} \sum_{i=0}^{n-1} \gamma'(a, i \cdot a) \pmod{\mathbb{Z}} \\ &= \frac{1}{n} \sum_{i=0}^{n-1} (\text{ord}_{i \cdot a} f_a - \text{ord}_0 f_a) \pmod{\mathbb{Z}} \\ &= \frac{1}{n} \sum_{i=1}^{n-1} (\text{ord}_{i \cdot a} f_a - \text{ord}_0 f_a) \pmod{\mathbb{Z}}. \end{aligned}$$

□

*Remark 3.8.* Alternatively, the pairing of 3.7 is described by

$$\langle a, x \rangle = \frac{1}{n} \sum_{i=0}^{n-1} \text{ord}_{i \cdot a} f_a \pmod{\mathbb{Z}}.$$

**4. Grothendieck’s pairing and Néron’s local symbols**

We start this section by recalling some basic facts about Néron’s height functions and the attached local symbols, in order to be able to express the values of Grothendieck’s pairing in terms of certain values of Néron’s symbols. For reference we will use Néron’s original article [Nér], as well as [Lan1], Chaps. 10 and 11. Our notation will be similar to that of [Lan1].

As before, let  $K$  be the field of fractions of a strictly henselian discrete valuation ring  $\mathfrak{A}$ , and let  $v$  be the valuation on  $K$ , normalized in such a way

that the value group of  $K$  is  $\mathbb{Z}$ . Fixing an algebraic closure  $K^a$  of  $K$ , there is a unique extension of  $\nu$  to  $K^a$ , again denoted by  $\nu$ . We shall assume, unless otherwise indicated, that for any finite extension  $L/K$ , we have  $[L : K] = [L_\nu : K_\nu]$ , where  $L_\nu$  and  $K_\nu$  denote the completions of  $L$  and  $K$  with respect to  $\nu$ . In other words, the absolute value on  $K$  corresponding to  $\nu$  is required to be *well behaved* in the terminology of [Nér], Chap. I.1, or [Lan1], Chap. 1, page 14, a notion which corresponds to the notion of *weakly stable* field in [BGR], 3.5.2. A general  $\nu$  will not enjoy this property, even if the residue field of the strictly henselian discrete valuation ring  $\mathfrak{R}$  is algebraically closed. However,  $\nu$  is well behaved if  $\mathfrak{R}$  is excellent, for example, if  $K$  is complete, or if  $\text{char } K = 0$ . The assumption that  $K$  is well behaved is needed when dealing with Néron’s symbols. In later results, where we derive consequences for Grothendieck’s pairing, it can be removed by passing to the completion of  $K$ .

Given a smooth proper and geometrically irreducible  $K$ -scheme  $X_K$ , let us write  $\text{Div}_a(X_K)$  for the group of (Cartier) divisors on  $X_K$  which are algebraically equivalent to 0; by definition, such divisors are rational over  $K$ , using the terminology of [Nér] or [Lan1]. A Weil function on  $X_K$  with divisor  $D_K \in \text{Div}_a(X_K)$  is a map

$$\lambda_{D_K} : (X_K - \text{supp } D_K)(K^a) \longrightarrow \mathbb{R}$$

satisfying the following condition: If  $D_K$  is represented by a rational function  $f$  on some open subset  $U_K \subset X_K$ , there is a locally bounded continuous function

$$\alpha : U_K(K^a) \longrightarrow \mathbb{R}$$

such that, for any  $K^a$ -valued point  $x$  of  $U_K - \text{supp } D_K$ , we have

$$\lambda_{D_K}(x) = \nu(f(x)) + \alpha(x).$$

In this context, locally bounded means bounded on any bounded subset in the sense of [Lan1], Chap. 10, §1, p. 250, and continuous is meant with respect to the  $\nu$ -topology, *op. cit.* p. 251. For example, any non-trivial rational function  $f \in K(X_K)$  determines a Weil function  $\lambda_f$  on  $X_K$ , given by

$$\lambda_f(x) = \nu(f(x)).$$

Writing  $\Gamma$  for the group of constant functions  $X_K(K^a) \longrightarrow \mathbb{R}$ , Néron’s height functions on  $X_K$  are characterized as follows; see [Nér], Chap. II.8, Thm. 2, or [Lan1], Chap. 11, Thm. 3.1.

**Theorem 4.1 (Néron).** *For any smooth projective and geometrically irreducible  $K$ -scheme  $X_K$  and any divisor  $D_K \in \text{Div}_a(X_K)$ , there exists a Weil function  $\lambda_{D_K}$  on  $X_K$  with divisor  $D_K$  which satisfies the following conditions:*

- (i) If  $D_K, D'_K \in \text{Div}_a(X_K)$ , then  $\lambda_{D_K+D'_K} \equiv \lambda_{D_K} + \lambda_{D'_K} \pmod{\Gamma}$ .
- (ii) If  $D_K$  is principal, say  $D_K = (f)$ , then  $\lambda_{D_K} \equiv \lambda_f \pmod{\Gamma}$ .
- (iii) If  $\varphi: X_K \rightarrow Y_K$  is a  $K$ -morphism of  $K$ -schemes of the mentioned type, and if  $D'_K \in \text{Div}_a(Y_K)$  is such that  $D_K = \varphi^{-1}(D'_K)$  is defined, then

$$\lambda_{D_K} \equiv \lambda_{D'_K} \circ \varphi \pmod{\Gamma}.$$

The Weil function  $\lambda_{D_K}$  is unique mod  $\Gamma$ . It will be called a Néron function on  $X_K$  with divisor  $D_K$ .

Néron functions are used to define Néron’s local height pairing at  $v$  as follows. For  $X_K$  as above, let  $Z_0(X_K)$  be the group of zero cycles of degree 0 on  $X_K$  (cycles called ‘rational over  $K$ ’ in [Nér] or [Lan1]). Then, identifying any prime zero cycle  $\mathfrak{a}_K$  (= closed point) of  $X_K$  with the induced zero cycle  $\mathfrak{a}_K \otimes_K K^a$  on  $X_K \otimes K^a$ , we can write any element  $\mathfrak{a}_K \in Z_0(X_K)$  in the form  $\mathfrak{a}_K = \sum_{i=1}^r n_i z_i$  with  $K^a$ -valued points  $z_i$  of  $X_K$ , where the  $n_i \in \mathbb{Z}$  satisfy  $\sum_{i=1}^r n_i = 0$  and the expression  $\sum_{i=1}^r n_i z_i$  is invariant under the action of the Galois group of  $K^a/K$ . For any such  $\mathfrak{a}_K$  and any  $D_K \in \text{Div}_a(X_K)$  with support disjoint from the support of  $\mathfrak{a}_K$ , we set

$$(\mathfrak{a}_K, D_K) := \lambda_{D_K}(\mathfrak{a}_K) = \sum_{i=1}^r n_i \lambda_{D_K}(z_i),$$

where  $\lambda_{D_K}$  is a Néron function with divisor  $D_K$ . We call  $(, )$  Néron’s local symbol at  $v$ .<sup>4</sup>

**Corollary 4.2.** *Néron’s local symbol  $(, )$  has the following properties:*

- (i)  $(\mathfrak{a}_K, D_K)$  is bilinear in  $\mathfrak{a}_K$  and  $D_K$ .
- (ii) For  $\mathfrak{a}_K = \sum_{i=1}^r n_i z_i \in Z_0(X_K)$  with  $z_i \in X_K(K^a)$  and  $D_K = \text{div } f$  with  $f \in K(X_K)^*$ , one has

$$(\mathfrak{a}_K, D_K) = \sum_{i=1}^r n_i v(f(z_i)).$$

- (iii) If  $\varphi: X'_K \rightarrow X_K$  is a  $K$ -morphism, then

$$(\varphi(\mathfrak{a}'_K), D_K) = (\mathfrak{a}'_K, \varphi^{-1}(D_K))$$

for any zero cycle  $\mathfrak{a}'_K$  on  $X'_K$  and any divisor  $D_K$  on  $X_K$  such that both sides are defined. The latter requires that  $\varphi(\mathfrak{a}'_K)$  is disjoint from  $D_K$ , and that we have  $\varphi(X'_K) \not\subset D_K$ , in which case,  $\varphi^{-1}(D_K)$  is a well-defined divisor.

---

<sup>4</sup> Actually, Néron considers symbols of type  $(D_K, \mathfrak{a}_K)$ , whereas we have chosen to reverse the order of arguments. We thereby avoid a switching of arguments in all formulas describing Grothendieck’s pairing in terms of Néron’s symbols.

On curves, Néron’s symbol can be described via intersection theory on regular models. This fact will be used as a key ingredient for the computation of Grothendieck’s pairing on Jacobians. The interpretation of Néron’s symbol in terms of intersection theory has been explained by Gross [Gro] over local fields, whereas the more general version we will need is attributed to Hriljac [Hr]; see [Lan2], Chap. III, Thm. 5.2. For the convenience of the reader, we have included below a direct proof of the statement needed for our main result (Thm. 4.6).

**Theorem 4.3.** *Let  $X$  be a flat proper  $\mathfrak{X}$ -scheme which is regular and whose generic fiber  $X_K$  is smooth and geometrically irreducible. Write  $C_1, \dots, C_v$  for the irreducible components of the special fiber  $X_k$  of  $X$ , and  $M = ((C_i \cdot C_j))_{i,j=1,\dots,v}$  for the associated intersection matrix. For any divisor  $D$  on  $X$ , let*

$$\rho([D]) := ((D \cdot C_i))_{i=1,\dots,v} = (\deg_{C_i}[D])_{i=1,\dots,v}$$

be the vector of degrees on the components  $C_i$  of  $X_k$ . Then, identifying  $Z_0(X_K)$  with  $\text{Div}_a(X_K)$ , Néron’s symbol  $(D_K, D'_K)$  on  $X_K$  for divisors  $D_K, D'_K \in \text{Div}_a(X_K)$  with disjoint supports is given by

$$(D_K, D'_K) = -(A \cdot D') + (D \cdot D') \in \mathbb{Q}.$$

In this formula,  $D$  and  $D'$  are the schematic closures of  $D_K$  and  $D'_K$  in  $X$ , and  $A \in \sum_{i=1}^v \mathbb{Q} \cdot C_i = \mathbb{Q}^v$  is a rational divisor on  $X$  satisfying  $\rho([D]) = \rho([A]) = MA$ . That such a divisor  $A$  always exists follows, for instance, from [BLR], 9.5/10.

*Proof.* We define a symbol  $[D_K, D'_K]$  for  $D_K, D'_K \in \text{Div}_a(X_K)$  with disjoint supports by the formula

$$[D_K, D'_K] := -(A \cdot D') + (D \cdot D'),$$

where  $A, D, D'$  are as described in the statement of the theorem. The symbol is well-defined, as the kernel of  $M$  consists of multiples of the divisor “special fiber” of  $X$ . Let us show that  $[, ]$  coincides with Néron’s symbol  $(, )$  using the criterion of [Lan1], Chap. 11, Thm. 3.7. To do this, we must check the following conditions:

- (1) *The symbol  $[, ]$  is bilinear.*
- (2) *If  $D_K$  is principal, say  $D_K = (f)$ , then  $[D_K, D'_K] = v(f(D'_K))$ .*
- (3) *The symbol is symmetric; i. e.,  $[D_K, D'_K] = [D'_K, D_K]$ .*
- (4) *Let  $\tau(D_K, D'_K) := [D_K, D'_K] - (D_K, D'_K)$ . Then for  $D_K$  fixed and  $\deg^+(D'_K)$  bounded, the values  $\tau(D_K, D'_K)$  are bounded;  $\deg^+(D'_K)$  is the degree of the positive part of  $D'_K$ .*

Obviously, the symbol is bilinear. To establish condition (2), let  $D, D'$  be the schematic closures of  $D_K, D'_K$ , and view  $f \in K(X_K)$  as a rational

function on  $X$ . Then its divisor is

$$\operatorname{div}_X f = D - A \quad \text{with} \quad A = - \sum_{i=1}^v \operatorname{ord}_{C_i}(f) C_i,$$

where we have written  $\operatorname{ord}_{C_i}$  for the extension of  $v$  corresponding to the valuation ring  $\mathcal{O}_{X,C_i}$  of  $K(X)$ . Since  $\rho([\operatorname{div}_X f]) = 0$ , it follows that

$$\rho([D]) = \rho([A]) = MA$$

and, by definition,

$$[D_K, D'_K] = \sum_{i=1}^v \operatorname{ord}_{C_i}(f)(C_i \cdot D') + (D \cdot D') = ((\operatorname{div}_X f) \cdot D').$$

To compute such an intersection multiplicity, assume that  $D'$  is a prime divisor. Then the support of  $D'$  consists of a point  $x_K \in X_K$  and of a unique point  $x_k \in X_k$ , since  $\mathfrak{X}$  is henselian. Choosing an affine open neighborhood  $U \subset X$  of  $x$ , let  $\mathfrak{p} \subset \mathcal{O}_X(U)$  be the prime ideal corresponding to  $D'$  and  $\mathfrak{p}_K$  its extension to  $\mathcal{O}_X(U_K)$ . There is a canonical commutative diagram

$$\begin{array}{ccc} \mathcal{O}_X(U) & \xrightarrow{\sigma} & \mathcal{O}_X(U)/\mathfrak{p} =: R' \hookrightarrow R'^{\text{nor}} \\ \downarrow & & \downarrow \\ \mathcal{O}_X(U_K) & \xrightarrow{\sigma_K} & \mathcal{O}_X(U_K)/\mathfrak{p}_K =: K' \end{array}$$

with vertical injections, where  $R'$  is a local ring with maximal ideal corresponding to  $x_k$ , and where  $R'^{\text{nor}}$  is the normalization of  $R'$  in its field of fractions  $K'$ . We denote by  $d = [K' : K]$  the degree of the extension  $K'/K$ , by  $e(K'/K)$  its ramification index and by  $f(K'/K)$  its residue degree, so that  $d = e(K'/K)f(K'/K)$ , due to the fact that the valuation on  $K$  is well behaved. For any element  $h \in \mathcal{O}_X(U)$  we have  $v(h(D'_K)) = d \cdot v'(\sigma_K(h))$ , where  $v'$  is the unique extension of  $v$  to  $K'$ . On the other hand, as we can interpret  $R'^{\text{nor}}$  as the valuation ring corresponding to  $v'$  and as

$$\operatorname{len} R'/(\sigma(h)) = \operatorname{len} R'^{\text{nor}}/(\sigma(h))$$

(see the beginning of [BLR], 9.1), we get

$$\begin{aligned} ((\operatorname{div}_X h) \cdot D')_{x_k} &= f(K'/K) \cdot \operatorname{len} R'/(\sigma(h)) \\ &= e(K'/K)f(K'/K) \cdot v'(\sigma(h)) = d \cdot v'(\sigma(h)), \end{aligned}$$

which shows  $((\operatorname{div}_X h) \cdot D')_{x_k} = v(h(D'_K))$ . Applying this reasoning to  $f$  and  $f^{-1}$  on suitable affine open neighborhoods of closed points in  $X_k$  belonging to the support of  $\operatorname{div}_X f$ , condition (2) follows.

Next we verify condition (3). Again, let  $D, D'$  be the schematic closures of  $D_K, D'_K$  in  $X$ , and let  $A, B \in \sum_{i=1}^v \mathbb{Q} \cdot C_i = \mathbb{Q}^v$  satisfy  $\rho([D]) = MA$  and  $\rho([D']) = MB$ . Then

$$\begin{aligned} (A \cdot D') &= {}^tA \cdot \rho([D']) = {}^tA \cdot M \cdot B \\ &= {}^tB \cdot M \cdot A = {}^tB \cdot \rho([D]) = (B \cdot D) \end{aligned}$$

and, as the intersection symbol  $(D \cdot D')$  is commutative, we get

$$[D_K, D'_K] = -(A \cdot D') + (D \cdot D') = -(B \cdot D) + (D' \cdot D) = [D'_K, D_K],$$

as required.

It remains to justify the boundedness in condition (4). To do this, we look at divisors  $D_K, D'_K \in \text{Div}_a(X_K)$  and consider their schematic closures  $D, D'$  on  $X$ , assuming that  $D_K$  and  $D$  are fixed. Furthermore, let  $A \in \sum_{i=1}^v \mathbb{Q} \cdot C_i = \mathbb{Q}^v$  satisfy  $\rho([D]) = MA$  so that, for variable  $D'_K$ , we have

$$[D_K, D'_K] = -(A \cdot D') + (D \cdot D') = -{}^tA \cdot \rho([D']) + (D \cdot D').$$

In order to show that  $(A \cdot D')$  is bounded if  $\text{deg}^+ D'_K$  is bounded, let  $D'^+_K$  be the positive part of  $D'_K$  and  $D'^+$  its schematic closure on  $X$ . Then the degree of  $D'^+$  is constant on  $\text{Spec } R$  by [BLR], 9.1/2, and, on the special fiber, it is the sum of all products  $r_i \text{deg}_{C_i}[D'^+]$  by [BLR], 9.1/5, where  $r_i$  is the multiplicity of  $C_i$  in  $X_K$ . In particular, all components of  $\rho([D'^+])$  and, consequently,  $(A \cdot D'^+)$ , are bounded if  $\text{deg}^+ D'_K$  is bounded. In the same way one can proceed with the negative part of  $D'_K$ .

The intersection multiplicity  $(D \cdot D')$  is not bounded for variable  $D'$ , but it will compensate against a certain part of  $(D_K, D'_K)$ . To justify this, let us consider an affine open covering  $(U_i)_{i=1 \dots n}$  of  $X$  together with rational functions  $f_i$  on  $U_i$ , such that the collection  $(U_i, f_i)_{i=1 \dots n}$  represents the divisor  $D$  on  $X$ . Let  $E_i \subset X(K^a)$  be the subset of those  $K^a$ -valued points which extend to integral points of  $U_i$ , with values in the valuation ring of  $K^a$ . In particular, each  $E_i$  is bounded in  $U_{i,K}$  and we have  $X_K(K^a) = \bigcup_{i=1}^n E_i$ , since  $X$  is proper. Furthermore, let  $\alpha_i : U_{i,K}(K^a) \rightarrow \mathbb{R}$  be locally bounded continuous functions such that the collection  $(U_{i,K}, f_i, \alpha_i)_{i=1 \dots n}$  represents the Néron divisor corresponding to the Néron function  $f_{D_K}$  we have on  $X_K$ . Then each map  $\alpha_i$  is bounded on  $E_i$  and, as

$$f_{D_K}(z) = v(f_i(z)) + \alpha_i(z) \quad \text{for } z \in E_i - (\text{supp } D_K)(K^a),$$

the assertion of (4) will follow if we can show that  $(D \cdot D') - f_i(D'_K)$  is trivial for effective divisors  $D'_K$  having support in  $E_i$  and with schematic closure  $D'$ . However, the latter is clear by our discussion of local intersection multiplicities in (2). Namely, for a prime divisor  $D'$  on  $X$ , which is induced by some point  $x_K \in E_i$  specializing into a point  $x_k \in X_k$ , we get

$$(D \cdot D')_{x_k} = ((\text{div}_X f_i) \cdot D')_{x_k} = [K(x_K) : K]v(f_i(x_K)) = v(f_i(D'))$$

from the computation of (2). □

For any abelian variety  $X_K = A_K$ , Néron’s symbol  $(\mathfrak{a}_K, D_K)$  can be related to the Néron model  $A$  of  $A_K$ ; see [Nér], Chap. III.4, Thm. 1. or [Lan1], Chap. 11, Thm. 5.1. Namely, if  $\mathfrak{a}_K$  is a linear combination of  $K$ -valued points of  $A_K$ , there is a decomposition

$$(\mathfrak{a}_K, D_K) = i(\mathfrak{a}_K, D_K) + j(\mathfrak{a}_K, D_K),$$

where  $i(\mathfrak{a}_K, D_K)$  takes values in  $\mathbb{Z}$  and, moreover, is trivial if the schematic closures of  $\mathfrak{a}_K$  and  $D_K$  on  $A$  have disjoint supports. Furthermore, for any rational function  $f \in K(A_K)$ , one has

$$j\left(\sum_{i=1}^r n_i z_i, \operatorname{div} f\right) = \sum_{i=1}^r n_i \operatorname{ord}_{C(z_i)} f,$$

with  $C(z_i)$  denoting the component of the special fiber of  $A$  on which  $z_i$  specializes; cf. [Nér], Chap. III.2 and, in particular, Chap. III.3, Prop. 1. As can be read from this formula in the special case of principal divisors, it follows more generally from [Nér], Chap. III.3, Prop. 2 (ii) that, for fixed  $D_K$ , the symbol  $j(\mathfrak{a}_K, D_K)$  depends only on the specialization of  $\mathfrak{a}_K$  on  $\Phi_A$ ; that is,  $j(\sum_{i=1}^r n_i z_i, D_K)$  remains unchanged if each  $z_i$  is replaced by  $z'_i \in A_K(K)$  such that both  $z_i$  and  $z'_i$  have the same image in  $\Phi_A$ .

Using the symbol  $j$ , the formula of 3.7, which reads

$$(*) \quad \langle a, x \rangle = \frac{1}{n} \sum_{i=1}^{n-1} j((i \cdot a_K) - (0), \operatorname{div} f_a) \pmod{\mathbb{Z}},$$

for any representative  $a_K$  of  $a$ , can be rewritten in a more convenient way as follows:

**Theorem 4.4.** *As in 3.7, consider Grothendieck’s pairing*

$$\langle \cdot, \cdot \rangle : \Phi_A \times \Phi_{A'} \longrightarrow \mathbb{Q}/\mathbb{Z}$$

associated to an abelian variety  $A_K$  and its dual  $A'_K$ . Then, for  $a \in \Phi_A$  and  $x \in \Phi_{A'}$ , we have

$$\langle a, x \rangle = -j((a_K) - (0), D_K) \pmod{\mathbb{Z}},$$

where  $a_K \in A_K(K)$  is a representative of  $a$ , and where  $D_K$  is a divisor on  $A_K$  such that  $[D_K] \in A'_K(K)$  represents  $x$ .

*Proof.* We start out from the formula (\*), where  $n$  is a positive integer satisfying  $n \cdot \Phi_A = 0$ , and where

$$\operatorname{div} f_a = T_{a_K}^{-1}(D_K) - D_K.$$

Using the bilinearity and translation invariance of the symbol  $j$ , as stated in [Nér], Chap. III.3, Prop. 1, in combination with the fact that  $j(\alpha_K, D_K)$  depends only on the specialization of  $\alpha_K$  on  $\Phi_A$ , we can write:

$$\begin{aligned} & \sum_{i=1}^{n-1} j((i \cdot a_K) - (0), \operatorname{div} f_a) \\ &= \sum_{i=1}^{n-1} j((i \cdot a_K) - (0), T_{a_K}^{-1}(D_K) - D_K) \\ &= \sum_{i=1}^{n-1} j(((i + 1) \cdot a_K) - (a_K), D_K) - \sum_{i=1}^{n-1} j((i \cdot a_K) - (0), D_K) \\ &= j((0) - (a_K) - (n - 1) \cdot (a_K) + (n - 1) \cdot (0), D_K) \\ &= -n \cdot j((a_K) - (0), D_K) \end{aligned}$$

Indeed, to go from line 3 to line 4, we use that  $n \cdot a_K$  and 0 both specialize into  $0 \in \Phi_A$ . Thus, the formula of 4.4 follows from (\*). □

*Remark 4.5.* Let  $A$  be a smooth  $\mathfrak{A}$ -group scheme of finite type and  $A_K$  its generic fiber. In [MB], II.1.1, Moret-Bailly constructs an obstruction for extending cubical line bundles from  $A_K$  to  $A$ . In our situation, where in place of  $A_K$  we consider the product  $A_K \times A'_K$  of an abelian variety  $A_K$  with its dual  $A'_K$ , as well as the associated product of Néron models  $A \times A'$  in place of  $A$ , the obstruction to extend the Poincaré bundle as a cubical line bundle from  $A_K \times A'_K$  to  $A \times A'$  corresponds to a bilinear pairing  $\Phi_A \times \Phi_{A'} \rightarrow \mathbb{Q}/\mathbb{Z}$ . In II.1.1.6, Moret-Bailly suggests that it is quite likely that his pairing coincides with Grothendieck’s pairing up to sign.

Furthermore, in [MB], III.1.4, Moret-Bailly expresses his pairing via Néron’s symbols, and the formula he obtains amounts to the one given in our Theorem 4.4, although without the introduction of a minus sign. Thus, we can conclude from 4.4 that, in fact, Grothendieck’s pairing coincides with Moret-Bailly’s pairing up to sign and that, given the conventions we have used, the sign is a minus sign.

From now on we drop the assumption that the valuation on  $K$  is well behaved. Furthermore, we assume that  $A_K = J_K$  is the Jacobian of a smooth proper geometrically connected curve  $X_K$  of genus  $g$ , admitting a rational point  $P$ . Let  $h : X_K \rightarrow J_K, Q \mapsto [Q] - [P]$ , be the associated map from  $X_K$  into its Jacobian. We write  $\mathcal{M}$  for the universal line bundle on  $X_K \times J_K$  (satisfying  $\mathcal{M}|_{\{P\} \times J_K} = 0$  and  $\operatorname{deg} \mathcal{M}|_{X_K \times \{y\}} = 0$  for all points  $y$  of  $J_K$ ) and  $\mathcal{P}$  for the Poincaré bundle on  $J_K \times J'_K$ , where  $J'_K$  is the dual of  $J_K$ . There is a unique morphism  $h' : J'_K \rightarrow J_K$  satisfying  $(\operatorname{id} \times h')^* \mathcal{M} = (h \times \operatorname{id})^* \mathcal{P}$  on  $X_K \times J'_K$ . It is given by the pull-back of line bundles with respect to  $h : X_K \rightarrow J_K$  and is an isomorphism (see for instance [Mil], Thm. 6.9).

To describe the inverse of  $h'$ , we consider the maps  $h^{(i)}: X_K^{(i)} \longrightarrow J_K$ ,  $i \in \mathbb{N}$ , induced from  $h$ , where  $X_K^{(i)}$  is the  $i$ -fold symmetric product of  $X_K$ . The image of  $h^{(g-1)}$  gives rise to a divisor  $\Theta$  on  $J_K$ , the so-called theta divisor, and one knows that the morphism

$$\varphi_{[\Theta]}: J_K \longrightarrow J'_K, \quad a_K \longmapsto [T_{a_K}^{-1}\Theta] - [\Theta],$$

is an isomorphism. In fact,  $-\varphi_{[\Theta]}$  and  $h'$  are inverse to each other by [Mil], Thm. 6.9. Also note that  $\varphi_{[\Theta]}$  and, hence,  $h'$  are independent of the choice of the rational point  $P$  on  $X_K$ , as any change of  $P$  leads to a translate of  $\Theta$ .

In the remainder of this paper, we will always identify  $J'_K$  with  $J_K$  using the isomorphisms  $h': J'_K \longrightarrow J_K$  and its inverse  $-\varphi_{[\Theta]}$ . Induced by this identification is an identification of the corresponding Néron models and their component groups so that Grothendieck’s pairing associated to  $J_K$  and  $J'_K$  becomes a pairing

$$\langle \cdot, \cdot \rangle: \Phi_J \times \Phi_J \longrightarrow \mathbb{Q}/\mathbb{Z}.$$

**Theorem 4.6.** *Let  $K$  be the field of fractions of an arbitrary strictly henselian discrete valuation ring  $\mathfrak{R}$ . Let  $J_K$  be the Jacobian of a smooth proper and geometrically connected curve  $X_K$  having a rational point  $P$ . Identify  $J_K$  with its dual  $J'_K$  via the map  $h': J'_K \longrightarrow J_K$  introduced above, which is given by pull-back of line bundles with respect to  $h: X_K \longrightarrow J_K$ ,  $Q \longmapsto [Q] - [P]$ .*

*Let  $X$  be a proper flat  $\mathfrak{R}$ -model of  $X_K$  which is regular. Let  $\Lambda$  be the diagonal matrix with entries the geometric multiplicities of the irreducible components of  $X_k$ , and let  $M$  be the intersection matrix of  $X_k$ . As in 2.3, identify the component group  $\Phi_J$  with the group  $\Phi_{\Lambda, M}$ .*

*Then Grothendieck’s pairing*

$$\langle \cdot, \cdot \rangle: \Phi_J \times \Phi_J \longrightarrow \mathbb{Q}/\mathbb{Z}$$

*coincides with the pairing*

$$\langle \cdot, \cdot \rangle_{\Lambda, M}: \Phi_J \times \Phi_J \longrightarrow \mathbb{Q}/\mathbb{Z}$$

*considered in 2.3.*

**Corollary 4.7.** *Let  $K$ ,  $\mathfrak{R}$ ,  $X_K$ , and  $J_K$  be as in 4.6. Then Grothendieck’s pairing  $\langle \cdot, \cdot \rangle$  is perfect when restricted to the prime-to- $p$  part of  $\Phi_J \times \Phi_J$ . Furthermore, the pairing is perfect on all of  $\Phi_J \times \Phi_J$  when  $k$  is algebraically closed or, more generally, when  $X_K$  has a proper flat  $\mathfrak{R}$ -model  $X$  which is regular and with special fiber  $X_k$  all of whose irreducible components are geometrically reduced.*

*Proof.* Use 4.6 in conjunction with 2.3, (iii) and (iv). □

**Corollary 4.8.** *Let  $E_K$  be an elliptic curve, where  $K$  is the field of fractions of an arbitrary strictly henselian discrete valuation ring  $\mathfrak{R}$ . If the reduction type of  $E_K$  over  $\mathfrak{R}$  is a classical Kodaira type, then Grothendieck’s pairing is perfect.*

*Proof.* The assertion follows from 4.7, since any elliptic curve over  $K$  having a classical Kodaira type as reduction, is such that all the irreducible components of the special fiber are geometrically reduced, except possibly when  $p = 2$ , the Kodaira type is *III*, and the intersection matrix is  $\begin{pmatrix} -2 & 2 \\ 2 & -2 \end{pmatrix}$ . In the latter case, if some geometric multiplicity is equal to 2, then one easily checks that the associated group of components is trivial and, thus, that the pairing is perfect in this case, too. Let us add that it is possible, to provide a more direct proof of 4.8 via 3.7, without using Néron’s symbols.  $\square$

When the residue field  $k$  is not perfect, there are several additional possible reduction types of elliptic curves in addition to the classical Kodaira types, and when  $p = 2$ , the pairing is not always perfect (see for instance [Lo5]). The additional reduction types are listed in [Szy].

Note that the statement regarding the prime-to- $p$  part of  $\Phi_J \times \Phi_{J'}$  is true in general for any abelian variety and any residue field. A proof of this statement was sketched in [Gr], and completed in [Ber].

*Proof of 4.6.* Let us first show that it is sufficient to prove the theorem in the case where  $K$  is complete and, thus, where the valuation is well behaved. Let  $\widehat{\mathfrak{R}}$  and  $\widehat{K}$  denote the completions of  $\mathfrak{R}$  and  $K$ , respectively. The formation of Néron models commutes with the base change  $\widehat{\mathfrak{R}}/\mathfrak{R}$  by [BLR], 7.2/2. It follows that the component groups of  $A_K$  and  $A_{\widehat{K}}$  are canonically isomorphic. Using this isomorphism, we find that Grothendieck’s pairing for  $A_K$  is canonically equal to Grothendieck’s pairing for  $A_{\widehat{K}}$ ; see [Gr], VIII, 7.3.5.3. Consider now a proper flat  $\mathfrak{R}$ -model  $X$  of  $X_K$  which is regular. Then  $X \times_{\mathfrak{R}} \widehat{\mathfrak{R}}$  and  $X$  have the same special fiber since  $\mathfrak{R}$  and  $\widehat{\mathfrak{R}}$  have same uniformizing parameter and residue field. Furthermore  $X \times_{\mathfrak{R}} \widehat{\mathfrak{R}}$  is regular. Namely, due to the properness of  $X \times_{\mathfrak{R}} \widehat{\mathfrak{R}}$  over  $\widehat{\mathfrak{R}}$ , all closed points of  $X \times_{\mathfrak{R}} \widehat{\mathfrak{R}}$  are situated on the special fiber, and completions of local rings at such points may be viewed as completions of the corresponding local rings of  $X$ . In addition, the intersection theory on  $X$  is the same as the intersection theory on  $X \times_{\mathfrak{R}} \widehat{\mathfrak{R}}$ . From all this it follows that Theorem 4.6 is true in general once it is proven in the case where the valuation is well behaved.

For the rest of the proof we assume that the valuation of  $K$  is well behaved. In particular, the results of this section on Néron’s symbols become applicable. We fix a theta divisor  $\Theta$  on  $J_K$ . As we have explained above, the map

$$\varphi_{[\Theta]} : J_K \longrightarrow J'_K, \quad a_K \longmapsto [T_{a_K}^{-1}\Theta] - [\Theta],$$

is an isomorphism, and  $-\varphi_{[\Theta]}$  is the inverse of the isomorphism  $h': J'_K \rightarrow J_K$  we are using in order to identify  $J'_K$  with  $J_K$ .

Identifying the associated Néron models and their component groups via  $h'$ , Thm. 4.4 allows us to write Grothendieck’s pairing on  $\Phi_J \times \Phi_J$  as

$$\begin{aligned} \langle a, x \rangle &= -j((a_K) - (b_K), -(T_{x_K}^{-1}\Theta - \Theta)) \pmod{\mathbb{Z}} \\ &= j((a_K) - (b_K), T_{x_K}^{-1}\Theta - \Theta) \pmod{\mathbb{Z}}, \end{aligned}$$

where  $a_K, b_K, x_K \in J_K(K)$  are representatives of  $a, 0, x \in \Phi_J$ . Let  $\Theta^-$  be the pull-back of  $\Theta$  under the inverse map on  $J_K$  and let  $\Theta_{y_K}^-$  be the translate of  $\Theta^-$  by some point  $y_K \in J_K(K)$ . As  $\varphi_{[\Theta]}$  coincides with  $\varphi_{[\Theta_{y_K}^-]}$ , we may just as well replace  $\Theta$  by  $\Theta_{y_K}^-$  in the above formula. Choose now  $y_K \in J_K(K)$  specializing into  $0 \in \Phi_J$ . Then, in addition, we may also replace  $x_K$  by  $x_K - y_K$ , and it follows that

$$\begin{aligned} \langle a, x \rangle &= j((a_K) - (b_K), T_{x_K - y_K}^{-1}\Theta_{y_K}^- - \Theta_{y_K}^-) \pmod{\mathbb{Z}} \\ (1) \quad &= -j((a_K) - (b_K), T_{-x_K + y_K}^{-1}\Theta_{y_K}^- - \Theta_{y_K}^-) \pmod{\mathbb{Z}} \\ &= -j((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-) \pmod{\mathbb{Z}} \end{aligned}$$

for  $a_K, b_K, x_K, y_K \in J_K(K)$  specializing into  $a, 0, x, 0 \in \Phi_J$ .

We return now to Néron’s symbols of type  $(\alpha_K, D_K)$ . In fact, we know that  $(\alpha_K, D_K)$  coincides with  $j(\alpha_K, D_K)$  for cycles  $\alpha_K$  with rational components if the schematic closures of the supports of  $\alpha_K$  and  $D_K$  in the Néron model  $J$  of  $J_K$  are disjoint. By reasons of dimension, such schematic closures are nowhere dense on the special fiber of  $J$ . Thus, fixing  $a, x \in \Phi_J$  and representatives  $x_K, y_K \in J_K(K)$  of  $x, 0 \in \Phi_J$ , this implies

$$(2) \quad j((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-) = ((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-)$$

for all representatives  $a_K, b_K \in J_K(K)$  of  $a, 0 \in \Phi$ , provided we avoid that  $a_K$  (respectively  $b_K$ ) specializes into a certain lower dimensional closed subset of the component  $a$  (respectively  $0$ ). In a similar way, we can fix representatives  $a_K, b_K$  of  $a, 0$  and choose the representatives  $x_K, y_K$  of  $x, 0$  appropriately. Actually, it is enough to keep the supports of  $(a_K) - (b_K)$  and  $\Theta_{x_K}^- - \Theta_{y_K}^-$  disjoint, because then  $j((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-)$  differs from  $((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-)$  by an integer, which is of no importance when we take residue classes in  $\mathbb{Q}/\mathbb{Z}$ .

Next we want to use the functoriality of Néron’s symbols in order to express Grothendieck’s pairing on  $J_K$  via data on  $X_K$ . Consider the maps  $h: X_K \rightarrow J_K, Q \mapsto [Q] - [P]$ , and  $h^{(g)}: X_K^{(g)} \rightarrow J_K$  induced from  $h$  on the  $g$ -th symmetric power  $X_K^{(g)}$  of  $X_K$ . There is a non-trivial open subset  $U_K \subset J_K$  satisfying the following conditions; see [Mil], Lemma 6.7:

- (i) For any  $z_K \in U_K(K)$ , the inverse image  $(h^{(g)})^{-1}(z_K)$  consists of a single point  $D(z_K) \in X_K^{(g)}(K)$ .
- (ii)  $h^{-1}(\Theta_{z_K}^-)$  is defined as a Cartier divisor on  $X_K$  and, interpreting  $D(z_K)$  as an effective Cartier divisor on  $X_K$ , we have  $h^{-1}(\Theta_{z_K}^-) = D(z_K)$ .

We claim that, given  $a, x \in \Phi_J$ , there are representatives  $a_K, b_K, x_K, y_K \in U_K(K)$  of  $a, 0, x, 0 \in \Phi_J$  such that

$$\begin{aligned}
 \langle a, x \rangle &= -j((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-) \pmod{\mathbb{Z}} \\
 (**) \quad &= -((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-) \pmod{\mathbb{Z}} \\
 &= -(D(a_K) - D(b_K), D(x_K) - D(y_K)) \pmod{\mathbb{Z}}.
 \end{aligned}$$

To justify this, start with representatives  $a_K, b_K \in U_K(K)$  of  $a, 0 \in \Phi_J$  and write

$$D(a_K) = \sum_{i=1}^g (a_i), \quad D(b_K) = \sum_{i=1}^g (b_i)$$

with points  $a_i, b_i$  of  $X_K$  which might have values in some finite separable extension of  $K$ . Choose representatives  $x_K, y_K \in U_K(K)$  such that  $a_K, b_K$ , as well as all images  $\bar{a}_i = h(a_i)$  and  $\bar{b}_i = h(b_i)$ , do not belong to the support of the divisor  $\Theta_{x_K}^- - \Theta_{y_K}^-$ . Then all Néron symbols in  $(**)$  are well-defined, and the first two equalities of  $(**)$  are clear from (1) and (2). Furthermore, the functoriality of Néron’s symbol, as stated in 4.2 (iii), yields

$$\begin{aligned}
 &(D(a_K) - D(b_K), D(x_K) - D(y_K)) \\
 &= \left( \sum_{i=1}^g (a_i) - (b_i), h^{-1}(\Theta_{x_K}^-) - h^{-1}(\Theta_{y_K}^-) \right) \\
 &= \left( \sum_{i=1}^g (\bar{a}_i) - (\bar{b}_i), \Theta_{x_K}^- - \Theta_{y_K}^- \right).
 \end{aligned}$$

Now recall the following translation property of Néron’s symbols ([Lan1], Chap. 11, Thm. 4.1). Let  $D$  be any divisor on  $J_K$  and  $\mathfrak{a}, \mathfrak{b}$  any zero cycles of degree 0 on  $J_K$ . Set  $D_{\mathfrak{a}} = \sum_{i=1}^r n_i \cdot T_{z_i} D$  if  $\mathfrak{a} = \sum_{i=1}^r n_i \cdot (z_i)$  and write  $D^-$  for the pull-back of  $D$  under the inverse map of  $J_K$ . Assume that  $\mathfrak{a}$  and  $D_{\mathfrak{b}}$  have disjoint supports. Then

$$(\mathfrak{a}, D_{\mathfrak{b}}) = (\mathfrak{b}, D_{\mathfrak{a}}^-).$$

This relation is used to justify the first and third equalities below:

$$\begin{aligned}
 &\left( \sum_{i=1}^g (\bar{a}_i) - (\bar{b}_i), \Theta_{x_K}^- - \Theta_{y_K}^- \right) \\
 &= ((x_K) - (y_K), \sum_{i=1}^g (\Theta_{\bar{a}_i} - \Theta) - \sum_{i=1}^g (\Theta_{\bar{b}_i} - \Theta)) \\
 &= ((x_K) - (y_K), \Theta_{a_K} - \Theta_{b_K}) + \delta \\
 &= ((a_K) - (b_K), \Theta_{x_K}^- - \Theta_{y_K}^-) + \delta,
 \end{aligned}$$

for some  $\delta \in \mathbb{Z}$ . In addition, to pass from the second to the third line, we use the equations

$$a_K = \sum_{i=1}^g \bar{a}_i, \quad b_K = \sum_{i=1}^g \bar{b}_i$$

and the fact that the divisors  $\sum_{i=1}^g \Theta_{\bar{a}_i}$  and  $\sum_{i=1}^g \Theta_{\bar{b}_i}$  are defined over  $K$ . As the divisors on the right hand sides in lines 2 and 3 differ by a principal divisor which, when evaluated on any cycle with rational components on  $J_K$ , yields values in  $\mathbb{Z}$  (using 4.2 (ii)), we deduce that the congruences in (\*\*\*) are valid.

We now interpret the quantities occurring in the last line of (\*\*), which concern Néron’s symbol on  $X_K$ , in terms of the description of  $\Phi_J$  given in 2.3. To do this, we use the  $\mathfrak{X}$ -model  $X$  of  $X_K$  whose existence we have required. Let  $C_1, \dots, C_v$  be the irreducible components of the special fiber  $X_k$  of  $X$  and  $e_i = e(C_i)$ , respectively  $r_i = r(C_i)$ , the geometric multiplicity of  $C_i$ , respectively the multiplicity of  $C_i$  in  $X_k$ . Set

$$\Lambda = \text{diag}(e_1, \dots, e_v), \quad M = (C_i \cdot C_j)_{1 \leq i, j \leq v}, \quad {}^tR = (r_1, \dots, r_v),$$

and consider the maps

$$M: \mathbb{Z}^v \longrightarrow \mathbb{Z}^v, \quad {}^tR: \mathbb{Z}^v \longrightarrow \mathbb{Z}, \quad \rho: \text{Pic}(X) \longrightarrow \mathbb{Z}^v,$$

as well as their  $\mathbb{Q}$ -extensions obtained from tensoring with  $\mathbb{Q}$  over  $\mathbb{Z}$  where, as before,  $\rho$  is the degree map  $\mathcal{L} \mapsto (\text{deg}_{C_i}(\mathcal{L}))_i$ . Then the component group  $\Phi_J$  can be canonically identified with a subgroup of the quotient  $\Phi_M = \text{Ker}({}^tR)/\text{Im}(M)$ ; cf. 2.2. In fact, given any point  $a_K \in J_K(K)$ , its image in  $\text{Ker}({}^tR)/\text{Im}(M)$  is constructed as follows. Choose a divisor  $D_K$  of degree 0 on  $X_K$  representing  $a_K$  and pass to the schematic closure  $D$  of  $D_K$  in  $X_K$ . Then the image of  $a_K$  in  $\Phi_M$  is given by the class of  $\rho([D])$  in  $\text{Ker}({}^tR)/\text{Im}(M)$ .

At this point we recall the description of Néron’s symbol on  $X_K$ , as given in 4.3. For a zero cycle (or divisor)  $Z_K$  of degree 0 and a divisor  $D_K$  on  $X_K$ , we consider the schematic closure  $Z$  of  $Z_K$  in  $X$  and choose a rational divisor  $A \in \sum_{i=1}^v \mathbb{Q} \cdot C_i = \mathbb{Q}^v$  on  $X$  such that  $\rho([Z]) = \rho([A]) = MA$ . Then, according to 4.3, if  $D$  is the schematic closure of  $D_K$  in  $X$ , Néron’s symbol is given by

$$(Z_K, D_K) = -(A \cdot D) + (Z \cdot D).$$

Furthermore, note that

$$(Z_K, D_K) \equiv -(A \cdot D) \pmod{\mathbb{Z}}$$

for residue classes in  $\mathbb{Q}/\mathbb{Z}$ , since  $(Z \cdot D) \in \mathbb{Z}$ , and that

$$(A \cdot D) = \sum_{i=1}^v c_i \cdot \text{deg}_{C_i}[D] = {}^tA \cdot \rho([D])$$

for  $A = \sum_{i=1}^v c_i C_i$ .

Let us apply this to  $Z_K = D(a_K) - D(b_K)$  and  $D_K = D(x_K) - D(y_K)$  as occurring in the computation (\*\*) above, and thereby determine the value of Grothendieck’s pairing  $\langle a, x \rangle$ . Passing from  $Z_K$  to  $Z$  and then to  $T = (\deg_{C_i}[Z])_i = \rho([Z]) = MA$ , we arrive at a representative  $T \in \text{Ker } ({}^tR)$  of  $a \in \Phi_J \subset \Phi_M$  and at a rational  $M$ -inverse  $A$  of  $T$ . Likewise,  $T' = \rho([D])$  is a representative of  $x \in \Phi_J$ . Now a comparison with the pairing  $\langle \cdot, \cdot \rangle_M$  defined in Sect. 1 shows

$$\langle a, x \rangle = (A \cdot D) \bmod \mathbb{Z} = {}^tAT' \bmod \mathbb{Z} = \langle a, x \rangle_M = \langle a, x \rangle_{\Lambda, M},$$

since, by its definition,  $\langle \cdot, \cdot \rangle_{\Lambda, M}$  is the restriction of  $\langle \cdot, \cdot \rangle_M$  to  $\Phi_J = \Phi_{\Lambda, M}$ . □

*Remark 4.9.* Let  $X$  be a regular model of a smooth proper geometrically connected curve  $X_K$ . Raynaud’s result gives a description of the group of components  $\Phi_J$  of  $J$  when  $k$  is algebraically closed, even when  $X_K$  does not have a  $K$ -rational point. It is thus natural to wonder whether an analog of Theorem 4.6, which would describe Grothendieck’s pairing on  $\Phi_J \times \Phi_{J'}$  only in terms of the combinatorics of the special fiber  $X_k$ , still holds in this case. The following provides some evidence that such an analog might hold.

Assume that the residue field  $k$  is algebraically closed. Let  $X_K$  be a curve of genus 1 without a rational point. Let  $X$  over  $\mathfrak{R}$  be its regular minimal model. As a divisor, the special fiber  $X_k$  is of the form  $mF$ , where  $m > 1$  is an integer and  $F$  is the special fiber of the regular minimal model of some elliptic curve. Let  $J_K$  denote the Jacobian of  $X_K$ . We would like to describe Grothendieck’s pairing on  $\Phi_J \times \Phi_{J'}$  in terms of the special fiber  $X_k$ . An indirect way to achieve this is to proceed as follows. Let  $J^{\min}$  denote the regular minimal model of  $J_K$  over  $\mathfrak{R}$ . Since  $J_K$  is an elliptic curve, Theorem 4.6 allows us to compute Grothendieck’s pairing on  $\Phi_{J'} \times \Phi_{J''}$  using  $J_k^{\min}$ . Since  $J_K$  is autodual, Grothendieck’s pairing on  $\Phi_J \times \Phi_{J'}$  is thus understood in terms of the special fiber  $J_k^{\min}$ . It is likely that the intersection matrices associated with  $F$  and  $J_k^{\min}$  are the same, a fact which is more or less known in the function field case; see [C-D], 5.3.1. When this statement holds, we find that Grothendieck’s pairing on  $\Phi_J \times \Phi_{J'}$  can indeed be described in terms of the special fiber  $X_k$ .

### 5. Explicit examples

Let  $X_K$  be a smooth proper geometrically connected curve over a discrete valuation field  $K$  with algebraically closed residue field. Let  $X$  be a proper flat  $\mathfrak{R}$ -model of  $X_K$ , which is regular. When no confusion may ensue,  $X_K$  will be simply called a *curve* over  $K$  and  $X$  will be called a *regular model* of  $X_K$ . Theorem 4.6 reduces the computation of Grothendieck’s pairing  $\langle \cdot, \cdot \rangle$  for the Jacobian  $J_K$  of  $X_K$  to the computation of the pairing  $\langle \cdot, \cdot \rangle_M$  of Sect. 1 associated with the intersection matrix  $M$  attached to the special fiber  $X_k$ . Thus, the computation of Grothendieck’s pairing is reduced to linear

algebra and can be theoretically computed explicitly in each particular case. However, when  $X_k$  is not simply connected, it becomes quite difficult to provide explicit general formulas already for the order of the group  $\Phi_M$ , not to mention for values of the pairing  $\langle \cdot, \cdot \rangle_M$ . On the other hand, when  $X_k$  is tree-like, then we can provide explicit formulas for the values of  $\langle \cdot, \cdot \rangle_M$  in terms of the combinatorics of  $X_k$ ; this is done in 5.1. Formulae for  $|\Phi_M|$  in this case can already be found in [Lo2], 1.5. In particular, assuming that the residue field  $k$  is algebraically closed, Proposition 5.1 below enables us to compute Grothendieck’s pairing in the case of Jacobians  $J_K$  with potentially good reduction or, more generally, in the case where the special fiber of the Néron model  $J$  of  $J_K$  has toric rank equal to zero (see [Lo2], 1.4). Now able to compute explicit examples of pairings, we can address in 5.2 the question of the existence of Jacobians with specified dimension, group of components and pairing. Among the many examples that are worked out explicitly in this section, the reader will find in 5.8 a chart exhibiting all possible pairings attached to the Néron models of elliptic curves. Note that in the case of elliptic curves, Grothendieck’s pairing can also be computed directly using 3.7.

Let  $X_K$  be a curve and  $X$  a regular model of  $X_K$ . Recall that associated with the special fiber  $X_k = \sum_{i=1}^v r_i C_i$  of  $X$  is a triple  $(G, M, R)$ , where  $M \in M_v(\mathbb{Z})$  is the intersection matrix of  $X_k$ , where  $R = {}^t(r_1, \dots, r_v)$  is the vector of multiplicities of the irreducible components of  $X_k$ , and where  $G$  is the following graph on vertices  $C_1, \dots, C_v$ : the vertex  $C_i$  is linked in  $G$  to the vertex  $C_j$  by  $C_i \cdot C_j$  edges ( $i \neq j$ ). For convenience, let us call a triple  $(G, M, R)$  an *arithmetical graph* if the following conditions hold:

- $G$  is a connected graph with vertices  $C_1, \dots, C_v$ ;
- $M = ((c_{ij})) \in M_v(\mathbb{Z})$  is symmetric. Its coefficient  $c_{ij}$ ,  $i \neq j$ , is equal to the number of edges between the vertex  $C_i$  and the vertex  $C_j$ . The coefficients  $c_{ii}$  are all negative integers;
- The vector  $R = {}^t(r_1, \dots, r_v)$  has positive integers as coefficients. In addition, we assume that  $\gcd(r_1, \dots, r_v) = 1$  and  $MR = 0$ .

Let  $(G, M, R)$  be any arithmetical graph. Let  $M : \mathbb{Z}^v \rightarrow \mathbb{Z}^v$  and  ${}^tR : \mathbb{Z}^v \rightarrow \mathbb{Z}$  be the linear maps associated to the matrices  $M$  and  $R$ . The group of components of  $(G, M, R)$  is defined as

$$\Phi_G := \text{Ker}({}^tR) / \text{Im}(M) = (\mathbb{Z}^v / \text{Im}(M))_{\text{tors.}}$$

We denote by  $\langle \cdot, \cdot \rangle_G : \Phi_G \times \Phi_G \rightarrow \mathbb{Q}/\mathbb{Z}$  the perfect pairing  $\langle \cdot, \cdot \rangle_M$  attached in 1.1 to the symmetric matrix  $M$ . In particular, if  $(G, M, R)$  is the arithmetical graph associated to a regular model  $X$  of a curve  $X_K$  where all irreducible components of  $X_k$  have trivial geometric multiplicities, then we know from 2.3 and 4.6 that  $\Phi_G$  coincides with the component group  $\Phi_J$  of the Jacobian  $J_K$  of  $X_K$  and that Grothendieck’s pairing  $\langle \cdot, \cdot \rangle$  coincides with  $\langle \cdot, \cdot \rangle_M$  on  $\Phi_J$ .

Let us now introduce the notation needed to state our main computational result in 5.1 below. Let  $(G, M, R)$  be an arithmetical graph with  $v$

vertices. Motivated by the case of degenerations of curves, we shall denote by  $(C, r(C))$  a vertex of  $G$ , where  $r(C)$  is the coefficient of  $R$  corresponding to  $C$ . The integer  $r(C)$ , also denoted simply by  $r$ , is called the multiplicity of  $C$ . Fix a numbering of the vertices of  $G$ , and let  $\tilde{R} := \text{diag}(r_1, \dots, r_v)$ . Consider the arithmetical graph  $(\tilde{G}, \tilde{M}, I)$ , where  $I := {}^t(1, \dots, 1)$  and  $\tilde{M} := \tilde{R}M\tilde{R}$ . In particular, the graph  $\tilde{G}$  is the graph having adjacency matrix consisting of the off-diagonal entries of the matrix  $\tilde{M}$ . Let  $\epsilon_1, \dots, \epsilon_v$  denote the standard basis of  $\mathbb{Z}^v$ . Let  $E_{ij} := \epsilon_i - \epsilon_j$ . When two vertices of  $G$  are denoted  $(C, r)$  and  $(C', r')$  without specifying a numbering  $i$  for  $C$  and  $j$  for  $C'$ , we may use  $E_{CC'}$  to denote the vector  $E_{ij}$ . There is always a vector  $S = {}^t(s_1, \dots, s_v) \in \mathbb{Z}^v$  such that

$$(\tilde{R}M\tilde{R})S = \mu E_{CC'},$$

with  $\mu \in \mathbb{Z}, \mu \neq 0$ . Given the above equation, then, by definition, the order of  $E_{CC'}$  in  $\Phi_{\tilde{G}}$  divides  $\mu$ . Note also that  $r$  and  $r'$  divide  $\mu$ . Define

$$E(C, C') := {}^t\left(0, \dots, 0, \frac{r'}{\text{gcd}(r, r')}, 0, \dots, 0, \frac{-r}{\text{gcd}(r, r')}, 0, \dots, 0\right) \in \mathbb{Z}^v,$$

where the first non-zero coefficient of  $E(C, C')$  is at the position corresponding to the vertex  $C$  and, similarly, the second non-zero coefficient is at the position corresponding to the vertex  $C'$ . It follows that

$$M(\tilde{R}S) = \frac{\mu}{\text{lcm}(r, r')} E(C, C').$$

Let  $\sigma$  be the greatest common divisor of the coefficients of the vector  $\tilde{R}S$ . Then the order of  $E(C, C')$  in  $\Phi_G$  divides (and may strictly divide)  $\mu/\sigma\text{lcm}(r, r')$ .

Let  $(C, r)$  and  $(C', r')$  be two distinct vertices of  $G$ . We say that the pair  $(C, C')$  is *uniquely connected* if there exists a path  $\mathcal{P}$  in  $G$  between  $C$  and  $C'$  such that, for each edge  $e$  on  $\mathcal{P}$ , the graph  $G - \{e\}$  is disconnected (the terminology *weakly connected* was used in [Lo4] for the same concept). Note that when a pair  $(C, C')$  is uniquely connected, then the path  $\mathcal{P}$  is the unique shortest path between  $C$  and  $C'$ . A graph is a tree if and only if every pair of vertices of  $G$  is uniquely connected.

Let  $(C, r)$  and  $(C', r')$  be a uniquely connected pair with associated path  $\mathcal{P}$ . While walking on  $\mathcal{P} - \{C, C'\}$  from  $C$  to  $C'$ , label each encountered vertex consecutively by  $(C_1, r_1), (C_2, r_2), \dots, (C_n, r_n)$ . Let  $G_i$  denote the connected component of  $C_i$  in  $G - \{\text{edges of } \mathcal{P}\}$ . The graph  $G_i$  is reduced to a single vertex if and only if  $C_i$  is not a node of  $G$ . For convenience, we write  $(C, r) = (C_0, r_0)$  and  $(C', r') = (C_{n+1}, r_{n+1})$  and define  $G_0$  and  $G_{n+1}$  accordingly.

**Proposition 5.1.** *Let  $(G, M, R)$  be any arithmetical graph. Let  $(C, r)$  and  $(C', r')$  be two vertices such that  $(C, C')$  is a uniquely connected pair of  $G$ . Let  $\gamma$  denote the image of  $E(C, C')$  in  $\Phi_G$ . For  $(D, s)$  and  $(D', s')$  any two*

distinct vertices on  $G$ , let  $\delta$  denote the image of  $E(D, D')$  in  $\Phi_G$ . Writing  $\mathcal{P}$  for the shortest path between  $C$  and  $C'$  as above, let  $C_\alpha$  denote the vertex of  $\mathcal{P}$  closest to  $D$  in  $G$ , and let  $C_\beta$  denote the vertex of  $\mathcal{P}$  closest to  $D'$ . In other words,  $D \in G_\alpha$  and  $D' \in G_\beta$ . Assume that  $\alpha \leq \beta$ . (Note that we may have  $\alpha = \beta$ , and we may have  $D = C_\alpha$  or  $D' = C_\beta$ .) Then

$$\langle \gamma, \delta \rangle_G = \text{lcm}(r, r') \text{lcm}(s, s') \left( \frac{1}{r_\alpha r_{\alpha+1}} + \frac{1}{r_{\alpha+1} r_{\alpha+2}} + \dots + \frac{1}{r_{\beta-1} r_\beta} \right) \pmod{\mathbb{Z}}.$$

In particular, if  $C_\alpha = C_\beta$ , then  $\langle \gamma, \delta \rangle = 0$ . Moreover,

$$\langle \gamma, \gamma \rangle_G = \text{lcm}(r, r')^2 \left( \frac{1}{rr_1} + \frac{1}{r_1 r_2} + \dots + \frac{1}{r_n r'} \right) \pmod{\mathbb{Z}}.$$

*Proof.* Consider the graph  $\tilde{G}$  associated to  $G$  and introduced above. Set

$$\mu := \text{lcm}(rr_1, r_1 r_2, \dots, r_{n-1} r_n, r_n r').$$

The following vector  $S = {}^t(s_C, s_{C_1}, \dots)$  is such that  $\tilde{M}S = \mu E_{CC'}$ , where

$$\begin{aligned} s_C &:= 0, \\ s_{C_1} &:= \mu/rr_1, \\ s_{C_2} &:= \mu/rr_1 + \mu/r_1 r_2, \\ &\vdots \\ s_{C_n} &:= \mu/rr_1 + \mu/r_1 r_2 + \dots + \mu/r_{n-1} r_n, \\ s_{C'} &:= s_{C_n} + \mu/r_n r', \\ s_{C_*} &:= s_{C_i}, \text{ if } C_* \text{ is any vertex of } G_i, \text{ for all } i = 0, \dots, n + 1. \end{aligned}$$

We leave it to the reader to check that  $\tilde{M}S = \mu E_{CC'}$ . It follows that

$$M(\tilde{R}S) = \frac{\mu}{\text{lcm}(r, r')} E(C, C').$$

By definition,

$$\langle \gamma, \delta \rangle_G = (\text{lcm}(r, r')/\mu) {}^t(\tilde{R}S)E(D, D') \pmod{\mathbb{Z}}.$$

Proposition 5.1 follows easily from this equality. □

Proposition 5.1 was successfully used in [Lo4] to compute in some cases the exact order of the image of the element  $E(C, C')$  in  $\Phi_G$ . When  $G$  is a tree, the order of  $\Phi_G$  is computed in [BLR], 9.6/6.

Next let us look at the problem of realizing a given symmetric pairing as a pairing associated to an arithmetical graph or to the Néron model of a Jacobian. Let  $\Phi$  be any finite abelian group. It is not hard to show that there exists an arithmetical graph  $(G, M, R)$  such that  $\Phi_G \cong \Phi$ ; in fact, one can

even find such a graph with  $R = {}^t(1, \dots, 1)$  (use for instance 5.7 with 5.3 or 5.4, or see [Lo3], 4.1). Given any arithmetical graph  $(G, M, R)$ , Winters' Existence Theorem [Win] then implies the existence of an equicharacteristic discrete valuation field  $K$  and a curve  $X_K$  having a regular model  $X$  whose associated arithmetical graph is  $(G, M, R)$ . Thus, the Jacobian  $J_K$  of  $X_K$  is an abelian variety whose Néron model has its group of components  $\Phi_J$  isomorphic to  $\Phi$ .

Recall the following invariant of an arithmetical graph. If  $C$  is a vertex of  $G$ , let  $d(C)$  denote the degree of  $C$  in  $G$ , that is, the number of edges of  $G$  attached to  $C$ . Let  $r(C)$  denote the multiplicity of  $C$ . Then define  $g(G)$  by the formula

$$2g(G) - 2 = \sum_C r(C)(d(C) - 2).$$

The integer  $g(G)$  is always non-negative ([Lo2], 2.2) and when  $X_K$  has a regular model with associated graph equal to  $(G, M, R)$ , then  $g(G)$  is at most equal to the sum of the unipotent and toric ranks of the special fiber of the Néron model of the Jacobian of  $X_K$  ([Lo2], 2.3).

Fix an integer  $g$ . Much is known about those finite abelian groups  $\Phi$  which can be interpreted as the component group  $\Phi_G$  associated to an arithmetical graph  $(G, M, R)$  with  $g(G) = g$  (for instance, any group  $\Phi$  generated by at most  $g$  elements is such a group; see also [Lo3], 4.1). Regarding the problem of realizing a given symmetric pairing as the pairing associated with an arithmetical graph, we show:

**Proposition 5.2.** *Suppose that a given abelian group  $\Phi$  is endowed with a perfect symmetric pairing  $\langle \cdot, \cdot \rangle : \Phi \times \Phi \rightarrow \mathbb{Q}/\mathbb{Z}$ . Then there exists an arithmetical graph  $(G, M, R)$  such that  $\Phi_G \cong \Phi$  and  $\langle \cdot, \cdot \rangle_G$  is equivalent to  $\langle \cdot, \cdot \rangle$ .*

*Proof.* The classification of perfect symmetric pairings on finite abelian  $p$ -groups  $\Phi$ , as described for instance in [Bar], 2.1, or [Wal], Thm. 4, shows that when  $p$  is odd,  $\Phi$  decomposes as an orthogonal sum of finite cyclic groups, each endowed with a perfect pairing. When  $p = 2$ , the classification is more complicated. Let us introduce the following perfect pairings  $\langle \cdot, \cdot \rangle_i$  and  $\langle \cdot, \cdot \rangle'_i$  on  $(\mathbb{Z}/2^i\mathbb{Z})^2$ , endowed with the natural  $(\mathbb{Z}/2^i\mathbb{Z})$ -basis  $\{\epsilon, \epsilon'\}$ . Using this basis,  $\langle \cdot, \cdot \rangle_i$  is given by the matrix

$$\begin{pmatrix} \langle \epsilon, \epsilon \rangle_i & \langle \epsilon, \epsilon' \rangle_i \\ \langle \epsilon, \epsilon' \rangle_i & \langle \epsilon', \epsilon' \rangle_i \end{pmatrix} = \begin{pmatrix} 0 & 1/2^i \\ 1/2^i & 0 \end{pmatrix},$$

and  $\langle \cdot, \cdot \rangle'_i$  is given by the matrix

$$\begin{pmatrix} 1/2^{i-1} & 1/2^i \\ 1/2^i & 1/2^{i-1} \end{pmatrix}.$$

Then, when  $p = 2$ , the group  $\Phi$  decomposes as an orthogonal sum of finite cyclic groups and of copies of  $\langle , \rangle_i$  and  $\langle , \rangle'_j$ , for various values of  $i$  and  $j$ .

Our first task below is to show that all possible pairings appearing in the orthogonal decomposition of  $\Phi$  mentioned above can arise as pairings attached to arithmetical graphs. To begin our series of explicit examples, let us consider the case of cyclic groups. Let  $n$  be an integer and let  $\Phi = \mathbb{Z}/n\mathbb{Z}$ . The classes of equivalent perfect pairings on  $\Phi$  are easy to describe. Let  $a \in \mathbb{Z}$  be prime to  $n$ . Then

$$\langle , \rangle_a : \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \longrightarrow \mathbb{Q}/\mathbb{Z},$$

$$(\bar{x}, \bar{y}) \longmapsto axy/n \pmod{\mathbb{Z}},$$

is a perfect pairing, and any perfect pairing on  $\Phi$  equivalent to  $\langle , \rangle_a$  is of the form  $\langle , \rangle_b$  for  $b = ac^2$  with  $c$  prime to  $n$ . Any perfect pairing on  $\Phi$  is equivalent to  $\langle , \rangle_a$  for some  $a$ .

*Example 5.3.* Consider the graph  $(I_n, M, R)$  consisting of a cycle of  $n$  vertices with  ${}^tR = (1, \dots, 1)$ . The graph is thus the type  $I_n$  in Kodaira's notation for the reduction of elliptic curves. We have  $g(I_n) = 1$ . Let  $C$  and  $C'$  denote two adjacent vertices. Let  $\gamma$  denote the image of  $E(C, C')$  in  $\Phi_{I_n}$ . Then, by a straightforward verification using the definitions, one shows that  $\Phi_{I_n} \cong \mathbb{Z}/n\mathbb{Z}$  and that  $\gamma$  is a generator with  $\langle \gamma, \gamma \rangle_{I_n} = 1/n \pmod{\mathbb{Z}}$ . Alternatively, one may also obtain the result from 3.7.

Another example is the graph  $(J_n, M, R)$  consisting of two vertices  $C$  and  $C'$  linked by  $n$  edges, where

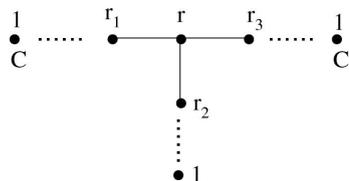
$$M = \begin{pmatrix} -n & n \\ n & -n \end{pmatrix}, \quad R = \begin{pmatrix} 1 \\ 1 \end{pmatrix}.$$

We have  $g(J_n) = n - 1$ . Then  $\Phi_{J_n} = \mathbb{Z}/n\mathbb{Z}$  and the image  $\gamma$  of  $E(C, C')$  is a generator. Moreover,

$$\langle \gamma, \gamma \rangle_{J_n} = \left(0, \frac{1}{n}\right) \begin{pmatrix} 1 \\ -1 \end{pmatrix} = -\frac{1}{n}.$$

*Example 5.4.* Let  $b$  and  $r$  be two coprime positive integers. Let us provide an example of a graph  $(G_{r,b}, M, R)$  with cyclic group of components  $\Phi_{G_{r,b}} \cong \mathbb{Z}/r\mathbb{Z}$  and endowed with a generator  $\gamma_b$  such that  $\langle \gamma_b, \gamma_b \rangle = b/r$ .

Assume first that  $r$  is odd. Consider the graph  $G := G(r, r_1, r_2, r_3)$  given by



with  $r \mid r_1 + r_2 + r_3$  and  $\gcd(r, r_i) = 1$  for  $i = 1, 2, 3$ . The self-intersection of the node is thus  $(r_1 + r_2 + r_3)/r$ . The three terminal chains of  $G$  are constructed using Euclid's algorithm with the pair  $(r, r_i)$  as in [Lo1], 2.4. It is easy to check that  $2g(G) = r - 1$ . Proposition 9.6/6 in [BLR] shows that  $|\Phi_G| = r$ . Let  $\gamma$  denote the image of  $E(C, C')$  in  $\Phi_G$ . Let  $b_i$  be such that  $b_i r_i \equiv 1 \pmod r$ . Then 5.1, together with Lemmata 2.6 and 2.8 of [Lo4], imply that

$$\langle \gamma, \gamma \rangle = \frac{b_1 + b_3}{r}.$$

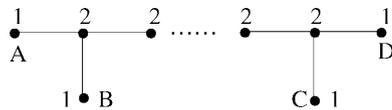
Proposition 3.7 a) in [Lo4] shows that  $\gamma$  has order  $r$ . Hence,  $\Phi_G$  is isomorphic to  $\mathbb{Z}/r\mathbb{Z}$ . Note that since the pairing is perfect,  $b_1 + b_3$  is coprime to  $r$ . Let  $a$  be such that  $a(b_1 + b_3) \equiv b \pmod r$ . Let  $a'$  be such that  $aa' \equiv 1 \pmod r$ . Consider the graph  $G_{r,b} := G(r, a'r_1, a'r_2, a'r_3)$  and the corresponding element  $\gamma_b \in \Phi_{G_{r,b}}$ . It follows that

$$\langle \gamma_b, \gamma_b \rangle = \frac{ab_1 + ab_3}{r} = \frac{b}{r}.$$

Note that a curve  $X_K$  having a regular model with associated graph  $G(r, r_1, r_2, r_3)$  has unipotent rank over  $K$  at least equal to  $\frac{1}{2}(r - 1)$ .

When  $r$  is even, consider the similar graph  $G'(2r, r_1, r_2, r_3)$  with  $2r \mid r_1 + r_2 + r_3$  and  $\gcd(r_1, r) = \gcd(r_3, r) = 1$  and  $\gcd(r, r_2) = 2$ . The details of this case are left to the reader.

*Example 5.5.* Let  $n \in \mathbb{Z}_{\geq 0}$  and consider the graph  $(I_n^*, M, R)$  given by

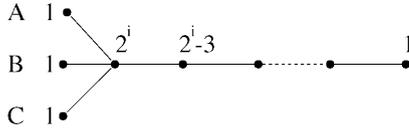


where  $n + 1$  denotes the number of vertices of multiplicity 2. This graph is the graph corresponding to the type  $I_n^*$  in Kodaira's notation for the reduction of elliptic curves. It is well known that  $\Phi_{I_n^*} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  when  $n \geq 0$  is even. Let  $\gamma$  and  $\gamma'$  denote the images in  $\Phi_{I_n^*}$  of  $E(A, D)$  and  $E(A, B)$ , respectively. Then  $\{\gamma, \gamma'\}$  is a  $(\mathbb{Z}/2\mathbb{Z})$ -basis for  $\Phi_{I_n^*}$ , and with respect to this basis, the pairing  $\langle \cdot, \cdot \rangle_{I_n^*}$  is given by

$$\begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix} \text{ if } n = 4m \text{ and } \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix} \text{ if } n = 4m + 2.$$

Note that  $\langle \cdot, \cdot \rangle_{I_n^*}$  is  $\langle \cdot, \cdot \rangle_1$  when  $n = 4m$  and is diagonalizable when  $n = 4m + 2$ .

*Example 5.6.* Consider the graph  $(G_i, M, R)$  with  $G_i$  as follows:

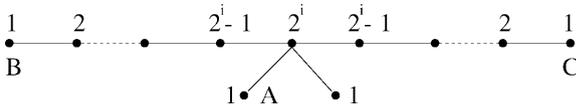


Similarly as in 5.4, the terminal chain to the right is constructed using Euclid’s algorithm as in [Lo1], 2.4. Proposition 9.6/6 in [BLR] shows that  $|\Phi_{G_i}| = 2^{2^i}$ . Let  $\gamma_{AB}$  and  $\gamma_{AC}$  denote the images of  $E(A, B)$  and  $E(A, C)$  in  $\Phi_{G_i}$ , respectively. The reader will check, using 5.1, together with Lemmata 2.6 and 2.8 of [Lo4], that:

$$\begin{pmatrix} \langle \gamma_{AB}, \gamma_{AB} \rangle_{G_i} & \langle \gamma_{AB}, \gamma_{AC} \rangle_{G_i} \\ \langle \gamma_{AB}, \gamma_{AC} \rangle_{G_i} & \langle \gamma_{AC}, \gamma_{AC} \rangle_{G_i} \end{pmatrix} = \begin{pmatrix} 1/2^{i-1} & 1/2^i \\ 1/2^i & 1/2^{i-1} \end{pmatrix}.$$

In particular,  $\Phi_{G_i} \cong (\mathbb{Z}/2^i\mathbb{Z})^2$ , with  $\gamma_{AB}$  and  $\gamma_{AC}$  as generators.

Consider the graph  $(G'_i, M, R)$  with  $G'_i$  as follows:



Again the terminal chains to the left and to the right are constructed using Euclid’s algorithm. Proposition 9.6/6 in [BLR] shows that  $|\Phi_{G'_i}| = 2^{2^i}$ . Let  $\gamma_{AB}$  and  $\gamma_{AC}$  denote the images of  $E(A, B)$  and  $E(A, C)$  in  $\Phi_{G'_i}$ , respectively. The reader will check that:

$$\begin{pmatrix} \langle \gamma_{AB}, \gamma_{AB} \rangle_{G'_i} & \langle \gamma_{AB}, \gamma_{AC} \rangle_{G'_i} \\ \langle \gamma_{AB}, \gamma_{AC} \rangle_{G'_i} & \langle \gamma_{AC}, \gamma_{AC} \rangle_{G'_i} \end{pmatrix} = \begin{pmatrix} 0 & 1/2^i \\ 1/2^i & 0 \end{pmatrix}.$$

In particular,  $\Phi_{G_i} \cong (\mathbb{Z}/2^i\mathbb{Z})^2$ , with  $\gamma_{AB}$  and  $\gamma_{AC}$  as generators.

Let us now return to the proof of 5.2. The following construction allows us to build arithmetical graphs whose associated pairings have a given orthogonal decomposition.

**5.7.** Given two arithmetical graphs  $G$  and  $G'$ , with  $C$  a vertex of  $G$  and  $C'$  a vertex of  $G'$  both of equal multiplicity  $r$ , one obtains a new arithmetical graph  $H$  by glueing  $C$  and  $C'$  together and giving this vertex multiplicity  $r$ . When  $r = 1$ , one can show that  $\Phi_H \cong \Phi_G \times \Phi_{G'}$  (see for instance 4.3 in [Lo4]). We shall say that  $H$  is a join of  $G$  and  $G'$ . One can also check that when  $r = 1$ ,

$$\langle , \rangle_H : \Phi_H \times \Phi_H \longrightarrow \mathbb{Q}/\mathbb{Z}$$

is simply obtained as  $\langle \cdot, \cdot \rangle_H = \langle \cdot, \cdot \rangle_G + \langle \cdot, \cdot \rangle_{G'}$ , once  $\Phi_H$  is identified with  $\Phi_G \times \Phi_{G'}$ . Implicit in the above formula is the fact that if  $x \in \Phi_G$  and  $y \in \Phi_{G'}$ , then  $\langle x, y \rangle_H = 0$ .

Since this ‘join’ construction is the key to the proof of 5.2, let us give here some indication of proof of the above statements. Number the vertices of  $G$  as  $C_1, \dots, C_v$ , and number the vertices of  $G'$  as  $C'_1, \dots, C'_{v'}$ . Let us assume that  $C_v$  and  $C'_1$  have multiplicity 1, and that  $H$  is the graph obtained by glueing  $C_v$  to  $C'_1$ . Let us label the vertices of  $H$  as  $C_1, \dots, C_{v-1}, D, C'_2, \dots, C'_{v'}$ . Let  $M, M'$ , and  $M_H$ , denote the intersection matrices of  $G, G'$ , and  $H$ , respectively. The images in  $\Phi_H$  of the elements of the form  $E(C_i, D)$  and  $E(C'_j, D)$  generate  $\Phi_H$  ( $i \leq v - 1, 2 \leq j \leq v'$ ). The images in  $\Phi_H$  of the elements of the form  $E(C_i, D), i \leq v - 1$ , generate in  $\Phi_H$  a subgroup isomorphic to  $\Phi_G$ . Similarly, the images in  $\Phi_H$  of the elements of the form  $E(C'_j, D), 2 \leq j \leq v'$ , generate in  $\Phi_H$  a subgroup isomorphic to  $\Phi_{G'}$ .

Let  $S_i := {}^t(s(C_1), \dots, s(C_v))$  be such that  $MS_i = n_i E(C_i, C_v)$  for some integer  $n_i$ . Since  $C_v$  has multiplicity 1, we can always choose  $S_i$  such that  $s(C_v) = 0$ . Let  $\bar{S}_i := {}^t(s(C_1), \dots, s(D) = 0, s(C'_1) = 0, \dots, s(C'_{v'}) = 0)$ . Then  $M_H \bar{S}_i = n_i E(C_i, D)$ . Hence,  $\langle E(C_i, D), E(C'_j, D) \rangle_H = {}^t(\bar{S}_i/n_i) E(C'_j, D) = 0$ . Similarly,  $\langle E(C_i, D), E(C_\ell, D) \rangle_H = \langle E(C_i, C_v), E(C_\ell, C_v) \rangle_G$ .

We may now conclude the proof of 5.2. Consider first the case where  $\Phi$  is a  $p$ -group and  $p$  is odd. The pairing  $\langle \cdot, \cdot \rangle$  is then always equivalent to a diagonal pairing. In 5.4, we showed that every pairing on a cyclic group can be obtained as the pairing of an arithmetical graph having a terminal vertex of multiplicity one. A diagonal pairing on  $\Phi$  can be obtained by joining appropriate graphs using the construction described in 5.7. The resulting arithmetical graph also has a vertex of multiplicity one. The case where  $\Phi$  is a  $p$ -group and  $p = 2$  is similar; the orthogonal factors in  $\Phi$  are shown to be realized by arithmetical graphs having terminal vertices of multiplicity one in 5.4 and 5.6. Consider now the general case. The canonical decomposition of  $\Phi$  into a product of  $p$ -groups is easily checked to be an orthogonal decomposition. Thus,  $\langle \cdot, \cdot \rangle$  is equivalent to the pairing  $\langle \cdot, \cdot \rangle_G$  associated with an arithmetical graph  $G$  obtained by glueing arithmetical graphs whose groups of components are  $p$ -groups for appropriate primes  $p$ . □

*Example 5.8.* Let us use 5.1 to explicitly describe the pairing  $\langle \cdot, \cdot \rangle$  in the case of elliptic curves defined over a complete field  $K$  with algebraically closed residue field. We refer to an arithmetical graph  $G$  with  $g(G) = 1$  by its Kodaira symbol  $t(G) \in \{I_n, n \geq 0, I_n^*, n \geq 0, II, II^*, III, III^*, IV, IV^*\}$ .

In the cases  $I_0, II$ , and  $II^*$ , the associated component group is trivial. When  $t(G) \in \{III, III^*, IV, IV^*\}$ , let  $C$  and  $C'$  be two distinct components of multiplicity 1 in  $G$ . Let  $\gamma$  denote the image of  $E(C, C')$  in  $\Phi_G$ . Example 5.4 shows that  $\gamma$  is a generator of  $\Phi_G$ . When  $t(G) = I_n$ , let  $\gamma$

be as in 5.3. Let now  $t(G) = I_n^*$ , with  $I_n^*$  given as in the proof of 5.5. The reader will check by direct computations, or by using 6.6 in [Lo4], that if  $n$  is odd, the image  $\gamma$  of  $E(A, D)$  is a generator of  $\Phi_G \cong \mathbb{Z}/4\mathbb{Z}$ ; if  $n$  is even, then the images  $\gamma$  and  $\gamma'$  of  $E(A, D)$  and  $E(A, B)$  are generators of  $\Phi_G \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ . It is not difficult to verify that

$t$	$\Phi$	$\langle \gamma, \gamma' \rangle$
$III$	$\mathbb{Z}/2\mathbb{Z}$	$1/2$
$III^*$	$\mathbb{Z}/2\mathbb{Z}$	$1/2$
$IV$	$\mathbb{Z}/3\mathbb{Z}$	$2/3$
$IV^*$	$\mathbb{Z}/3\mathbb{Z}$	$1/3$
$I_{4m+1}^*$	$\mathbb{Z}/4\mathbb{Z}$	$1/4$
$I_{4m+3}^*$	$\mathbb{Z}/4\mathbb{Z}$	$3/4$
$I_n$	$\mathbb{Z}/n\mathbb{Z}$	$1/n$

The pairing in the remaining two cases,  $I_{4m}^*$  and  $I_{4m+2}^*$ , is computed in 5.5.

$t$	$\Phi$	basis $\{\gamma, \gamma'\}$
$I_{4m}^*$	$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$	$\begin{pmatrix} 0 & 1/2 \\ 1/2 & 0 \end{pmatrix}$
$I_{4m+2}^*$	$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$	$\begin{pmatrix} 1/2 & 1/2 \\ 1/2 & 0 \end{pmatrix}$

The reader will note that, even though every cyclic group is isomorphic to the group of components of some elliptic curve (having reduction of type  $I_n$  for some  $n$ ), not every pairing on a cyclic group is equivalent to a pairing on the reduction of an elliptic curve.

### 6. A pairing that is not perfect

We exhibit in this section a field  $K$  with imperfect residue field, and a curve  $X_K$  such that Grothendieck’s pairing  $\langle \cdot, \cdot \rangle$  associated with the Jacobian of  $X_K$  is not perfect.

Let  $(G, M, R)$  be any arithmetical graph. Let  $\Lambda := \text{diag}(e_1, \dots, e_v) \in M_v(\mathbb{Z})$  be a matrix with positive entries. Assume that  $\Lambda^{-1}M \in M_v(\mathbb{Z})$ . Then, as in the context of 2.2, using the linear maps  $\Lambda^{-1}M: \mathbb{Z}^v \rightarrow \mathbb{Z}^v$  and  ${}^t(\Lambda R): \mathbb{Z}^v \rightarrow \mathbb{Z}$  associated to  $\Lambda^{-1}M$  and  $\Lambda R$ , we define

$$\Phi_{G,\Lambda} := \text{Ker}({}^t(\Lambda R)) / \text{Im}(\Lambda^{-1}M).$$

Recall that the map  $\text{Ker}({}^t(\Lambda R)) \rightarrow \text{Ker}({}^t R)$ , with  $T \mapsto \Lambda T$ , induces an injection  $\Phi_{G,\Lambda} \hookrightarrow \Phi_G$ .

**Proposition 6.1.** *Let  $(G, M, R)$  be an arithmetical graph, with  $M = (c_{ij})_{1 \leq i, j \leq v}$ . Let  $p$  be prime. Let  $\Lambda = \text{diag}(p^{a_1}, \dots, p^{a_v})$  with  $a_1 > a_2 \geq \dots \geq a_v \geq 0$ . Assume that  $\Lambda^{-1}M \in M_v(\mathbb{Z})$  and that  $\text{gcd}(p^{a_i}r_i; i =$*

$1, \dots, v) = 1$ . Assume also that  $p^{a_1+1} \mid c_{11}$ . Then the pairing  $\langle \cdot, \cdot \rangle_G$  restricted to  $\Phi_{G,\Lambda} \times \Phi_{G,\Lambda}$  is not perfect. More precisely, let

$$Z := {}^t \left( \frac{c_{11}}{p^{a_1+1}}, \dots, \frac{c_{v,1}}{p^{a_v+1}} \right).$$

Then  $Z \in \text{Ker}({}^t(\Lambda R))$  and its image  $z$  in  $\Phi_{G,\Lambda}$  has order  $p$ . Moreover,  $\langle z, x \rangle = 0$  for all  $x \in \Phi_{G,\Lambda}$ .

*Proof.* By construction, the vector  $pZ$  is the first column of the matrix  $\Lambda^{-1}M$ , so that  $\Lambda^{-1}M\varepsilon_1 = pZ$ , with  $\varepsilon_1$  denoting the first vector of the canonical basis of  $\mathbb{Z}^v$ . In particular,  $z$  has order at most  $p$  in  $\Phi_{G,\Lambda}$ . If  $z$  is trivial in  $\Phi_{G,\Lambda}$ , then there exists  $S \in \mathbb{Z}^v$  such that  $\Lambda^{-1}MS = Z$ . It follows that  $\Lambda^{-1}M(pS - \varepsilon_1) = 0$ , so  $pS - \varepsilon_1 = \alpha R$  for some  $\alpha \in \mathbb{Z}$ . We find that  $p$  cannot divide  $\alpha$ , so  $p \mid r_j$  for  $j = 2, \dots, v$ . This contradicts the fact that  $\text{gcd}(p^{a_i}r_i; i = 1, \dots, v) = 1$ . Hence,  $z \neq 0$  in  $\Phi_{G,\Lambda}$ .

Consider now an arbitrary element  $x \in \Phi_{G,\Lambda}$ , say represented by some element  $X \in \text{Ker}({}^t(\Lambda R))$ . Let  $S \in \mathbb{Z}^v$  be such that  $\Lambda^{-1}MS = mX$  for some integer  $m \neq 0$ . Then

$$\langle z, x \rangle = {}^t(\varepsilon_1/p)M(S/m) = {}^t(\varepsilon_1/p)\Lambda X = p^{a_1-1}({}^t\varepsilon_1 \cdot X) = 0 \in \mathbb{Q}/\mathbb{Z}.$$

□

*Example 6.2.* We define an arithmetical graph  $(G, M, R)$  satisfying the hypotheses of 6.1 as follows. Let  $p$  be a prime and set  $v := p + 1$ . Then consider the matrix

$$M := \begin{pmatrix} -p^2 & p & \cdots & \cdots & p \\ p & 1 - 2p & 1 & \cdots & 1 \\ \vdots & 1 & 1 - 2p & & \vdots \\ \vdots & \vdots & & \ddots & 1 \\ p & 1 & \cdots & 1 & 1 - 2p \end{pmatrix} \in M_v(\mathbb{Z}),$$

as well as  $R := {}^t(1, \dots, 1) \in \mathbb{Z}^v$  and  $\Lambda := \text{diag}(p, 1, \dots, 1) \in M_v(\mathbb{Z})$ .

Let us now exhibit a curve  $X_K$  with associated arithmetical graph  $(G, M, R)$  and vector of geometric multiplicities  $\Lambda$ . (Note that Winters' Existence Theorem does not apply to our situation: this theorem only implies the existence of a curve  $X_F$  with associated graph  $(G, M, R)$  for some equicharacteristic discrete valuation field  $F$  with algebraically closed residue field.) Let  $K$  denote the field of fractions of  $\mathfrak{R} := \mathbb{Z}[t]_{(p)}$ , where  $t$  is a variable. Let  $X_K$  denote the plane projective curve given by the equation

$$F(x, y, z) := pz^{2p} - (x^p + ty^p) \prod_{i=0}^{p-1} (x - iy) = 0.$$

The reader will easily check that  $X_K$  is smooth of genus  $(2p - 1)(p - 1)$  and that it has  $K$ -rational points at infinity. Consider the  $\mathfrak{R}$ -model  $X$  of  $X_K$  obtained by taking the schematic closure of  $X_K$  in  $\mathbb{P}_{\mathfrak{R}}^2$ . We claim that  $X$  is regular. Indeed, the only singular point of the special fiber  $X_k$  is the point  $(0, 0)$  represented by the ideal  $\mathfrak{p} = (p, x, y)$  in the chart  $\text{Spec}(A)$ , with

$$A := \mathfrak{R}[x, y]/(F(x, y, 1)).$$

In  $\text{Spec}(A)$ , the maximal ideal  $\mathfrak{p}$  represents a regular point since  $\mathfrak{p}A_{\mathfrak{p}}$  is generated by  $x$  and  $y$ . The reader will easily check that the intersection matrix associated to  $X_k$  is equivalent to the matrix  $M$ : In  $X_k$ , all components have multiplicity one, and the component given in  $\text{Spec}(A)$  by the ideal  $(p, x^p + ty^p)$  is not geometrically reduced and intersects all other components of  $X_k$  with multiplicity  $p$ . It follows from Theorem 4.6 that Grothendieck's pairing associated to the Jacobian of  $X_K$  is not perfect.

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