

We give below some details regarding an assertion used in Corollary 6.7 of the paper [4].

**Assertion:** *Let  $K$  be a complete discrete valuation field with ring of integers  $\mathcal{O}_K$  and residue field  $k$ . Assume that  $k$  is algebraically closed of characteristic  $p > 0$ . Let  $E_K/K$  be an elliptic curve,  $E/\mathcal{O}_K$  its minimal regular model, and let  $T$  denote the type of  $E_k$ . Let  $m = p^n \geq 1$  if the type is additive, and let  $m > 0$  be an arbitrary positive integer otherwise. Then the group  $H^1(K, E_K)$  contains an element of order  $m$ .*

The case where  $m$  is coprime to  $p$  is explained for instance on page 142 of [2], where it is indicated that the set of elements killed by  $m$  in  $H^1(K, E_K)$  is isomorphic to the dual of the group of points in  $E_K(K)$  of order  $m$  which reduce in the connected component of zero of the Néron model  $E/\mathcal{O}_K$ . When the reduction is semi-stable, there exists  $k$ -rational elements of any order  $m$  coprime to  $p$  in the connected component of zero of the special fiber, and such elements can be lifted to  $K$ -rational torsion points of order  $m$ , due to the fact that the kernel of the reduction does not contain any elements of order coprime to  $p$ .

Let us consider now the case where  $m$  is a power of  $p$ . As we indicate on page 497 of [4], second paragraph, when  $k$  is algebraically closed, the group  $H^1(K, E_K)$  is divisible by  $p$ . Thus, our claim is proved as soon as we exhibit a non-trivial element of order  $p$  in  $H^1(K, E_K)$ .

Recall that Shafarevich's pairing is a perfect pairing

$$H^1(K, E_K) \times \pi_1(E_K) \longrightarrow \mathbb{Q}/\mathbb{Z}.$$

This is proved in [1] and [2]. Here  $\pi_1(E_K)$  is a group associated with the Greenberg realization of  $E_K$  using the work of Serre in [5], as we recall below. Using Shafarevich's pairing, we are reduced to exhibiting a group homomorphism  $\pi_1(E_K) \rightarrow \mathbb{Q}/\mathbb{Z}$  of order  $p$ . We now recall just enough of the construction of  $\pi_1(E_K)$  to be able to exhibit such an element.

Associated with the Néron model  $E/\mathcal{O}_K$  is a system of smooth group schemes  $\mathcal{G}_i(E)/k$ ,  $i \in \mathbb{N}^*$ , with morphisms of group schemes  $\mathcal{G}_{i+1}(E) \rightarrow \mathcal{G}_i(E)$ . The group scheme  $\mathcal{G}_i(E)/k$  is called the Greenberg realization of level  $i$  ([3], page 276). The group  $\mathcal{G}_1(E)/k$  is the connected component of zero of the special fiber of  $E$  over  $k$ .

One defines (see, e.g., [2], 2.1, page 143) a pro-etale group scheme  $\pi_i(E_K)$  as the value of the  $i$ -th derived functor of a functor  $\pi_0$  on the object  $\{\mathcal{G}_j(E)/k\}_{j \in \mathbb{N}^*}$ . If  $E^0/\mathcal{O}_K$  denotes the complement in  $E$  of the connected components of the special fiber of  $E$  which do not contain the identity, then  $\pi_1(E_K)$  can be computed as the value of  $\pi_1$  on the object  $\{\mathcal{G}_n(E^0)/k\}_{n \in \mathbb{N}^*}$  ([2], Lemma 2, page 144).

Each individual group scheme  $\mathcal{G}_j(E^0)/k$  is also an object on which one can evaluate the functor  $\pi_i$ . The natural map  $\mathcal{G}_{n+1}(E^0) \rightarrow \mathcal{G}_n(E^0)$  has a kernel  $V_n$  which is smooth, connected, and unipotent ([1], 4.1.1 (3)). Since it is connected,  $\pi_0(V_n) = (0)$ , and the long exact sequence of derived functors gives a surjection  $\pi_1(\mathcal{G}_{n+1}(E^0)) \rightarrow \pi_1(\mathcal{G}_n(E^0))$ . A similar argument shows that for any  $n \geq 1$ , one has a natural surjective morphism

$$\pi_1(E_K) \rightarrow \pi_1(\mathcal{G}_n(E^0)/k).$$

Thus, we can exhibit a group homomorphism  $\pi_1(E_K) \rightarrow \mathbb{Q}/\mathbb{Z}$  of order  $p$  simply by exhibiting first a group homomorphism  $\pi_1(\mathcal{G}_n(E^0)/k) \rightarrow \mathbb{Q}/\mathbb{Z}$  of order  $p$  for some  $n$ . It will suffice to consider the cases  $n = 1$  and  $n = 2$ .

We now need to use results of Serre from [5]. Bertapelle notes on page 143 of [2], penultimate paragraph, that when  $X/k$  is a smooth commutative group scheme of finite type, the group  $\pi_1(X)$  that she defines on page 143 is the same as the value of the first derived functor in [5], 5.3, obtained by evaluating on the perfection of  $X$ . Thus we are now able to use the results of [5] applied to the groups  $\pi_1(\mathcal{G}_j(E^0)/k)$ .

The group  $\pi_1(\mathcal{G}_1(E^0)/k)$  is either equal to  $\pi_1(\mathbb{G}_a/k)$ , or  $\pi_1(\mathbb{G}_m/k)$ , or  $\pi_1(B/k)$  where  $B/k$  is an elliptic curve. In [5], Corollaire, page 53, the group  $\pi_1(\mathbb{G}_a/k)$  is shown to be isomorphic to  $\text{Hom}(k, \mathbb{Q}/\mathbb{Z})$ . Therefore, in this case there exists a homomorphism  $\pi_1(\mathbb{G}_a/k) \rightarrow \mathbb{Q}/\mathbb{Z}$  of order  $p$ . One finds in [5], Corollaire 3, page 45, that the  $p$ -primary component of  $\pi_1(B/k)$  is isomorphic to  $\mathbb{Z}_p^s$ , where  $s = 0$  or  $1$ . Thus we cannot immediately obtain the desired homomorphism of degree  $p$  in this case. We now show that there exists instead a homomorphism  $\pi_1(\mathcal{G}_2(E^0)/k) \rightarrow \mathbb{Q}/\mathbb{Z}$  of order  $p$  when the reduction is semi-stable.

Recall that if  $i \geq 2$ , then  $\pi_i(\mathbb{G}_m/k) = (0)$  and  $\pi_i(B/k) = (0)$  ([5], Corollaires 2 and 3 on page 45). We also have ([5], Proposition 7, page 38) functorial isomorphisms for all  $i > 0$ :

$$\text{Ext}^i(G, \mathbb{Q}/\mathbb{Z}) \longrightarrow \text{Hom}(\pi_i(G), \mathbb{Q}/\mathbb{Z}).$$

Hence,

$$\text{Ext}^2(\mathbb{G}_m/k, \mathbb{Q}/\mathbb{Z}) = (0) = \text{Ext}^2(B/k, \mathbb{Q}/\mathbb{Z}).$$

Consider now the natural exact sequence of group schemes over  $k$ :

$$(0) \longrightarrow \mathbb{G}_a \longrightarrow \mathcal{G}_2(E^0) \longrightarrow \mathcal{G}_1(E^0) \longrightarrow (0).$$

It follows from the fact that the groups  $\text{Ext}^2$  are trivial that we obtain an exact sequence

$$(0) \longleftarrow \text{Ext}^1(\mathbb{G}_a, \mathbb{Q}/\mathbb{Z}) \longleftarrow \text{Ext}^1(\mathcal{G}_2(E^0), \mathbb{Q}/\mathbb{Z})$$

We conclude using the fact that  $\text{Ext}^1(\mathbb{G}_a, \mathbb{Q}/\mathbb{Z})$  is isomorphic to  $\mathbb{G}_a$  ([5], Proposition 3, page 52).

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