

Torsion and exceptional units

Dino Lorenzini

University of Georgia

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Curves and Fields

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Let $X(L) :=$ set of L -rational points.

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Let $X(L) :=$ set of L -rational points.

If X is a plane projective curve defined by a homogeneous polynomial $F(x, y, z) \in K[x, y, z]$ of degree D , then

$$X(L) = \{(a : b : c) \in \mathbb{P}^2(L) \mid F(a, b, c) = 0\}$$

The genus of X/K is bounded by $(D - 1)(D - 2)/2$, with equality if X is smooth.

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A **new point of X over L** is an element in

$$X(L) \setminus (\cup_{K \subseteq F \subset L} X(F)).$$

A new point is associated with a closed point P of X/K whose residue field $K(P)$ is isomorphic to L .

Elliptic curves

An **elliptic curve** E/K is a curve of genus 1 with a choice of a point $P_0 \in E(K)$. For the purpose of this talk, one may think of elliptic curves as smooth plane curves given by an equation of the form

$$y^2z = x^3 + axz^2 + bz^3$$

with $a, b \in K$ and $\Delta := -16(4a^3 + 27b^2) \neq 0$.

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Key fact: The set $E(K)$ can be endowed with the structure of an **abelian group**, with P_0 as neutral element.

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Mordell-Weil Theorem: When K is a number field, $E(K)$ is a **finitely generated** abelian group.

In other words, $E(K) \simeq T \times \mathbb{Z}^r$, where T is a finite abelian group called the torsion subgroup, and $r \geq 0$ is called **the algebraic rank of E/K** .

Basic Questions

- Given a curve X_0/K of genus g and an extension L_0/K of degree d , determine whether $X_0(L_0)$ contains a **new** point.

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This problem is in general very hard. Here are some variants:

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- (a) Given X_0/K of genus g and $d \geq 1$, determine whether there exists **an** extension L/K of degree d such that $X_0(L)$ contains a new point.

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This problem is in general very hard. Here are some variants:

- (a) Given X_0/K of genus g and $d \geq 1$, determine whether there exists **an** extension L/K of degree d such that $X_0(L)$ contains a new point.
- (b) Given L_0/K of degree d and $g \geq 1$, determine whether there exists **a** curve X/K of genus g such that $X(L_0)$ contains a new point.

Basic Questions

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This problem is in general very hard. Here are some variants:

- (a) Given X_0/K of genus g and $d \geq 1$, determine whether there exists **an** extension L/K of degree d such that $X_0(L)$ contains a new point. **Or: infinitely many extensions L/K of degree d**
- (b) Given L_0/K of degree d and $g \geq 1$, determine whether there exists **a** curve X/K of genus g such that $X(L_0)$ contains a new point.

Basic Questions

- Given a curve X_0/K of genus g and an extension L_0/K of degree d , determine whether $X_0(L_0)$ contains a new point.

This problem is in general very hard. Here are some variants:

- (a) Given X_0/K of genus g and $d \geq 1$, determine whether there exists an extension L/K of degree d such that $X_0(L)$ contains a new point. Or: infinitely many extensions L/K of degree d
- (b) Given L_0/K of degree d and $g \geq 1$, determine whether there exists a curve X/K of genus g such that $X(L_0)$ contains a new point. Or: infinitely many curves X/K of genus g

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Most of the talk will be Question (a) and special points on the modular curves $X_1(N)/\mathbb{Q}$ over number fields L/\mathbb{Q} .

The question (b)

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The question (b)

Given: a finite extension L/K of degree d .

Find a curve X/K of small genus $g \geq 1$ such that X/K has a new point over L .

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Given: a finite extension L/K of degree d .

Find a curve X/K of small genus $g \geq 1$ such that X/K has a new point over L .

Easy construction. Let $\alpha \in L$ such that $L = K(\alpha)$. Let $f(x) \in K[x]$ denote the minimal polynomial of α over K . Then $(\alpha, 0)$ is a new point on the hyperelliptic curve X/K given by the equation $y^2 = f(x)$.

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This curve has genus $(d-1)/2$ or $d/2 - 1$, and is a curve of genus 1 only when $d = 3, 4$.

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Open question. Let p be an odd prime. Let ζ_p denote a primitive p -th root of unity. Let $L := \mathbb{Q}(\zeta_p)$ denote the p -th cyclotomic field, with $[L : \mathbb{Q}] = p - 1$.

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Can you find an elliptic curve E/\mathbb{Q} with a new point over L ?

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Can you find an elliptic curve E/\mathbb{Q} with a new point over L ?

Same question for the totally real subfield $L := \mathbb{Q}(\zeta_p)^+$.

Some known theorems

Let K be a field of characteristic 0.

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Some known theorems

Let K be a field of characteristic 0.

Theorem (Liu-L.) Let L/K be any finite extension of degree $d \leq 10$. Then there exist infinitely many elliptic curves E/K such that $E(L)$ contains a new point.

(For $d \leq 9$, the result is due to Rohrlich in 1997.)

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Theorem (Liu-L.) When $[L : K] = 12, 14, 15, 20, 21$ or 30 and L/K is **abelian**, then there exist infinitely many elliptic curves E/K such that $E(L)$ contains a new point.

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Theorem (Arvind Suresh) When L/K is Galois of degree 12, 14 and **16**, then there exist infinitely many elliptic curves E/K such that $E(L)$ contains a new point.

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Theorem (Arvind Suresh) When L/K is Galois of degree 12, 14 and **16**, then there exist infinitely many elliptic curves E/K such that $E(L)$ contains a new point.

In general, for L/K of degree d , Liu-L. find infinitely many hyperelliptic curves X/K of genus about $d/4$, such that $X(L)$ contains a new point, and Suresh produces curves where the genus is about $d/8$ when $K \subset F \subset L$ with $[L : F] = 2$.

Example of degree 17

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Consider the field $\mathbb{Q}(\zeta_{103})$, of degree $102 = 17 \cdot 6$.

Let L/\mathbb{Q} denote the unique subfield of $\mathbb{Q}(\zeta_{103})$ of degree 17.

The field L/\mathbb{Q} is Galois with cyclic Galois group of order 17.

Example of degree 17

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Let L/\mathbb{Q} denote the unique subfield of $\mathbb{Q}(\zeta_{103})$ of degree 17.

The field L/\mathbb{Q} is Galois with cyclic Galois group of order 17.

Question (b) from earlier: Is it possible to find an elliptic curve E/\mathbb{Q} with a new point over L ?

Example of degree 17

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The field L/\mathbb{Q} is Galois with cyclic Galois group of order 17.

Question (b) from earlier: Is it possible to find an elliptic curve E/\mathbb{Q} with a new point over L ?

Answer: Yes, under the Birch and Swinnerton-Dyer conjecture.

Recall: The L -function and B-SD

For ease of exposition, assume that $K = \mathbb{Q}$ and consider an elliptic curve E/\mathbb{Q} defined by $y^2 = x^3 + ax + b$ with $a, b \in \mathbb{Z}$. Let E_p denote the reduction of E modulo p . When $p \nmid \Delta$, the reduction is an elliptic curve.

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Hasse's Theorem:

$$p + 1 - 2\sqrt{p} \leq |E_p(\mathbb{F}_p)| \leq p + 1 + 2\sqrt{p}.$$

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Packaging the $|E_p(\mathbb{F}_p)|$ together: the L -function $L(E/\mathbb{Q}, s)$.

Recall: The L -function and B-SD

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Packaging the $|E_p(\mathbb{F}_p)|$ together: the L -function $L(E/\mathbb{Q}, s)$.

Define $a_p := (p + 1) - |E_p(\mathbb{F}_p)|$, so that $|a_p| \leq 2\sqrt{p}$,

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Packaging the $|E_p(\mathbb{F}_p)|$ together: the L -function $L(E/\mathbb{Q}, s)$.

Define $a_p := (p + 1) - |E_p(\mathbb{F}_p)|$, so that $|a_p| \leq 2\sqrt{p}$,

and

$$L^*(E/\mathbb{Q}, s) := \prod_{p \text{ prime}, p \nmid \Delta} \frac{1}{1 - a_p p^{-s} + p^{1-2s}}.$$

Recall: The L -function and B-SD

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$$L^*(E/\mathbb{Q}, s) := \prod_{p \text{ prime}, p \nmid \Delta} \frac{1}{1 - a_p p^{-s} + p^{1-2s}}.$$

Then

$$L(E/\mathbb{Q}, s) = L^*(E/\mathbb{Q}, s) \cdot \prod_{p|\Delta} \text{explicit term}.$$

The Birch and Swinnerton-Dyer conjecture (I)

Let K be a number field, and let E/K be an elliptic curve with L -function $L(E/K, s)$ and algebraic rank r . Then

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Conjecture (Part 1). The function $L(E/K, s)$ is holomorphic around $s = 1$ and thus we can consider its order of vanishing r_{an} at $s = 1$. In other words, there is a power series expansion

$$L(E/K, s) = \ell_0(s - 1)^{r_{an}} + \ell_1(s - 1)^{r_{an}+1} + \dots$$

The integer r_{an} is called the analytic rank of E/K .

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The integer r_{an} is called the analytic rank of E/K .

It is conjectured that $r_{an} = r$.

The integer r_{an} can often be computed directly. The integer r is much more difficult to compute directly, since there are no efficient methods for finding elements in $E(K)$.

Example of degree 17 revisited

Consider the field $\mathbb{Q}(\zeta_{103})$, of degree $102 = 17 \cdot 6$. Let L/\mathbb{Q} denote the unique subfield of $\mathbb{Q}(\zeta_{103})$ of degree 17.

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For each elliptic curve E/\mathbb{Q} in Cremona's tables, compute the analytic ranks $r_{an}(\mathbb{Q})$ over \mathbb{Q} , and $r_{an}(L)$ over L .

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If for some E/\mathbb{Q} , we find that $r_{an}(\mathbb{Q}) < r_{an}(L)$, then conjecturally, $E(L)$ contains a new point of infinite order.

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If for some E/\mathbb{Q} , we find that $r_{an}(\mathbb{Q}) < r_{an}(L)$, then conjecturally, $E(L)$ contains a new point of infinite order.

Conjectural such E/\mathbb{Q} : [173883a1](#) (thanks to Bill Allombert and gp-pari)

This is a semi-stable elliptic curve of rank 2 over \mathbb{Q} with bad reduction at $p = 3, 149$, and 389 . The equation is $y^2 + y = x^3 - x^2 - 310x + 1779$. Can one find an explicit new point over L ? No similar examples found for prime degrees ≥ 19 .

The Birch and Swinnerton-Dyer conjecture (II)

Let K be a number field, and let E/K be an elliptic curve with L -function $L(E/K, s)$ and algebraic rank r . Then

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Let K be a number field, and let E/K be an elliptic curve with L -function $L(E/K, s)$ and algebraic rank r . Then

Conjecture (Part 2). The function $L(E/K, s)$ is holomorphic around $s = 1$ with a power series expansion

$$L(E/K, s) = \ell_0(s - 1)^{r_{an}} + \ell_1(s - 1)^{r_{an}+1} + \dots$$

The conjecture predicts an **explicit formula** for the leading term ℓ_0 .

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The conjecture predicts an **explicit formula** for the leading term ℓ_0 .

The following is sufficient for the rest of the talk:

$$\ell_0 = \frac{\prod_M c_M}{|E(K)_{tors}|^2} \cdot \text{other terms}$$

For the rest of the talk, I will discuss the following question: Assume that $|E(K)_{tors}| > 1$. Are there often cancellations in the ratio $\frac{\prod_M c_M}{|E(K)_{tors}|^2}$?

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The Tamagawa numbers c_M

For each maximal ideal M of \mathcal{O}_K , let $k_M := \mathcal{O}_K/M$. Let E/K be an elliptic curve.

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For each maximal ideal M of \mathcal{O}_K , let $k_M := \mathcal{O}_K/M$. Let E/K be an elliptic curve.

There exists a **finite abelian group** $\Phi_{E,M}(k_M)$ and a group homomorphism

$$E(K) \longrightarrow \Phi_{E,M}(k_M)$$

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When the elliptic curve has good reduction at M , then $c_M = 1$.

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Easily explained cancelation: Suppose that $E(K)$ contains a point P of prime order N and that for some maximal ideal M , the image of P under the map $E(K) \rightarrow \Phi_{E,M}(k_M)$ is not trivial. In that case, N divides c_M .

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Néron class group: $\prod_M \Phi_{E,M}(k_M)/\text{Im}(E(K))$ (C. Gonzalez-Aviles)

Elliptic curves over \mathbb{Q}

The product $c(E/K) := \prod_M c_M$ is called the **global Tamagawa number**.

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Theorem (L.) Let E/\mathbb{Q} be an elliptic curve with a \mathbb{Q} -rational point of order N . If $N = 7, 8, 9, 10$, or 12 , then N divides $c(E/\mathbb{Q})$.

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If $N = 5$, then N divides $c(E/\mathbb{Q})$, except when $E = X_1(11)$.

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If $N = 5$, then N divides $c(E/\mathbb{Q})$, except when $E = X_1(11)$.

How does this theorem generalize to higher degree number fields?

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Can a similar statement be conjectured when E/\mathbb{Q} has a \mathbb{Q} -rational point of order 2, 3, 4 or 6?

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Can a similar statement be conjectured when E/\mathbb{Q} has a \mathbb{Q} -rational point of order 2, 3, 4 or 6?

Yes, but one needs to consider the full leading term of the L -function of E/\mathbb{Q} . (Conjecture of Agashe and Stein)

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Yes, but one needs to consider the full leading term of the L -function of E/\mathbb{Q} . (Conjecture of Agashe and Stein)

For instance:

Theorem (Mentzelos Melistas). Let E/\mathbb{Q} be a semi-stable optimal elliptic curve of rank 0. Then $|E(\mathbb{Q})_{tors}|$ divides

$$c(E/\mathbb{Q}) \cdot |\text{III}(E/\mathbb{Q})| \cdot \text{number of components of } E(\mathbb{R}).$$

Elliptic curves over number fields

Let K/\mathbb{Q} be a number field of **degree d** . Let E/K be an elliptic curve with a K -rational point of **prime order N** .

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Elliptic curves over number fields

Let K/\mathbb{Q} be a number field of **degree d** . Let E/K be an elliptic curve with a K -rational point of **prime order N** .

Hoped-for-theorem Assume that $N > 2d + 1$. Then N divides $\prod_M c_M$, except for **finitely many exceptions** over finitely many fields of degree d .

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Theorem (L.) Assume $d = 1$ and $N \geq 5$. Then N divides $\prod_M c_M$, except when $N = 5$ and $E/\mathbb{Q} = X_1(11)/\mathbb{Q}$.

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Theorem (L.) Assume $d = 2$ and $N \geq 7$. Then N divides $\prod_M c_M$, except for four explicit exceptions when $N = 7$ over $K = \mathbb{Q}(\zeta_3)$ and $K = \mathbb{Q}(\zeta_5)^+$.

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Theorem (L.) Assume $d = 3$ and $N \geq 11$. Then N divides $\prod_M c_M$, except for one exception when $N = 13$ over $K = \mathbb{Q}(\zeta_7)^+$.

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Theorem (L.) Assume $d = 3$ and $N \geq 11$. Then N divides $\prod_M c_M$, except for one exception when $N = 13$ over $K = \mathbb{Q}(\zeta_7)^+$.

The j -invariant of the exception is $j = -28672/3$. It has prime conductor $(3)\mathcal{O}_K$, with split multiplicative reduction of type I_1 at that prime.

Elliptic curves over quartic fields

Let K/\mathbb{Q} be a number field of degree $d = 4$. Let E/K be an elliptic curve with a K -rational point of **prime order $N \geq 11$** .

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Elliptic curves over quartic fields

Let K/\mathbb{Q} be a number field of degree $d = 4$. Let E/K be an elliptic curve with a K -rational point of **prime order** $N \geq 11$.

Theorem (L.) Assume that \mathcal{O}_K^* has rank 1 or 2. Then N divides $\prod_M c_M$, except for the following exceptions:

| N | field K | $r(K)$ | $\text{disc}(K)$ |
|----------------------|---------------------------|--------|------------------|
| 11(2), 13($j = 0$) | $x^4 - x^3 - x^2 + x + 1$ | 1 | 117 |
| 11(4) | $x^4 - x^3 + 2x - 1$ | 2 | -275 |
| 11(2) | $x^4 - x - 1$ | 2 | -283 |

Elliptic curves over quartic fields

Let K/\mathbb{Q} be a number field of degree $d = 4$. Let E/K be an elliptic curve with a K -rational point of **prime order** $N \geq 11$.

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Conjecture (L.) Assume that \mathcal{O}_K^* has rank 3. Then N divides $\prod_M c_M$, except for the following exceptions:

| N | field K | $r(K)$ | $\text{disc}(K)$ |
|---------------|----------------------------|--------|------------------|
| 11(2), 13, 17 | $x^4 - x^3 - 3x^2 + x + 1$ | 3 | 725 |

Elliptic curves over quartic fields

Let K/\mathbb{Q} be a number field of degree $d = 4$. Let E/K be an elliptic curve with a K -rational point of **prime order** $N \geq 11$.

Theorem (L.) Assume that \mathcal{O}_K^* has rank 1 or 2. Then N divides $\prod_M c_M$, except for the following exceptions:

| N | field K | $r(K)$ | $\text{disc}(K)$ |
|----------------------|---------------------------|--------|------------------|
| 11(2), 13($j = 0$) | $x^4 - x^3 - x^2 + x + 1$ | 1 | 117 |
| 11(4) | $x^4 - x^3 + 2x - 1$ | 2 | -275 |
| 11(2) | $x^4 - x - 1$ | 2 | -283 |

Conjecture (L.) Assume that \mathcal{O}_K^* has rank 3. Then N divides $\prod_M c_M$, except for the following exceptions:

| N | field K | $r(K)$ | $\text{disc}(K)$ |
|---------------|----------------------------|--------|------------------|
| 11(2), 13, 17 | $x^4 - x^3 - 3x^2 + x + 1$ | 3 | 725 |

The curve with the point of order 17 was found by David Krumm around 2013 using his algorithm (with John Doyle) for listing elements of small heights in number fields.

Exceptional units

Let R be any ring. An **exceptional unit** in R is a unit r such that $1 - r$ is also a unit. (Terminology by Nagell in 1969)

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Theorem (L.) (weak version) Let K be a number field. Suppose that E/K is an elliptic curve with a K -rational point of prime order $N \geq 7$ such that N does not divide $\prod_M c_M$.

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The Lenstra constant

Let R be any ring. An **exceptional sequence in R** is a sequence $u_1 := 0, u_2 := 1, u_3, \dots, u_m$, such that each difference $u_i - u_j$ ($i \neq j$) is a unit in R .

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If $0, 1, u_3, \dots, u_m$ is an exceptional sequence in R , then for each maximal ideal M , the sequence reduces to distinct elements in R/M . In particular, $m \leq |R/M|$.

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If K is a number field of degree d , then $M(K) \leq 2^d$.

If $K = \mathbb{Q}(\zeta_p)$, then $M(K) = d + 1$ (Lenstra).

If $K = \mathbb{Q}(\zeta_p)^+$, then $M(K) = 2d$ or $2d + 1$ (Leutbecher-Nicklsh, 1987).

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Theorem (L.) Let K be a number field. Suppose that E/K is an elliptic curve with a K -rational point of prime order $N \geq 11$ such that N does not divide $\prod_M c_M$. Then

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- If $23 \leq N \leq 101$, then $M(K) \geq 11$.

This theorem is due to Mestre (1981) when E/K has everywhere potentially good reduction (with the bound $M(K) \geq 5$ when $N = 11$).

Question on the Lenstra constant

Find a low bound $c = c(d)$ such that the following is true:

There only finitely many number fields K/\mathbb{Q} of degree d such that $M(K) > c$.

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Application. Recall

Theorem (L.) Let K be a number field. Suppose that E/K is an elliptic curve with a K -rational point of prime order N such that N does not divide $\prod_M c_M$. Then

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If there are only finitely many fields such that $M(K) > d$ then as soon as $(N - 1)/2 > d$ (i.e., $N > 2d + 1$), we get that **there can exist only finitely many elliptic curves as in the theorem.**

Algorithm

The modular curve $X_1(N)/\mathbb{Q}$ admits an equation

$F_N(r, s) = 0$ called the **raw form equation**. We have

$F_N(r, s) \in \mathbb{Z}[r, s]$.

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Then r_0 and s_0 are both **exceptional units** in \mathcal{O}_K^* .

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Better: Then $0, 1, r_0, s_0, \frac{r_0-1}{s_0-1}$ is an exceptional sequence in \mathcal{O}_K^* .

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Algorithm Given a field K , to find all E/K with a K -rational point of prime order N such that N does not divide $\prod_M c_M$, it suffices to **find all solutions to $F_N(r, s) = 0$ with both r and s exceptional units in K** .

The case of septic fields

Conjecture: Let $N \geq 17$ be prime. Then there exist only **finitely many fields** K/\mathbb{Q} of degree $d = 7$ with an elliptic curve E/K having a K -rational torsion point of order N and such that N does not divide $\prod_M c_M$.

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The list of known such elliptic curves over septic fields:

| N | field K (degree 7) | $ex(K)$ | $M(K)$ | $discr(K)$ |
|----------------------|---|---------|-----------|----------------------|
| 11(6), 13, 25 | $x^7 - x^6 - x^5 + x^4 - x^2 + x + 1$ | 366 | ≥ 12 | -184607 (first) |
| 11(2), 13, 19 | $x^7 - x^6 + x^3 - x + 1$ | 336 | ≥ 10 | -199559 (fifth) |
| 11(2), 13, 17 | $x^7 - 2x^6 + 4x^5 - 4x^4 + 3x^3 - x^2 - x + 1$ | 270 | ≥ 8 | -250367 (sixteenth) |
| 11(6), 23 | $x^7 - 3x^5 - x^4 + 3x^3 + 1$ | 960 | ≥ 11 | 612569 (second) |
| 11(6), 23 | $x^7 - x^6 - x^4 + 3x^2 - 1$ | 906 | ≥ 11 | 649177 (fourth) |
| 11(2), 17 | $x^7 - x^6 - x^5 + 2x^3 + x^2 - 2x - 1$ | 882 | ≥ 10 | 661033 |
| 11(2), 23 | $x^7 - 3x^6 + 5x^5 - 6x^4 + 3x^3 - x^2 - x + 1$ | 864 | ≥ 11 | 674057 |
| 13(3), 19 | $x^7 - x^6 - x^5 + 3x^4 - 2x^3 + 2x - 1$ | 768 | ≥ 9 | 788857 (sixteenth) |
| 11(6), 17 | $x^7 - x^6 - 4x^3 + 2x^2 + 2x - 1$ | 1908 | ≥ 13 | -2932823 (seventh) |
| 17** | $x^7 - x^6 - 2x^5 + 5x^4 - 6x^2 + x + 1$ | 1464 | ≥ 8 | -3998639 (twentieth) |

The case of septic fields

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| 11(2), 23 | $x^7 - 3x^6 + 5x^5 - 6x^4 + 3x^3 - x^2 - x + 1$ | 864 | ≥ 11 | 674057 |
| 13(3), 19 | $x^7 - x^6 - x^5 + 3x^4 - 2x^3 + 2x - 1$ | 768 | ≥ 9 | 788857 (sixteenth) |
| 11(6), 17 | $x^7 - x^6 - 4x^3 + 2x^2 + 2x - 1$ | 1908 | ≥ 13 | -2932823 (seventh) |
| 17** | $x^7 - x^6 - 2x^5 + 5x^4 - 6x^2 + x + 1$ | 1464 | ≥ 8 | -3998639 (twentieth) |

Finitely many septic K/\mathbb{Q} with $M(K) > 7$? $M(K) \leq 15$?

Abelian surfaces over \mathbb{Q}

Let A/\mathbb{Q} be an abelian surface with a \mathbb{Q} -rational point of prime order N .

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Abelian surfaces over \mathbb{Q}

Let A/\mathbb{Q} be an abelian surface with a \mathbb{Q} -rational point of **prime order N** . Such an abelian surface is known to exist for **$N = 2, 3, 5, 7, 11, 13, 17, 19, 23, 29$** .

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Recall the situation for an elliptic curve E/\mathbb{Q} .

Theorem (L.) Let E/\mathbb{Q} be an elliptic curve with a \mathbb{Q} -rational point of order N . If $N = 7, 8, 9, 10$, or 12 , then N divides $c(E/\mathbb{Q})$.

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Known examples where N does not divide $c(A/\mathbb{Q})$

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Question. Are there only **finitely many** such abelian surfaces with $c(A/\mathbb{Q}) = 1$? (i.e., such that the Néron model of A has connected fibers)

THANKS!

Gracias!

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